1	This article was published in Food Research International, 82, 71-85, 2016
2	http://dx.doi.org/10.1016/j.foodres.2016.01.021
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4	Alternative disinfection methods to chlorine for use in the fresh-cut
5	industry
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10	Ana Meireles <sup>1</sup> , Efstathios Giaouris <sup>2</sup> , Manuel Simões <sup>1*</sup>
11	<sup>1</sup> LEPABE, Department of Chemical Engineering, Faculty of Engineering, University of
12	Porto, Rua Dr. Roberto Frias, s/n, 4200-465 Porto, Portugal
13	<sup>2</sup> Department of Food Science and Nutrition, Faculty of the Environment, University of
14	the Aegean, Mitropoliti Ioakeim 2, Myrina 81400, Lemnos Island, Greece
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25	*Author to whom correspondence should be addressed: Manuel Simões (mvs@fe.up.pt)
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## 27 Abstract

28 The use of chlorine as a disinfectant in the fresh-cut produce industry has been identified as a concern mainly due to public health issues. In fact, this chemical, 29 commonly used as hypochlorous acid and hypochlorite, has already been prohibited in 30 some European countries, due to the potential production of toxic by-products, such as 31 32 chloroform and other trihalomethanes, chloramines and haloacetic acids. The search for alternative methods of disinfection is therefore a current and on-going challenge in both 33 Academia and Industry. Some methods are well described in the literature on the 34 35 disinfection of food-contact surfaces and process water and also on the decontamination of the produce. These methods are commonly classified as biological (bacteriocins, 36 bacteriophages, enzymes and phytochemicals), chemical (chlorine dioxide, electrolyzed 37 oxidizing water, hydrogen peroxide, ozone, organic acids, etc) and physical (irradiation, 38 filtration, ultrasounds, ultraviolet light, etc). This review provides updated information 39 on the state of the art of the available disinfection strategies alternative to chlorine that 40 can be used in the fresh-cut industry. The use of combined methods to replace and/or 41 reduce the use of chlorine is also reviewed. 42

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44 **Keywords:** Chlorine, disinfection, fresh produce, sanitation, surfaces, water.

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## 46 List of Abbreviations

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AcEOW	Acid electrolyzed oxidizing water
AlEOW	Alkaline electrolyzed oxidizing water
BOD	Biochemical oxygen demand
COD	Chemical oxygen demand
EOW	Electrolyzed oxidizing water
EPS	Extracellular polymeric substances
FDA	Food and Drug Administration
GRAS	Generally recognized as safe

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MF	Microfiltration
MPV	Minimally processed vegetables
MWCO	Molecular weight cut off
NEOW	Neutral electrolyzed oxidizing water
NF	Nanofiltration
PAA	Peracetic acid
QACs	Quaternary ammonium compounds
RO	Reverse osmosis
SS	Stainless steel
UF	Ultrafiltration
US	Ultrasounds
UV	Ultraviolet

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## 48 **1. Introduction**

Fresh produce and minimally processed vegetables (MPV) are widely consumed 49 worldwide as they are important natural sources of essential nutrients. For the 50 modern consumer, these products are necessary to maintain a healthy diet, and their 51 52 fresh and nutritional status is largely recognized. However, despite the increased awareness of food safety issues, the occurrence of foodborne disease outbreaks 53 related to these products is constantly increasing (Gilbert & McBain, 2003; Ölmez 54 & Kretzschmar, 2009; Vitale & Schillaci, 2016) with several pathogenic bacteria 55 associated, such as Listeria monocytogenes, Clostridium botulinum, Bacillus 56 cereus, Escherichia coli O157:H7 and Salmonella spp. (Olaimat & Holley, 2012; 57 58 Seiber, 2012; Warriner, Huber, Namvar, Fan, & Dunfield, 2009), as well as viruses (norovirus and hepatitis A) and protozoa (Cryptosporidium parvum) (Berger, 59 Sodha, Shaw, Griffin, Pink, Hand, & Frankel, 2010; Yaron & Romling, 2014). 60 Noteworthy, E. coli O157:H7 and Salmonella spp. are the two microorganisms 61 62 linked to the largest foodborne outbreaks and consequent human infections (Warriner et al., 2009; Yaron & Romling, 2014).

Contamination of fresh produce can occur through the water, air, soil, insect 63 64 vectors, equipment or even through the improper handling by the workers (Martinez-Vaz, Fink, Diez-Gonzalez, & Sadowsky, 2014). For instance, microbial adhesion on 65 food-contact surfaces (i.e. equipment including conveyor belts and containers used 66 along the food chain - in harvesting, post-harvesting and packaging (Food and Drug 67 Administration, 1998)) can ultimately lead to the formation of biofilms (Vitale & 68 Schillaci, 2016; Yaron & Romling, 2014) and the subsequent produce 69 contamination. Biofilms are sessile communities of microorganisms that initially 70 71 attach to a wet solid surface, and subsequently grow producing extracellular 72 polymeric substances (EPS) that keep the cells strongly together and also protect 73 them from external stress conditions (Kumar & Anand, 1998). Biofilms have a negative impact as they can form on the produce and on the food-contact surfaces 74 75 impairing surface sanitation and produce decontamination (Kumar & Anand, 1998; 76 Martinez-Vaz et al., 2014). More importantly, microbial contamination can also 77 lead to the internalization of pathogens into the produce. For instance, both E. coli and S. Typhimurium are capable of penetrating the leaves of iceberg lettuce 78 79 (Golberg, Kroupitski, Belausov, Pinto, & Sela, 2011), while Seo and Frank (1999) demonstrated that E. coli O157:H7 can penetrate 20-100 µm below the surface of 80 lettuce leaves. Through chemotaxis processes and flagellar motility, Salmonella 81 spp. can also penetrate lettuce leaves (Kroupitski, Golberg, Belausov, Pinto, 82 Swartzberg, Granot, & Sela, 2009). The internalization can occur in the stomata, 83 vasculature, cut edges, intercellular tissues, etc (Erickson, 2012). Consequently, 84 85 the elimination of such pathogens already internalized in the produce is rather impossible, making the subsequent minimal processing totally ineffective to assure 86 product safety (Erickson, 2012; Ge, Bohrerova, & Lee, 2013). 87 To increase the shelf life and also enhance the microbial safety of these products, 88 chlorine is commonly applied as hypochlorous acid and hypochlorite in the fresh-cut 89 90 industry as a disinfectant at concentrations varying between 50 and 200 ppm of free chlorine and for a maximum exposure time of 5 min (Goodburn & Wallace, 2013; 91 92 Rico, Martin-Diana, Barat, & Barry-Ryan, 2007). It was verified that this is the 93 maximum exposure time applied, since other works (Adams, Hartley, & Cox, 1989) 94 microorganisms". The exposure time can also depend on the microorganism (Tirpanalan, Zunabovic, Domig, & Kneifel, 2011). Chlorine is indeed widely used 95 96 in the food industry (Sagong, Lee, Chang, Heu, Ryu, Choi, & Kang, 2011; Van Haute,

Sampers, Holvoet, & Uyttendaele, 2013) due to its relatively low price, facility to apply 97 and wide spectrum of antimicrobial effectiveness (Ramos, Miller, Brandão, Teixeira, & 98 99 Silva, 2013). However, this disinfectant shows, under certain circumstances, limited efficiency in reducing microbial loads (Yaron & Romling, 2014), as it can be easily 100 101 inactivated by organic matter (Parish, Beuchat, Suslow, Harris, Garrett, Farber, & 102 Busta, 2003; Ramos et al., 2013), and its action is highly pH dependent (Ramos et al., 103 2013). Furthermore, this disinfectant can produce unhealthy by-products including carcinogenic and mutagenic chlorinated compounds, such as chloroform and other 104 trihalomethanes, chloramines and haloacetic acids, when reacting with organic 105 molecules (Bull, Reckhow, Li, Humpage, Joll, & Hrudey, 2011; Legay, Rodriguez, 106 Sérodes, & Levallois, 2010). Also, it is corrosive and has been included in the indicative 107 108 list of the Directive on Industrial Emissions (IPPC, 2007/0286 (COD)), aiming to 109 reduce harmful industrial emissions across the EU, therefore benefiting the environment and human health (European Comission, 2007). Its use is already prohibited in some 110 111 European countries (Belgium, Denmark, Germany and The Netherlands) (Bilek & Turantaş, 2013; Fallik, 2014; Ölmez & Kretzschmar, 2009; Ramos et al., 2013). 112

113 Although disinfection with chlorine is widespread in the fresh-cut industry, there is a 114 global concern on developing alternative disinfection strategies to minimize its environmental and public health impacts (Gopal, Coventry, Wan, Roginski, & Ajlouni, 115 2010; Meireles, Machado, Fulgêncio, Mergulhão, Melo, & Simões, 2015). Different 116 117 methods to reduce and/or replace the use of chlorine were already developed. Those include biological methods, alternative chemical compounds and physical technologies, 118 or even the combination of methods (Bilek & Turantaş, 2013; Fallik, 2014; Gil, Selma, 119 López-Gálvez, & Allende, 2009; Goodburn & Wallace, 2013; Holah, 2014; Ölmez & 120 Kretzschmar, 2009; Otto, Zahn, Rost, Zahn, Jaros, & Rohm, 2011) (Fig. 1). Most of 121 122 those methods are recognized as environmentally friendly, and do not represent a potential risk to the health and safety of workers and consumers (Fallik, 2014; Holah, 123 2014; Lado & Yousef, 2002). Some good reviews on those alternative disinfection 124 125 strategies have already been published (Forsythe & Hayes, 1998; Gil et al., 2009; Gopal et al., 2010; Lado & Yousef, 2002; Ölmez & Kretzschmar, 2009; Ramos et al., 2013; 126 Tirpanalan et al., 2011) and the last one was written in 2013 (Ramos et al., 2013). The 127 purpose of this review is to provide updated information on all those alternative 128 methods (biological, chemical and physical) taking into account each target: produce, 129

food-contact surfaces and water (Table 1). The use of combined methods to replaceand/or reduce the use of chlorine is also reviewed.

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#### 133 **2.1. Biological-based methods**

#### 134 2.1.1. Bacteriocins

135 One possibility to prevent the growth of both spoilage and pathogenic microorganisms is the exploitation of their competition with other microorganisms, typically with 136 beneficial ones (Ramos et al., 2013). Lactic acid bacteria (LAB) are an example of such 137 beneficial microorganisms, having GRAS (Generally Recognized as Safe) status. 138 Furthermore, LAB produce antimicrobial compounds, such as organic acids and 139 bacteriocins, which can be used as antimicrobials (Rodgers, 2001, 2008). A well-known 140 example of such compound is the bacteriocin nisin. This natural preservative is 141 produced by Lactococcus lactis and is effective mainly against Gram positive bacteria 142 (Arevalos-Sánchez, Regalado, Martin, Domínguez-Domínguez, & García-Almendárez, 143 144 2012; Davies & Delves-Broughton, 1999; Hansen, 1994; Magalhães, Mena, Ferreira, 145 Silva, Almeida, Gibbs, & Teixeira, 2014). The Gram negative bacteria are not affected by this peptide due to their outer membrane. Nevertheless, this drawback can be 146 147 overcome by exposing the cells to chelating agents or osmotic shock that destabilize the outer membrane before the use of nisin (Angiolillo, Conte, & Del Nobile, 2014; Delves-148 149 Broughton, 2005). Nisin acts on the cell membrane forming pores that result in cell death (Arevalos-Sánchez et al., 2012; Bari, Ukuku, Kawasaki, Inatsu, Isshiki, & 150 151 Kawamoto, 2005). The major advantage on the use of nisin as a disinfectant is the fact 152 that it is harmless and is already used as a food preservative (O'Keeffe & Hill, 1999). With regard to fresh produce, Bari et al. (2005) used nisin at 50 ppm for 1 min on mung 153 bean and broccoli and achieved the reduction of L. monocytogenes by 2.20 and 4.35 log 154 CFU/g, respectively. The disinfecting efficacy observed with 1 min contact time was 155 higher for broccoli. Allende, Martínez, Selma, Gil, Suárez, and Rodríguez (2007) 156 incorporated nisin and coagulin individually and combined in tryptic soy agar (TSA) 157 plates and obtained reductions of L. monocytogenes of 1.0-1.5 log colony forming units 158 (CFU) after 48 hours of storage at 4 <sup>o</sup>C. Bacteriocins have also been used to disinfect 159 stainless steel (SS) and glass surfaces. Arevalos-Sánchez et al. (2012) used nisin at 160 6.75×10<sup>-3</sup> ppm for 5 min at 20 <sup>o</sup>C to eliminate *L. monocytogenes* from SS and achieved 161

a 2.58 log CFU/cm<sup>2</sup> reduction. Applying the same concentration for 20 min in glass 162 surfaces resulted in 1.92 log CFU/cm<sup>2</sup> reduction, which means that glass surfaces are 163 more difficult to clean. The search for new chemicals from the microbial metabolism 164 165 will certainly provide new disinfectants to be applied in the food industry. In fact, it is 166 estimated that less than 1% of the bacteria are culturable with current methods, which lead to an underestimation of the microbial diversity and a lack of knowledge on the 167 168 microbial metabolites available in Nature (Lasa, Mira, Camelo-Castillo, Belda-Ferre, & Romalde; Ling, Schneider, Peoples, Spoering, Engels, Conlon, Mueller, Schaberle, 169 170 Hughes, Epstein, Jones, Lazarides, Steadman, Cohen, Felix, Fetterman, Millett, Nitti, 171 Zullo, Chen, & Lewis, 2015).

172 2.1.2. Bacteriophages

The use of bacteriophages as preservatives and disinfecting agents is not a recent 173 174 application, and the interest on these agents has increased throughout the years (Hughes, Sutherland, Clark, & Jones, 1998; Kudva, Jelacic, Tarr, Youderian, & Hovde, 1999; 175 176 Sharma, Ryu, & Beuchat, 2005; Spricigo, Bardina, Cortés, & Llagostera, 2013). Bacteriophages are viruses that infect bacteria causing their lysis (Simões, Simões, & 177 178 Vieira, 2010). The main advantages on the use of lytic bacteriophages to destroy 179 unwanted bacteria are their: i) specificity; ii) mode of action (Spricigo et al., 2013); iii) 180 availability (Hughes et al., 1998); and iv) reduced effects on the organoleptic properties of the products (Sharma et al., 2005). Spricigo et al. (2013) used three different lytic 181 182 bacteriophages (UAB\_Phi 20, UAB\_Phi78, and UAB\_Phi87) to control S. Typhimurium and S. Enteritidis on lettuce. The treatment was performed for 60 min at room 183 184 temperature and the reduction achieved was 3.9 and 2.2 log CFU/g for S. Typhimurium and S. Enteritidis, respectively (Spricigo et al., 2013). Although CFU reduction was 185 186 observed, the treatment time is too long making this strategy impractical for the fresh-cut industry. Kudva et al. (1999) demonstrated that the combination of these three phages 187 was capable to cause the lysis of *E. coli* O157:H7 at both 4 and 37 <sup>o</sup>C. The use of phages 188 was also studied to inactivate L. monocytogenes on melons by (Leverentz, Conway, 189 190 Camp, Janisiewicz, Abuladze, Yang, Saftner, & Sulakvelidze, 2003). These authors 191 obtained a reduction of 2.0-4.6 log CFU per sample (Leverentz et al., 2003). Sillankorva, Oliveira, Vieira, Sutherland, and Azeredo (2004) used a lytic phage (phage 192  $\phi$  S1) on SS coupons and were able to remove 80% of *P. fluorescens* biofilms. These 193 evidences on the efficacy of bacteriophages to control spoilage and 194

pathogenic microorganisms are promising. Nevertheless, further research is required toincrease the antimicrobial action of bacteriophages and to reduce the contact time.

#### 197 2.1.3. Enzymes

198 Enzymes can attack directly the biofilms interfering with their development process, catalyze the formation of antimicrobials, interfere with quorum sensing events, or even 199 200 destroy a mature biofilm (Simões et al., 2010; Thallinger, Prasetyo, Nyanhongo, & Guebitz, 2013). Enzymes mainly target the extracellular polymeric matrix which 201 202 surrounds the biofilm cells and influences the shape of biofilm structure and its 203 resistance to shear forces (Lequette, Boels, Clarisse, & Faille, 2010). Therefore, 204 enzymes can be considered as an alternative method to conventional chemical disinfectants to remove biofilms from produce leaves and/or from abiotic surfaces. Like 205 206 the bacteriophages the application of enzymes requires prolonged contact times to be 207 effective in biofilm control. Another disadvantages on the use of enzymes for biofilm 208 removal is the fact that the EPS are heterogeneous. Therefore the use of pure enzymes do not guarantee complete biofilm elimination. In fact, they should be used as a mixture 209 or combined with other treatments, particularly antimicrobial agents (Augustin, Ali-210 Vehmas, & Atroshi, 2004; Lequette et al., 2010). These formulations are mostly applied 211 212 for the disinfection of food-contact surfaces (Thallinger et al., 2013). Another drawback 213 on the use of enzymes is their relative high cost (Augustin et al., 2004; Simões et al., 214 2010; Thallinger et al., 2013).

215 Typical applications of enzymes on biofilm removal are the use of proteases in pipelines and the removal of proteins from contact lenses (Augustin et al., 2004). Some studies 216 217 have been developed to remove bacterial biofilms found in the food industry 218 particularly on SS surfaces, with the use of proteolytic enzymes (Lequette et al., 2010). Lequette et al. (2010) used a buffer with an anionic surfactant mixed with  $\alpha$ -amylase 219 during 30 min and found that this treatment reduced the biofilm of Bacillus mycoides on 220 SS surfaces by 2.98 log CFU/cm<sup>2</sup>. Augustin et al. (2004) obtained reductions of 4 log 221 222 CFU/mL after treatment with enzymatic solutions (Pandion, Resinase, Spezyme and Paradigm used individually) for 30 min. 223

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## 225 2.1.4. Phytochemicals

Plants have the ability to produce secondary metabolites (phytochemicals) with 226 227 antimicrobial properties against several microorganisms, including pathogens (Belletti, 228 Lanciotti, Patrignani, & Gardini, 2008; Cowan, 1999). These metabolites are divided 229 into diverse chemical classes, such as alkaloids, essential oils, phenolics, polyphenolics, 230 polyacetylenes, lectins and peptides (Borges, Abreu, Malheiro, Saavedra, & Simõe, 2013; Cowan, 1999); and subclasses: isothiocyanates, terpenoids, thiosulfinates, 231 phenolic acids, simple phenols, terpenoids, polyamines, polyketides, quinones, flavones, 232 flavonoids, flavonols, etc (Cowan, 1999; Newman, Cragg, & Snader, 2000; Simões, 233 234 Lemos, & Simões, 2012). Many of these molecules have GRAS status and are already widely used in the food industry (Singh, Singh, Bhunia, & Stroshine, 2002b). Given 235 236 their great variability, the mode of action of phytochemicals is quite diverse. The most 237 common effect involves the increase of the cell membrane permeability leading to the 238 leakage of intracellular compounds (Singh et al., 2002b; Tiwari, Valdramidis, O' Donnell, Muthukumarappan, Bourke, & Cullen, 2009). Such promising phytochemicals 239 240 are the essential oils, which are mostly used as flavoring agents for foodstuffs and in perfumery; however, they are also used as antimicrobial agents in the food industry 241 242 (Borges et al., 2013; Cowan, 1999). Carvacrol is the main component of the essential oil 243 of oregano and thyme. This phytochemical was used by Roller and Seedhar (2002) to 244 disinfect kiwi at a concentration of 150 ppm and resulted in 4.6 log CFU/g reduction of the total viable counts. It has also been used on food-contact surfaces. Soni, Oladunjoye, 245 246 Nannapaneni, Schilling, Silva, Mikel, and Bailey (2013) used concentrations from 0.05 247 to 0.1% of carvacrol (with an exposure time of 1 hour) and reduced 7 log CFU of Salmonella sp. on polystyrene (PS) and SS surfaces. Other authors (Knowles & Roller, 248 2001) used carvacrol (2 mM) and were able to eliminate 2-3 log CFU of adhered 249 bacteria (listeriae and salmonellae) from SS. Gündüz, Gönül, and Karapınar (2010) used 250 251 oregano oil to inactivate S. Typhimurium on lettuce at three different concentrations (25, 40 and 75 ppm) and for four different contact times (5, 10, 15 and 20 min). The 252 253 treatments did not exceed a reduction of 1.92 log CFU/g regardless the condition tested 254 (Gündüz et al., 2010). Those authors also found that the efficacy of 75 ppm of oregano 255 oil was comparable to 50 ppm of chlorine in the disinfection of lettuce (Gündüz et al., 256 2010). Phenolic compounds are the most important and abundant class of phytochemicals (Borges et al., 2013). Cinnamic acid was also used in the study of 257 Roller and Seedhar (2002) with antimicrobial efficacy similar to carvacrol. Even if they 258 259 are green chemicals, phytochemicals can alter the organoleptic properties of the fresh

produce (Belletti et al., 2008; Kentish & Ashokkumar, 2011; Roller & Seedhar, 2002) and the high cost of some of those products can limit their current use at industrial scale (Roller & Seedhar, 2002). In order to have a practical application in the food industry with higher CFU reductions and lower contact times, the use of phytochemicals has to be further studied on the search for new and more effective products and/or on their potential synergistic effects when combined with other methods.

#### 266 **2.2. Chemical-based methods**

#### 267 2.2.1. Calcium lactate

Calcium is usually used to maintain the firmness of fresh produce during storage (Rico, 268 269 Martín-Diana, Frías, Henehan, & Barry-Ryan, 2006) since this is able to interact with pectin, maintaining the structure of the cell wall, while lactate has antimicrobial 270 271 properties (Martín-Diana, Rico, Barry-Ryan, Frias, Mulcahy, & Henehan, 2005a; Martín-Diana, Rico, Frías, Henehan, Mulcahy, Barat, & Barry-Ryan, 2006). Calcium 272 273 lactate has also the advantage of not giving an off-flavor and bitterness to the products 274 (Martín-Diana, Rico, Barry-Ryan, Frías, Mulcahy, & Henehan, 2005b). However, the 275 number of research studies with this product is scarce. One such study by Martín-Diana et al. (2005b) concluded that using a solution of  $3 \times 10^4$  ppm of calcium lactate resulted 276 277 in the same reduction of mesophilic counts in fresh-cut lettuce as a solution of  $12 \times 10^4$ ppm of sodium hypochlorite, while this treatment was considered acceptable by the 278 279 consumer.

#### 280 2.2.2. Chlorine dioxide

281 The use of chlorine dioxide  $(ClO_2)$  in the fresh-cut industry was studied by Tomás-282 Callejas et al. (2012) and compared with sodium hypochlorite. The authors found that 283 ClO<sub>2</sub>: i) has a higher oxidation capacity; ii) does not react with nitrogen or ammonia to form harmful by-products (Rico et al., 2007); iii) has lower reactivity with organic 284 matter; iv) is less corrosive than chlorine (Ölmez & Kretzschmar, 2009); and v) can 285 inhibit enzymatic browning (Chen, Zhu, Zhang, Niu, & Du, 2010). However, the use of 286 ClO<sub>2</sub> also presents some disadvantages: i) its maximum allowed concentration is low (3 287 ppm) (21CFR173.300, 2014); ii) it is unstable since it is explosive and has to be 288 generated on site (Gómez-López, Rajkovic, Ragaert, Smigic, & Devlieghere, 2009); iii) 289 290 its antimicrobial efficiency is pH dependent (Ölmez & Kretzschmar, 2009); and iv) it is 291 readily degraded when exposed to sunlight (Tomás-Callejas, López-Gálvez, Sbodio, Artés, Artés-Hernández, & Suslow, 2012). ClO<sub>2</sub> can be produced by two different ways: 292 293 the reaction of an acid with sodium chlorite, or the reaction of sodium chlorite with chlorine gas (Ölmez & Kretzschmar, 2009) and as thus this can be obtained in either 294 295 aqueous or gaseous forms, respectively (Macnish, Leonard, & Nell, 2008). Although it 296 is a disinfectant accepted by FDA (Food and Drug Administration) (21CFR173.300, 297 2014), its use is still under assessment by the EU in the Regulation No 1062/2014 (EFSA, 2015). The mode of action of ClO<sub>2</sub> is related to its penetration through the cell 298 299 membrane and the subsequent inhibition of metabolic functions (Joshi, Mahendran, Alagusundaram, Norton, & Tiwari, 2013). López-Gálvez, Allende, Truchado, Martínez-300 301 Sánchez, Tudela, Selma, and Gil (2010) found that ClO<sub>2</sub> is as effective as sodium hypochlorite with the advantage of not forming trihalomethanes. Singh, Singh, Bhunia, 302 303 and Stroshine (2002a) used ClO<sub>2</sub> at 10 ppm for 5 min and obtained 1.2 log CFU/g reduction of E. coli O157:H7 on lettuce. In the work of Mahmoud and Linton (2008) 304 305 treatment with ClO<sub>2</sub> gas significantly reduced selected pathogens and inherent microorganisms on lettuce; however, a negative impact on the visual leaf quality was 306 307 observed. Chung, Huang, Yu, Shen, and Chen (2011) evaluated the bactericidal efficacy 308 of ClO<sub>2</sub> and sodium hypochlorite solution for six types of fresh-cut vegetables and fruits 309 and found that 100 ppm of ClO<sub>2</sub> solution reduced 3.5-4.0 log CFU per g of lettuce, carrot and tomato which was better than the action of the sodium hypochlorite solution. 310 Using the same concentration of ClO<sub>2</sub>, Keskinen, Burke, and Annous (2009) achieved 311 1.25 log CFU/g reduction of E. coli O157:H7 on lettuce. Trinetta, Vaidya, Linton, and 312 Morgan (2011) studied gaseous ClO<sub>2</sub> and found no chemical residues in the fresh 313 products tested (tomatoes, lettuce, cantaloupe, alfalfa sprouts, oranges, apples and 314 strawberries). Kreske, Ryu, Pettigrew, and Beuchat (2006) reduced the biofilms of B. 315 316 cereus in 4.42 log CFU per SS coupon applying 200 µg/mL of ClO<sub>2</sub>. This sanitizer has also been used to remove L. monocytogenes biofilms by Robbins, Fisher, Moltz, and 317 Martin (2005). These authors used 5% ClO<sub>2</sub> for 10 min and achieved a reduction of 318 319 4.14 log CFU/chip. Apparently, ClO<sub>2</sub> is a disinfectant equally effective compared to 320 sodium hypochlorite but at lower concentrations and similar contact times.

321 2.2.3. Copper compounds

Microorganisms need copper (Cu) at very low concentrations as a micronutrient, mainly used as a cofactor for certain enzymes and metalloproteins. However, at high

concentrations it alters the membrane integrity, inactivates enzymes and produces free 324 radicals causing cell death (Ibrahim, Yang, & Seo, 2008). Copper compounds have been 325 326 mainly used as fungicides, acting as a mediator of hydroperoxide, inducing cell damage 327 (Costa, 2008). This process is irreversible and affects the respiratory chain, with the 328 consequent loss of viability (Cerioni, Rapisarda, Hilal, Prado, & Rodríguez-329 Montelongo, 2009). The major limitation on the use of copper is related with its toxicity. Copper concentrations ranging from 0.6 to 2.4 ppm have been reported as 96 h 330 LC50 median lethal concentration values for juvenile Penaeus monodon (Chen & Lin, 331 332 2001). Copper is usually used in combination with other products such as lactic acid (Gyawali, Ibrahim, Abu Hasfa, Smqadri, & Haik, 2011; Ibrahim et al., 2008), sodium 333 334 hypochlorite combined with ultrasounds (Rodgers & Ryser, 2004), sodium hypochlorite 335 and hydrogen peroxide (Cerioni, Lazarte Mde, Villegas, Rodriguez-Montelongo, & 336 Volentini, 2013; Cerioni et al., 2009; Cerioni, Volentini, Prado, Rapisarda, & Rodriguez-Montelongo, 2010). These combinations demonstrated to increase 337 338 significantly the antimicrobial effects compared to the products alone.

## 339 2.2.4. Electrolyzed oxidizing water

340 Electrolyzed oxidizing water (EOW) is a relatively new technology applied in the food industry. EOW, also known as activated water, is formed by the electrodyalisis of a 341 342 sodium chloride solution in an electrolysis chamber with an anode and a cathode separated by a membrane (Cheng, Dev, Bialka, & Demirci, 2012; Demirci & Bialka, 343 2010; Deza, Araujo, & Garrido, 2003). To produce EOW, a salt diluted solution and 344 345 current are passed through the chamber dissociating the solution into two separated 346 streams: acid EOW (AcEOW) and alkaline EOW (AlEOW) (Hricova, Stephan, & Zweifel, 2008; Ongeng, Devlieghere, Debevere, Coosemans, & Ryckeboer, 2006). The 347 348 acid solution (pH between 2.5 and 3.5) is formed at the anode and it comprises HCl, HOCl, Cl<sub>2</sub>, OCl<sup>-</sup>, and O<sub>2</sub> and it also has a high oxidation-reduction potential (ORP) -349 350 between 1000 and 1200 mV. This solution is antimicrobial and with a mode of action 351 similar to chlorine (DNA mutations, disruption of cell proteins and enzymes). 352 Additionally and due to its acidity, the cell membrane can be disrupted and the action of hypochlorous acid is facilitated (Demirci & Bialka, 2010; Huang, Hung, Hsu, Huang, & 353 354 Hwang, 2008). The alkaline solution (pH between 10 and 11.5) is produced at the 355 cathode and is composed by hydroxyl ions, which can react with sodium ions forming 356 sodium hydroxide (Cheng et al., 2012; Hricova et al., 2008). This alkaline solution

works as a detergent and has a negative ORP (-800 to -900 mV) (Cheng et al., 2012). 357 The neutral EOW (NEOW) (pH of 7 and an ORP of 700 mV) can be formed by the 358 mixture of these two solutions (Cheng et al., 2012). In fact, the existence of a separating 359 360 membrane is not mandatory and the anode and cathode solutions can be mixed inside 361 the electrolysis cell. This method can be advantageous as the absence of the membrane 362 avoids the occurrence of fouling, while the combined solution has advantages (Demirci & Bialka, 2010). NEOW is not so aggressive to the food-contact surfaces 363 and is more stable than AcEOW, as chlorine decay occurs at low pH (Abadias, 364 365 Usall, Oliveira, Alegre, & Viñas, 2008; Cheng et al., 2012; Deza et al., 2003). 366 NEOW can be used to disinfect food-contact surfaces and decontaminate the produce 367 as it does not change the color or the appearance of the produce due to the neutral pH of the solution (Ayebah & Hung, 2005; Rico, Martín-Díana, Barry-Ryan, Frías, 368 369 Henehan, & Barat, 2008b). This method is environmentally friendly since it only 370 uses salt and water to produce the chemical solution; there are no problems on 371 handling the solution; and when this solution comes in contact with organic matter or when diluted with tap water it becomes water and can be safely discarded (Aday, 372 373 2016; Huang et al., 2008). Moreover, its use has already been approved by the FDA at 374 a maximum concentration of 200 ppm (Food and Drug Administration, 2013). 375 According to Sakurai, Nakatsu, Sato, and Sato (2003) it has been used to disinfect digestive endoscopes between patients, being safe for the human body and for the 376 377 environment. However, it is recommended to be produced in a ventilated place as the generation process leads to the production of Cl<sub>2</sub> and H<sub>2</sub>. Furthermore, the 378 379 equipment used for electrolysis is expensive as it is still not widely distributed and 380 used (Cheng et al., 2012). In terms of surface disinfection, several works have been performed mainly on 381

382 SS surfaces. Arevalos-Sánchez, Regalado, Martin, Meas-Vong, Cadena-Moreno, 383 and García-Almendárez (2013) observed that L. monocytogenes biofilms on SS 384 were completely inhibited after 3 min of contact time with NEOW at 70 ppm of free 385 chlorine. Kim, Hung, Brackett, and Frank (2001) reduced L. monocytogenes biofilms on SS surfaces by 9 log CFU/cm<sup>2</sup> after 5 min of treatment with EOW at 386 56 ppm of free chlorine. Deza, Araujo, and Garrido (2005) studied the disinfection of 387 both SS and glass surfaces with NEOW at 63 ppm of free chlorine for the 388 reduction of E. coli, Pseudomonas aeruginosa, Staphylococcus aureus and L. 389 monocytogenes, reaching 6 log CFU/cm<sup>2</sup> after 1 min of treatment. EOW was also 390 studied for the decontamination of lettuce. Park, Alexander, Taylor, Costa, and Kang, (2008) observed that using AcEOW

(pH of 2.06 and 37.5 ppm of free chlorine) for 1 min reduced 4.45 log CFU/g of E. coli 391 O157:H7 on green onions. Keskinen et al. (2009) used AcEOW (pH 2.6) at 50 ppm of 392 free chlorine to reduce E. coli O157:H7 on lettuce. After 2 min treatment 1 log CFU/g 393 reduction was achieved (Keskinen et al., 2009). Deza et al. (2003) in their study with 394 395 tomatoes, found that NEOW was adequate to reduce E. coli O157:H7, S. Enteritidis and 396 L. monocytogenes by 6 log CFU/mL, following 5 min exposure to the disinfectant at 89 ppm of free chlorine, without affecting the organoleptic properties of the fresh product. 397 Guentzel, Liang Lam, Callan, Emmons, and Dunham (2008) obtained similar results (6 398 399 log CFU/mL reduction) using lettuce contaminated with E. coli, S. Typhimurium, S. aureus, L. monocytogenes and Enterococcus faecalis, following 10 min exposure 400 401 time to NEOW at 20 ppm of free chlorine. Abadias et al. (2008) concluded that the use 402 of NEW (at 50 ppm of free chlorine) was equally effective as decontaminating lettuce 403 with 120 ppm of chlorine solution, obtaining 1-2 log CFU/mL reduction of E. coli O157:H7, Salmonella, L. innocua and Erwinia carotovora. Aday (2016) also found that 404 405 the browning effect caused by 25 ppm of EOW was very low. Therefore, the application 406 of NEW is recommended to reduce the chlorine concentration (Abadias et al., 2008), 407 since the efficiency is higher and the free chlorine content is lower. Further studies are 408 required to characterize the chemical species formed during the generation of NEW, 409 their antimicrobial activity, stability and interaction with organic matter. There are evidences showing that in the presence of organic matter NEOW generates lower 410 amounts of organochlorinated molecules than sodium hypochlorite (Ayebah, Hung, 411 412 Kim, & Frank, 2006).

## 413 2.2.5. Hydrogen peroxide

Hydrogen peroxide  $(H_2O_2)$  is an oxidizer that can form cytotoxic species. The formation 414 415 of these cytotoxic species is what assures its antimicrobial properties (Ölmez & Kretzschmar, 2009; Rahman, Jin, & Oh, 2010; Rico et al., 2007) which can be either 416 bactericidal or bacteriostatic (Brul & Coote, 1999; Ölmez & Kretzschmar, 2009), 417 depending on the concentration, pH and temperature (Beuchat, 1998). This disinfectant 418 419 can be applied on food-contact surfaces (Rico et al., 2007). However, according to Van 420 Haute, Tryland, Veys, and Sampers (2015) the use of  $H_2O_2$  cannot avoid the cross-421 contamination which can still occur in the vegetables washing water, as its 422 decomposition is fast and the disinfection kinetics is slow. Another disadvantage is the 423 browning effects that H<sub>2</sub>O<sub>2</sub> can cause to the vegetables, particularly to lettuce (Beuchat,

1998; Ölmez & Kretzschmar, 2009; Rico et al., 2007). To overtake this aspect this 424 chemical must be added in combination with a suitable anti-browning compound 425 426 (Ölmez & Kretzschmar, 2009), such as sodium erythorbate (Sapers, Miller, Pilizota, & Kamp, 2001). Although H<sub>2</sub>O<sub>2</sub> has a GRAS status, its use in fresh produce 427 428 decontamination is not allowed by FDA (Ölmez & Kretzschmar, 2009). Huang, Ye, and 429 Chen (2012) used  $3 \times 10^4$  ppm of H<sub>2</sub>O<sub>2</sub> to decontaminate baby spinach leaves for 5 430 minutes and obtained 1.6 log CFU/g reduction of E. coli O157:H7. Huang and Chen (2011) achieved similar log CFU reduction (1.5 log CFU/g) of E. coli O157:H7 on the 431 same product, but with a lower concentration of  $H_2O_2$  (2×10<sup>4</sup> ppm). Using a higher 432 concentration of  $H_2O_2$  (5×10<sup>4</sup> ppm) for 2 minutes, Ukuku and Fett (2002) achieved a 433 reduction of 2.0-3.5 log CFU/cm<sup>2</sup> of L. monocytogenes from melon surfaces. Despite 434 the fact that the concentrations used are very high it is an environmental friendly 435 436 disinfectant, as it is quickly decomposes into water and oxygen in the presence of catalase (an enzyme commonly found in plants); furthermore it is colorless and non-437 438 corrosive (Fallik, 2014; St. Laurent, de Buzzaccarini, De Clerck, Demeyere, Labeque, 439 Lodewick, & van Langenhove, 2007).

## 440 2.2.6. Ozone

Ozone  $(O_3)$  is produced as a gas that can be dissolved in water. When it is used in a 441 442 dissolved form, only a small concentration (1-5 ppm) is needed to exert antimicrobial 443 activity. However, higher concentrations are required when it is used as gas, since the 444 humidity of the air affects its penetration into the cells and the consequent disinfection process (Chauret, 2014; Horvitz & Cantalejo, 2014). It is a strong oxidizer with a high 445 446 bactericidal potential (Foong-Cunningham, Verkaar, & Swanson, 2012). Furthermore, it spontaneously decomposes to a non-toxic product, O<sub>2</sub> (Atungulu & Pan, 2012; Kim, 447 448 Yousef, & Khadre, 2003). Nevertheless, its use has some disadvantages: i) is unstable and rapidly decomposes (Chawla, Kasler, Sastry, & Yousef, 2012); ii) can become very 449 450 toxic (Chauret, 2014) as it can affect the respiratory tract and cause irritation to the eyes 451 and throat (Artés, Gómez, Aguayo, Escalona, & Artés-Hernández, 2009); iii) its use is 452 sensitive to the presence of organic matter; iv) has to be generated on site (Chauret, 2014); v) it is not suitable to be used on the produce, as it can affect its physicochemical 453 454 properties (Foong-Cunningham et al., 2012); and vi) is potentially corrosive to the 455 equipment (Sapers, 2009). However, ozone was already approved by FDA to be used on 456 the food industry (21CFR173.368, 2014). In fact, it has been used as a decontaminant

for the produce and disinfectant for the process water (Foong-Cunningham et al., 2012) 457 and food-contact surfaces (Chauret, 2014). Selma, Beltran, Allende, Chacon-Vera, and 458 Gil (2007) applied aqueous ozone at 5 ppm during 5 min to shredded lettuce, and 459 achieved 1.8 log CFU reduction of Shigella sonnei. Vurma, Pandit, Sastry, and Yousef 460 461 (2009) used ozone (5-10 ppm) in the gaseous form to decontaminate spinach leaves. Those authors obtained 1.8 log CFU/g reduction of E. coli O157:H7 (Vurma et al., 462 463 2009). Khadre and Yousef (2001) disinfected SS surfaces with aqueous ozone (5.9 ppm) for 1 min and achieved complete elimination of the microflora present (B. subtilis and 464 465 P. fluorescens). Rosenblum, Ge, Bohrerova, Yousef, and Lee (2012) treated process water contaminated with B. subtilis with 2 ppm ozone for 10 min causing 1.56 log 466 467 CFU/mL reduction. The antimicrobial effective concentrations of ozone are much lower 468 when compared to sodium hypochlorite. However, the corrosiveness and the low 469 stability have to be considered (Simões & Simões, 2013).

470 2.2.7. Quaternary ammonium compounds

Quaternary ammonium compounds (QACs) are cationic surfactants (Ramos et al., 471 2013) usually used at concentrations between 200 and 400 ppm for the disinfection of 472 473 food-contact surfaces (Chauret, 2014). Their mode of action is promoted through their 474 interference with the lipid bilayer of membranes (Velázquez, Barbini, Escudero, 475 Estrada, & Guzmán, 2009). These disinfectants have little effect on spores (Holah, 476 2014), but are highly effective against Gram positive bacteria (Chaidez, Lopez, & 477 Castro-del Campo, 2007; Ohta, Kondo, Kawada, Teranaka, & Yoshino, 2008; Ramos et 478 al., 2013). The main advantages of QACs are: i) their stability in solution; ii) long shelf-479 life; iii) environmentally friendly nature; iv) safe to handle; v) non-corrosive nature (Holah, 2014); vi) are odorless; vii) are effective in a wide range of temperature and pH 480 conditions (Bari & Kawamoto, 2014); and viii) are able to disinfect food-contact 481 surfaces more easily than other disinfectants (Ramos et al., 2013). However, like 482 483 chlorine, QACs antimicrobial activity is affected by the presence of organic matter (Holah, 2014). Moreover, they have low activity in hard water (Bari & Kawamoto, 484 485 2014; Holah, 2014) and are not approved for direct contact with food (Ramos et al., 486 2013). Benzalkonium chloride, benzethonium chloride and cetylpyridinium chloride are 487 examples of commonly used QACs (Izumi, 2014). Velázquez et al. (2009) showed that 488 benzalkonium chloride (0.1 ppm) damaged lettuce leaves with the appearance of yellow 489 spots on the produce after 7 days of storage. On the other hand, Park, Kim, and Koo

490 (2013) proved the efficacy of this disinfectant against microorganisms (B. cereus, Staphylococcus aureus and E. coli) isolated from fresh-cut products. Microbial growth 491 492 was completely inhibited when used at 2 ppm (Park et al., 2013). Wang, Li, and Slavik (2001) used cetylpyridinium chloride at  $5 \times 10^3$  ppm (much higher concentration than the 493 494 one used for benzalkonium chloride) to decontaminate broccoli, cauliflower, and 495 radishes and obtained reductions of 3.70, 3.15 and 1.56 log CFU/g for 496 L. monocytogenes, S. Typhimurium and E. coli O157:H7, respectively. Chaidez et al. (2007) used a mixture of n-alkyl dimethyl benzyl ammonium chloride sulfosuccinate 497 dioetil at 100 ppm and urea at 200 ppm and found that E. coli was more resistant to the 498 499 treatment with QACs than *Staphylococcus aureus*.

500 2.2.8. Sodium bicarbonate

501 Sodium bicarbonate (NaHCO<sub>3</sub>) is currently used as food additive, has GRAS status and 502 has a wide acceptance by the consumers and the food industry, as it is non-toxic and it 503 does not cause damage to the fruits and vegetables (Smilanick, Margosan, Mlikota, 504 Usall, & Michael, 1999). Furthermore, it has a low cost and can also be used to disinfect food-contact surfaces such as SS (Malik & Goyal, 2006). NaHCO3 has been used to 505 control green and blue molds on citrus (Palou, Smilanick, Usall, & Viñas, 2001; 506 507 Smilanick et al., 1999). Palou et al. (2001) evaluated the effects of a treatment with NaHCO<sub>3</sub> (2.5 min at room temperature) for the control of blue mold caused by 508 *Penicillium italicum* on citrus. A solution of  $1 \times 10^4$  ppm was not effective, but solutions 509 of  $2 \times 10^4$  to  $4 \times 10^4$  ppm reduced the blue mold by 50% (Palou et al., 2001). Smilanick et 510 al. (1999) found that  $1.2 \times 10^6$  and  $2.6 \times 10^6$  ppm were the effective doses necessary to 511 512 inhibit 50% and 95% of P. digitatum spores, respectively. Malik and Goyal (2006) used NaHCO<sub>3</sub> at a concentration of  $5 \times 10^4$  ppm and obtained 99.22% reduction of feline 513 514 calicivirus on food-contact surfaces within 1 min of exposure time. As it was described for H<sub>2</sub>O<sub>2</sub>, NaHCO<sub>3</sub> has to be applied in higher concentrations than sodium hypochlorite 515 516 (Smilanick et al., 1999).

517 2.2.9. Weak Organic Acids

518 Weak organic acids, natural or chemically synthetized, are commonly used as 519 preservatives in the food industry (Hirshfield, Terzulli, & O'Byrne, 2003; Lianou, 520 Koutsoumanis, & Sofos, 2012). Their application is well accepted by the consumers 521 since most of them are naturally present in foods as ingredients. Many organic acids

have GRAS status and are FDA and EC (European Commission) approved. Besides 522 their use as preservatives, they are also used as antioxidants, flavoring agents, acidulants 523 and pH regulators (Carpenter & Broadbent, 2009; Theron & Lues, 2011). Citric, acetic 524 and lactic acids are the most common acids applied in the food industry (Ölmez & 525 526 Kretzschmar, 2009; Rico et al., 2007). Their mode of action is based on the acidification 527 of the cytoplasm, disruption of proton motive force, osmotic stress and inhibition of 528 macromolecule synthesis (Brul & Coote, 1999; Carpenter & Broadbent, 2009; Hirshfield et al., 2003). Furthermore, they have a quick mode of action against an 529 530 extensive range of bacteria grown under varying temperatures (Hirshfield et al., 2003; Sagong et al., 2011). Organic acids have advantages towards sodium hypochlorite when 531 used as disinfectants for the fresh-cut industry, as they interaction with organic 532 molecules do not produce toxic or carcinogenic compounds (Lianou et al., 2012). Their 533 534 possible disadvantage could be the change in the flavor of the product that could 535 influence its sensorial analysis. Furthermore, when organic acids are used to disinfect 536 fresh-produce, the wastewater may present high values of both chemical oxygen demand (COD) and biochemical oxygen demand (BOD) (Ölmez & Kretzschmar, 2009). 537 538 Other pointed disadvantages are their high cost and the corrosiveness of the processing 539 equipment that they may provoke (Sagong et al., 2011).

540 Citric acid is a preservative and flavoring agent usually applied in the food and pharmaceutical industries (Ölmez & Kretzschmar, 2009). Contrary to the action of the 541 542 other acids, this acts as a chelating agent of metallic ions present in the medium, preventing microbial proliferation (Gurtler & Mai, 2014). Samara and Koutsoumanis 543 (2009) used citric acid ( $5 \times 10^3$  to  $1 \times 10^4$  ppm) at 20 °C for 1 to 5 min to control L. 544 monocytogenes from lettuce and obtained 1 log CFU/cm<sup>2</sup> reduction. Acetic acid is 545 soluble in lipids and therefore is able to diffuse through the cytoplasmic membrane, 546 547 affecting the intracellular pH of microorganisms, causing cell death (Lianou et al., 2012). Akbas and Olmez (2007) tested concentrations of  $5 \times 10^3$  to  $1 \times 10^4$  ppm of acetic 548 and lactic acids (used in separate) (20 °C for 2 to 5 min) in order to decontaminate 549 550 lettuce leafs. They were able to reduce the populations of E. coli and L. monocytogenes 551 with acetic acid by 2.2 and 1.3 log CFU/g, respectively; lactic acid caused reductions of 552 2.8 and 2.1 log CFU/g, respectively (Akbas & Olmez, 2007). The available research 553 clearly shows that in order to have significantly antimicrobial effects these organic acids 554 have to be used in much higher concentrations than sodium hypochlorite.

555 There are other organic acids that can also be used in the food industry to control microbial growth, such as peracetic acid (PAA), which is usually applied as a 556 557 disinfecting agent of food-contact surfaces under lower concentrations that the other mentioned organic acids (da Silva Fernandes, Kabuki, & Kuaye, 2015). This acid 558 559 combines the active oxygen characteristics of peroxide within an acetic acid molecule. 560 It is sporicidal and very efficient due to its high oxidizing potential (Martín-Espada, 561 D'Ors, Bartolomé, Pereira, & Sánchez-Fortún, 2014; Sudhaus, Nagengast, Pina-Pérez, Martínez, & Klein, 2014). It is believed that PAA acts by disrupting the chemiosmotic 562 563 function of the cytoplasmic membrane (Kitis, 2004). In a study of Vandekinderen, Devlieghere, De Meulenaer, Ragaert, and Van Camp (2009) a treatment of fresh-cut 564 iceberg lettuce with 120 ppm of PAA reduced the native microbial load by 1.2 log 565 CFU/g without affecting the sensorial or nutritional quality of the product. Ge et al. 566 567 (2013) achieved 0.99 log CFU/g reduction of S. Typhimurium on lettuce by applying PAA at 40 ppm for 5 min. Park, Choi, Park, Park, Chung, Ryu, and Kang (2011) studied 568 the decontaminating effects of propionic, acetic, lactic, malic, and citric acid  $(1 \times 10^4)$ 569 ppm, 10 min) against E. coli O157:H7, S. Typhimurium, and L. monocytogenes on 570 571 organic fresh lettuce obtaining reductions of 0.93-1.52 (propionic), 1.13-1.74 (acetic), 572 1.87-2.54 (lactic), 2.32-2.98 (malic) and 1.85-2.86 (citric) log CFU/g. These authors 573 suggested that organic acids are relevant for decontamination of fresh produce.

574

## 2.3. Physical-based methods

#### 575 2.3.1. Ionizing irradiation

576 Ionizing irradiation, such as x-rays, gamma-rays and electron beams, produces ions and electrically charged atoms and molecules. The mode of action of all these forms of 577 578 ionizing radiation is similar: they act on water molecules forming free radicals that destroy or inhibit microorganisms (Ramos et al., 2013). Despite that this method is quite 579 580 effective in microbial growth control, FDA only approves the use of a maximum level of 1.0 kGy to decontaminate vegetables. Thus, if the produce is treated with doses 581 higher than 1.0 kGy it cannot be designated as "fresh" (21CFR101.95, 2014). 582 583 Furthermore, this physical method should better be used in combination with a chemical 584 method, as it only reduces the microbial load to facilitate further chemical disinfection 585 (Doona, Feeherry, Feng, Grove, Krishnamurthy, Lee, & Kustin, 2015). The main 586 advantages of the ionizing radiation are the very low energy requirements and the

reduced heating of the food (Ramos et al., 2013). This method has not yet been adopted
in the fresh produce industry mainly because: i) further research is needed to determine
the necessary doses for different products (Goodburn & Wallace, 2013); ii) the
consumer still have a strong negative perception of irradiated foods (Goodburn &
Wallace, 2013; Ramos et al., 2013); iii) the quality of the fresh produce can be affected,
especially at high doses (Ramos et al., 2013).

593 2.3.2. Membrane filtration

594 Membrane separation can be used to treat the process water, to avoid cross-595 contamination of the produce (Allende, Selma, Lopez-Galvez, Villaescusa, & Gil, 2008; 596 Gil et al., 2009). This procedure involves the flow of water through a semipermeable membrane and the consequent retention of the undesired 597 contaminants on the membrane. Microfiltration (MF), ultrafiltration (UF), 598 599 nanofiltration (NF) and reverse osmosis (RO), are membrane unit operations that can 600 be applied in the food industry to treat the process water (Cassano & Basile, 2013). MF is a dead-end filtration, where the feed flows vertical to the membrane and all the 601 602 solids become retained on the membrane. In MF the membrane pore size is 603 between 0.05 and 10 µm (Berk, 2009; Salehi, 2014), the molecular weight cut off 604 (MWCO) is 200 kDa (Singh, 2015) and the pressure used is below 0.2 MPa (Salehi, 605 2014). This membrane process is usually applied for the retention of microorganisms 606 (Salehi, 2014). UF membranes have a pore size of 0.001-0.05 µm, a MWCO between 607 1 and 300 kDa (Singh, 2015) and a pressure of 0.2-0.5 MPa. UF is usually used to separate proteins and other high molecular weight organic compounds (Salehi, 2014; 608 Singh, 2015). Regarding NF, the membrane pore size is lower than 2 nm (Salehi, 609 2014), the MWCO is between 100 and 1000 Da (Singh, 2015) and the pressure is 610 611 of 0.5-1.5 MPa (Salehi, 2014). Normally NF is used for water softening and the removal of salts (Singh, 2015). In RO the membrane has a pore size of 0.6 nm 612 613 (Singh, 2015), with a MWCO of 100 Da (Salehi, 2014) and the pressure used is 614 between 1.5 and 10 MPa (Berk, 2009; Salehi, 2014; Singh, 2015). The main 615 disadvantage of these methods is the high investment costs associated with the implementation of a membrane separation unit (Casani, Rouhany, & Knøchel, 616 617 2005). Moreover, the costs (acquisition, energy and maintenance) of membrane 618 technology and the limited life span, due to the high pressure drop caused by biofilms, reduces their wide use in the food industry (Melo & Flemming, 2010).

#### 619 2.3.3. Steam jet-injection

620 Steam jet-injection is a heat treatment that destroys microorganisms and inactivates 621 enzymes that might be responsible for produce spoilage (Rico, Martín-Díana, Barry-622 Ryan, Frías, Henehan, & Barat, 2008a). The heat time exposure is usually short ( $\approx 10$ seconds). Consequently, the impairment of organoleptic properties is reduced (Martín-623 624 Diana, Rico, Barry-Ryan, Frías, Henehan, & Barat, 2007). However, the heat exposure still promotes the loss or reduction of the bioavailability of some nutrients (Rico et al., 625 626 2008a). Moreover, the high power consumption associated to the steam jet formation 627 and also the high temperature of the effluents generated have to be considered (Martín-628 Diana et al., 2007; Rico et al., 2008a). Rico et al. (2008a) reported that 10 seconds was 629 the ideal time to obtain a satisfactory mesophilic load reduction for fresh-cut lettuce. In 630 fact, the authors observed a significant loss of vitamin C and carotenoids for longer treatments. Martín-Diana et al. (2007) concluded that the mesophilic load reduction of 631 632 fresh-cut lettuce was the same when using steam jet-injection and chlorine at 120 ppm.

#### 633 2.3.4. Temperature

634 Control of temperature is a key point in microbial growth control. Either refrigeration or heating of water can be applied to control or reduce microbial load, respectively. 635 636 Furthermore, the air temperature can also be reduced to delay microbial proliferation. 637 However, as previously stated for irradiation, this physical method should be used as complement, as it is not usually effective alone to ensure the desired microbiological 638 639 safety of product. Low temperatures can delay food spoilage, but also mask the latent 640 state of the pathogens (Parish et al., 2003). For a product to be considered fresh, the "high" temperatures used have a defined threshold ( $\approx 40 - 60$  °C, 1-5 min) 641 (21CFR101.95, 2014; Fallik, 2014; Parish et al., 2003). Moreover, the high 642 temperatures can induce damages of the produce tissues favoring microbial entrance 643 644 and consequent spoilage (Parish et al., 2003).

645 2.3.5. Ultrasounds

Ultrasounds (US) are sonic waves at high amplitude, above human-hearing threshold
(Otto et al., 2011; Paniwnyk, 2014) that form cavitation bubbles (Seymour, Burfoot,
Smith, Cox, & Lockwood, 2002). These bubbles collapse generating the mechanical
energy responsible for the disinfecting action (detachment) and the chemical energy
responsible for the free radicals formation (destruction) (Bermúdez-Aguirre, Mobbs, &

Barbosa-Cánovas, 2011; Sagong et al., 2011; Seymour et al., 2002), increasing the 651 permeability of cell membranes (Bilek & Turantaş, 2013). By this collapse, hot spots 652 653 are formed (high temperatures and pressure) and free radicals are released, causing 654 DNA modifications in the cells (São José, Andrade, Ramos, Vanetti, Stringheta, & 655 Chaves, 2014). In the food industry, US are used at low frequencies, in the range of 20-656 100 kHz (Paniwnyk, 2014; Sagong et al., 2011), and require the presence of a fluid for 657 transmission. The high-intensity treatments necessary to inactivate the microorganisms can be a drawback as these can affect the organoleptic properties of the produce 658 659 (Seymour et al., 2002). Microbial resistance to US varies according to the cell shape (coccus are more resistant), size (smaller cells are more resistant), Gram nature (Gram 660 661 positive bacteria are more resistant) and cellular metabolism (aerobic microorganisms 662 are more resistant) (Chemat, Zill e, & Khan, 2011; Paniwnyk, 2014).

Comparing this technique with the other methods (chlorine, copper compounds, 663 ionizing irradiation), the main advantages are the safety associated with the sound 664 665 waves and also the fact that it is environmentally friendly (Kentish & Ashokkumar, 2011). The UK Health Protection Agency (HPA) recommends an exposure limit for the 666 667 general public to airborne ultrasound sound pressure levels (SPL) of 70 dB (at 20 kHz), and 100 dB (at 25 kHz and above) (AGNIR, 2010). This method is effective at an 668 optimum temperature of 60 °C, which is definitely a disadvantage when working with 669 670 fresh produce (high temperatures can change the food properties). Seymour et al. (2002) used water at temperatures of 5 °C or 20 °C in the treatment of iceberg lettuce with US 671 672 (5 min) and they concluded that both temperatures had no influence on the organoleptic 673 properties of the fresh food. Therefore, the highest temperature is more favorable as the 674 effects of US on iceberg lettuce decontamination is increased. The water hardness and 675 the amount of dissolved gases have to be taken into account due to the fact that their 676 variability can reduce the cavitation process. Given that US should be applied for a 677 short period of time, they do not affect the appearance of the produce (Sagong et al., 2011). Additionally, US can also be used in combination with other disinfectants, such 678 as chlorine, improving thus the efficacy of both methods (São José et al., 2014). 679

This physical method has been extensively studied in the food industry for produce decontamination and water disinfection. Seymour et al. (2002) reported 1.5 log CFU/g reduction of *S*. Typhimurium on cut iceberg lettuce at 32-40 kHz for 10 min. These authors tested the effects of different frequencies (25, 32 and 70 kHz) and found no

statistical significant differences (Seymour et al., 2002). Birmpa, Sfika, and Vantarakis 684 (2013) achieved 2.30, 5.72 and 1.88 log CFU/g reduction of E. coli, S. Enteritidis, L. 685 innocua, respectively, on lettuce at 37 kHz for 30 min. Kim, Feng, Kushad, and Fan 686 (2006) obtained a lower log CFU/g reduction (1.08) of E. coli O157:H7 on broccoli 687 seeds using the same treatment time and a higher frequency (40 kHz, at 23 °C for 30 688 min). The disinfection of the washing water is also important and Elizaquível, Sánchez, 689 Selma, and Aznar (2012) found a 4.4 log CFU/mL reduction of E. coli O157:H7 in the 690 691 washing water using US at 20 kHz for 53 min, which is obviously a high exposure time 692 and therefore not appropriate for the fresh-cut industry.

#### 693 2.3.6. Ultraviolet light

Ultraviolet (UV) light is an electromagnetic radiation with wavelengths ranging 694 695 between 100 and 400 nm. It is subdivided in four groups: UV-A, UV-B, UV-C and vacuum UV (Gray, 2014). The UV-C (190-280 nm) light (Artés et al., 2009) is used as 696 697 antimicrobial as this induces DNA damages, leading to cell death (Birmpa et al., 2013). 698 However, at lower doses the microorganisms can remain alive, due to their DNA repair 699 mechanisms (Shama, 2014). Insomuch the appropriate precautionary measures are 700 taken, it is a non-toxic, safe and environmentally friendly treatment (Otto et al., 2011), 701 however, its prolonged use can alter the organoleptic properties of the food (Demirci & 702 Krishnamurthy, 2010). UV light can be used for disinfection by either applying a continuous mode (UV lamps) (Gray, 2014), or pulsed UV light (Condón, Álvarez, & 703 704 Gayán, 2014). UV lamps have a tube with a gas (xenon or krypton), mercury and also 705 have an electrode at each side of the tube. When electrical current is passed, the 706 mercury atoms become excited and UV light is produced when the atoms return to their 707 basal state (Gray, 2014). The advantages of UV lamps are their high efficiency 708 (depending on the dose and exposure time) and the reduced process times (Birmpa et al., 2013). When compared to UV lamps, the mode in pulsed UV light is not continuous 709 (the pulse rate is 1 to 20 pulses per second), therefore the energy is multiplied (100 to 710 711 1100 nm) being more efficient (Demirci & Krishnamurthy, 2010). Another advantage is 712 the fact that pulsed UV light can be a mercury free alternative. The main drawback is 713 the temperature increase, which can damage the produce (Condón et al., 2014; Demirci & Krishnamurthy, 2010). 714

715 The method of disinfection by using UV light is usually applied for wastewater

treatment (Hunter & Townsend, 2010), while there are few applications in the food 716 industry. As examples, Birmpa et al. (2013) used UV (254 nm) to reduce the microbial 717 load on lettuce. The treatment reduced 1.75, 1.27, 1.39 and 1.21 log CFU/g the 718 populations of E. coli, L. innocua, S. Enteritidis and Staphylococcus aureus, 719 720 respectively (Birmpa et al., 2013). Bermúdez-Aguirre and Barbosa-Cánovas (2013) 721 used UV light (253.7 nm) to decontaminate lettuce, obtaining 1.7 log CFU/g 722 inactivation of E. coli within 1 h of treatment, which is a long exposure time for the log CFU reduction observed. Ge et al., (Ge et al., 2013) achieved 2.28 log CFU/g reduction 723 of S. Typhimurium on lettuce by applying UV-C treatment for 5 min with an irradiation 724 fluency of  $450 \text{ mJ/cm}^2$ . 725

726

# 727 **3. Combination of disinfection methods**

728 Most of the biological, chemical and physical methods which were previously described 729 have reduced effectiveness in microbial growth control when applied alone. Therefore, 730 these methods have to be combined in order to increase their antimicrobial efficacy. 731 Moreover, when combined with chlorine they will help reducing the use of chlorine to achieve the desired antimicrobial effect. Combinations of physical-chemical (Gabriel, 732 2015), chemical-chemical (Singh et al., 2002b), chemical-biological (Arevalos-Sánchez 733 734 et al., 2012) and biological-biological (Lequette et al., 2010) methods have already been successfully described. The main aim of these combinations is to achieve a more 735 736 effective disinfection process. In fact, the combination of diverse methods allows an wider antimicrobial action (Goodburn & Wallace, 2013). 737

738 Ionizing irradiation is a method to decontaminate fresh produce that is mandatorily combined with a chemical method. Foley, Dufour, Rodriguez, Caporaso, and Prakash 739 (2002) combined this method with chlorine and proved that applying 0.55 kGy of 740 gamma-rays together with 200 ppm of chlorine on shredded iceberg lettuce were able to 741 reduce the population of *E. coli* O157:H7 by 5.4 log CFU/g. However, they used a high 742 concentration of chlorine which is not the purpose of combining the two methods. 743 744 Huang and Chen (2011) combined a physical process (heating at 50  $^{\circ}$ C) with H<sub>2</sub>O<sub>2</sub>  $(2 \times 10^4 \text{ ppm})$  to decontaminate baby spinach. When the physical-chemical combination 745 was applied, the reduction of E. coli O157:H7 was 2.2 log CFU/g, and when H<sub>2</sub>O<sub>2</sub> was 746

used alone, the reduction was significantly lower (Huang & Chen, 2011). Delaquis, 747 Fukumoto, Toivonen, and Cliff (2004) also combined high temperature with chlorine at 748 100 ppm. The authors found that the heating of the fresh-cut iceberg lettuce at 50 °C for 749 1 min resulted in 1.5 log CFU/g reductions of the total microbial population, while the 750 combination with chlorine caused an additional 0.5 log CFU/g reduction (Delaquis et 751 752 al., 2004). Nevertheless, the use of high temperatures can affect the organoleptic properties of the produce (Parish et al., 2003). Seymour et al. (2002) combined US (32-753 754 40 kHz) with 25 ppm chlorine in a 10 min treatment to eliminate S. Typhimurium from 755 fresh cut lettuce. These authors achieved 2.7 log CFU/g reduction, which was higher than the reduction obtained with chlorine (1.7 log CFU/g) or US (1.5 log CFU/g) alone. 756 757 Sagong et al. (2011) reported the combination of US (40 kHz) with lactic acid (2%) to decontaminate organic lettuces for 5 min at 20 °C. They observed 2.75, 2.71 and 2.50 758 759 log CFU/g reductions of E. coli O157:H7, S. Typhimurium and L. monocytogenes, 760 respectively (Sagong et al., 2011). The combination of UV (15 W UV-C lamp) and US 761 (frequencies switched between 28, 45 and 100 kHz at 1 millisecond time intervals) was also assessed by Gabriel (2015). This combination decreased the time necessary to 762 763 obtain the same effect (5 log CFU/mL reduction of E. coli) when both technologies were applied alone (Gabriel, 2015). Rico et al. (2006) combined calcium lactate 764  $(1.5 \times 10^4 \text{ ppm}, \text{ at } 50 \text{ }^{\circ}\text{C})$  with ozone (1 ppm) to extend the shelf life of lettuce, observing 765 a reduced enzymatic browning. The efficiency of ClO<sub>2</sub> (20-40 ppm) was also improved 766 767 in combination with US (170 kHz), reducing Salmonella and E. coli O157:H7 on lettuce 768 by 2.6 and 1.8 log CFU/g (Huang, Xu, Walker, West, Zhang, & Weese, 2006). Ibrahim et al. (2008) combined 5 ppm ozone with 40 ppm ClO<sub>2</sub> for 5 min to decontaminate 769 770 turnip greens and the total bacterial count was reduced by 2.17 log CFU/g.

The combination of copper with lactic acid was considered antimicrobial synergistic by 771 Ibrahim et al. (2008). Gyawali et al. (2011) observed 3.93 log CFU/cm<sup>2</sup> reduction of E. 772 *coli* O157:H7 on lettuce surface combining 40 ppm copper and  $2 \times 10^3$  ppm lactic acid. 773 Rahman et al. (2010) studied cabbage decontamination combining AlEOW (100 ppm) 774 775 with citric acid ( $1 \times 10^4$  ppm) at 50 °C, reducing L. monocytogenes and E. coli O157:H7 by 3.99 and 4.19 log CFU/g, respectively. Citric acid  $(1 \times 10^4 \text{ ppm})$  was combined with 776 ozonated water (3 ppm) for 1 min to decontaminate lettuce (Yuk, Yoo, Yoon, Moon, 777 Marshall, & Oh, 2006). When 5 ppm ozone was used for 5 min it caused 1.09 and 0.94 778 779 log CFU/g reduction of the populations of E. coli O157:H7 and L. monocytogenes,

780 respectively. However, when this was combined with citric acid higher reductions were 1.84 log CFU/g for E. coli O157:H7 and L. 781 observed: 2.31 and monocytogenes, respectively (Yuk et al., 2006). Combining sodium bicarbonate with 782  $H_2O_2$  proved to be more efficient than using sodium bicarbonate alone. Malik and 783 784 Goyal (2006) reduced 99.68% the population of feline calcivirus by combining  $2 \times 10^4$  ppm of sodium bicarbonate with  $2 \times 10^4$  ppm of  $H_2O_2$  for 10 min. Van 785 786 Haute, López-Gálvez, Gómez-López, Eriksson, Devlieghere, Allende, and Sampers (2015) combined peracetic acid (20 ppm) and lactic acid (4000 ppm) to treat the 787 788 wash water of fresh-cut leafy vegetables. The authors proved that the use of this treatment is better than the use of chlorine, however, higher disinfectant 789 790 concentrations are needed because the inactivation of E. coli O157 is slower, 791 when compared to the chlorine kinetics. Enzymes have also been used in combination 792 with US in order to remove E. coli biofilms from SS surfaces (Oulahal-Lagsir, 793 Martial-Gros, Bonneau, & Blum, 2003). When US (40 kHz) were used alone, 30% 794 of biofilm mass removal was achieved. However, when US were used in combination with enzymes (trypsin) biofilm removal reached 76% (Oulahal-Lagsir et al., 795 796 2003). The combination of UV and ozone was also applied by Selma, Allende, López-Gálvez, Conesa, and Gil (2008) achieving 6.6 log CFU/mL microbial 797 798 reduction in escarole wash water. Ge et al. (2013) studied the combination of UV-C with chlorine and UV-C with PAA. When they combined UV-C (irradiation 799 fluency of 900 mJ/cm<sup>2</sup>, 10 min) with chlorine (200 ppm, 10 min) 2.40 log CFU/g 800 reduction of S. Typhimurium on lettuce was achieved; when combining UV-C 801 (irradiation fluency of 900 mJ/cm<sup>2</sup>, 10 min) with PAA (80 ppm, 10 min) 2.52 log 802 CFU/g was obtained. The treatments applied alone caused 2.29, 0.99 and 0.95 log 803 CFU/g reduction for UV-C (irradiation fluency of 900 mJ/cm<sup>2</sup>, 10 min), chlorine and 804 805 PAA, respectively (Ge et al., 2013). From all the previous examples, it becomes rather 806 clear that the combination of methods is a promising effort to replace and/or reduce the use of chlorine.

807

# 4. Conclusions and future perspectives

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809 The environmental and public health concerns on the use of chlorine are constantly

810 increasing, with some European countries having already prohibited its use (Bilek &

811 Turantaş, 2013; Fallik, 2014; Ölmez & Kretzschmar, 2009; Ramos et al., 2013). Chlorine is considered pollutant due to its ability to form mutagenic and carcinogenic

compounds when reacting with organic matter (Kumar & Anand, 1998; Ölmez & 812 Kretzschmar, 2009) and it has also been included in the indicative list of the Directive 813 on Industrial Emissions (IPPC, 2007/0286 (COD)) (European Comission, 2007). 814 815 Several new strategies aiming to completely avoid or reduce the use of chlorine have 816 already been studied and were described in this review: greener alternatives based on the use of biological compounds - bacteriocins, bacteriophages, enzymes and 817 phytochemicals; the use of alternative chemicals - ClO<sub>2</sub>, electrolyzed oxidizing water, 818 H<sub>2</sub>O<sub>2</sub>, ozone, organic acids, etc.; or even physical methods - irradiation, filtration, US, 819 820 UV light, etc; and also the combination of strategies. It is worth to be noted that the disinfection of the water from the produce washing process should be studied in more 821 822 detail since its use without proper microbiological quality can lead to crosscontamination and microbial accumulation, impairing the decontamination of the 823 824 produce, disinfection of food-contact surfaces and water. Water disinfected properly can be re-used in the process, reducing water consumption. Furthermore, biofilm formation 825 826 and pathogens internalization should be also seriously considered, as both can reduce the action of disinfectants. Despite the advantages of the alternative methods which 827 828 were here described, much research is still needed for the discovery and development of 829 new strategies and for their effective use at industrial scale.

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## 831 **5. Search methodology**

832 The databases used on the search of this work were the Scopus webpage, as well as books and encyclopedias in the authors' possession. The search lasted 3 years in the 833 area of disinfection of fresh-cut vegetables. The keywords used were fresh-cut 834 835 vegetables industry, minimally processed vegetables, disinfection, sanitation, decontamination, chlorine, alternatives, biological, physical, chemical, electrolyzed 836 837 oxidizing water, quaternary ammonium compounds, ultrasounds, ultraviolet, hydrogen peroxide, ozone, sodium bicarbonate, enzymes, phytochemicals, bacteriocins, organic 838 839 acids, peracetic acid, irradiation, copper, bacteriophages, steam jet injection.

## 840 Acknowledgements

841 This work was financially supported by: Project UID/EQU/00511/2013-LEPABE
842 (Laboratory for Process Engineering, Environment, Biotechnology and Energy –

843 EQU/00511) by FEDER funds through Programa Operacional Competitividade e

844 Internacionalização – COMPETE2020 and by national funds through FCT - Fundação

para a Ciência e a Tecnologia; European Research Project SusClean (Contract number

846 FP7-KBBE-2011-5, project number: 287514); PhD grant awarded to Ana Meireles

- 847 (SFRH/BD/52624/2014). Authors also acknowledge the EU COST Action FA1202
- 848 (CGA-FA1202): "A European Network for Mitigating Bacterial Colonization and
- 849 Persistence on Foods and Food Processing Environments" (http://www.bacfoodnet.org/)
- 850 for facilitating collaborative networking that assisted in the writing of this review.

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## **Figure Captions**

Figure 1 – Schematic overview on the advantages and disadvantages of chlorine and the
alternative methods of disinfection and/or decontamination (biological, physical,
chemical and their combination).

<ul> <li>Biological</li> <li>✓ Environmentally friendly</li> <li>✓ Natural preservatives</li> <li>✓ Harmless</li> <li>× Elevated cost</li> <li>× Affect the organoleptic properties</li> <li>× Not so efficient individually</li> </ul>	<ul> <li>Physical</li> <li>✓ Environmentally friendly</li> <li>✓ Non-toxic</li> <li>✓ Safe</li> <li>× Elevated cost</li> <li>× Not so efficient individually</li> <li>× Affect the organoleptic properties</li> <li>× Negative perception by the consumer</li> </ul>	
<ul> <li>✓ Widely used</li> <li>✓ Low cost</li> <li>✓ Effective</li> <li>× Produces ca</li> </ul>	<ul> <li>✓ Effective</li> <li>× Produces carcinogenic and mutagenic compounds</li> </ul>	
<ul> <li>Chemical</li> <li>✓ Effective</li> <li>✓ Well studied</li> <li>✓ Low cost</li> <li>× Artificial</li> <li>× Secondary products formed</li> <li>× Low maximum concentrations allowed</li> <li>× Affect the organoleptic properties</li> </ul>	Combination ✓ Increase effectiveness ✓ Safe ✓ Harmless ✓ Environmentally friendly × High cost	





1 Table 1 - Alternative disinfection methods used in the fresh-cut food industry, applied on the food-contact surfaces, on the produce and on the

2 water

Target	Method	Results	Reference
	Nisin (6.75×10 <sup>-3</sup> ppm, 5 min, 20 <sup>0</sup> C)	Reduction of 2.58 log CFU/cm <sup>2</sup> of <i>L. monocytogenes</i> on SS surfaces	(Arevalos-Sánchez et al., 2012)
	Nisin (6.75×10 <sup>-3</sup> ppm, 20 min, 20 <sup>o</sup> C)	Reduction of 1.92 log CFU/cm <sup>2</sup> of <i>L. monocytogenes</i> on glass surfaces	(Arevalos-Sánchez et al., 2012)
	Lytic phage (phage $\phi$ S1)	Reduction of 80% of <i>P. fluorescens</i> biofilm on SS surfaces	(Sillankorva et al., 2004)
	Carvacrol (0.05 to 0.1%, 1 hour)	Reduction of 7 log CFU of <i>Salmonella</i> sp. on PS and SS surfaces	(Soni et al., 2013)
	Carvacrol (2 mM)	Reduction of 2-3 log CFU of bacteria (listeriae and salmonellae) on SS surfaces	(Knowles & Roller, 2001)
	ClO <sub>2</sub> (200 µg/mL)	Reduction of 4.42 log CFU of <i>B. cereus</i> on SS surfaces	(Kreske et al., 2006)
	ClO <sub>2</sub> (5%, 10 min)	Reduction of 4.14 log CFU/chip of L. monocytogenes biofilm	(Robbins et al., 2005)
	EOW (56 ppm of free chlorine, 5 min)	Reduction of 9 log CFU/cm <sup>2</sup> of <i>L. monocytogenes</i> on SS surfaces	(Kim et al., 2001)
	NEOW (63 ppm of free chlorine, 1 min)	Reduction of 6 log CFU/cm <sup>2</sup> of <i>E. coli</i> , <i>P. aeruginosa</i> , <i>Staphylococcus aureus</i> and <i>L. monocytogenes</i> on SS and glass surfaces	(Deza et al., 2005)
	NEOW (70 ppm of free chlorine, 3 min)	L. monocytogenes biofilms (on SS surfaces) completely inhibited	(Arevalos-Sánchez et al., 2013)
	Aqueous ozone (5.9 ppm, 1 min)	<i>B. subtilis</i> and <i>P. fluorescence</i> were completely eliminated from SS surfaces	(Khadre & Yousef, 2001)
	Sodium bicarbonate (5×10 <sup>4</sup> ppm, 1 min)	Reduction of 99.22% of feline calicivirus on food contact surfaces	(Malik & Goyal, 2006)
	US (40 kHz)	30% of <i>E. coli</i> biofilm removal on SS	(Oulahal-Lagsir et al., 2003)
	$\alpha$ -amylase + Realco B (30 min)	Reduction of 2.98 log CFU/cm <sup>2</sup> of <i>B. mycoides</i> on SS	(Lequette et al., 2010)

	surfaces	
Sodium bicarbonate $(2 \times 10^4 \text{ ppm}) + \text{H}_2\text{O}_2 (2 \times 10^4 \text{ ppm})$ , for 10 min	Reduction of 99.68% of feline calcivirus on food contact surfaces	(Malik & Goyal, 2006)
US (40 kHz) + trypsin (7600 U/mL)	76% of <i>E. coli</i> biofilm removal on SS	(Oulahal-Lagsir et al., 2003)
Nisin (50 ppm, 1 min)	Reduction of 2.20 and 4.35 log CFU of <i>Listeria monocytogenes</i> on mung bean and broccoli, respectively	(Bari et al., 2005)
Lytic bacteriophages (UAB_Phi 20, UAB_Phi78, and UAB_Phi87) (60 min at room temperature)	Reduction of 3.9 and 2.2 log CFU/g for <i>S</i> . Typhimurium and <i>S</i> . Enteritidis, respectively, on lettuce	(Spricigo et al., 2013)
Lytic L. monocytogenes-specific phages	Reduction of 2.0-4.6 log CFU of <i>L. monocytogenes</i> per melon sample	(Leverentz et al., 2003)
Carvacrol (150 ppm)	Reduction of the total viable counts in 4.6 log CFU/g on kiwi	(Roller & Seedhar, 2002)
Cinnamic acid (150 ppm)	Reduction of the total viable counts in 4.6 log CFU/g on kiwi	(Roller & Seedhar, 2002)
Oregano oil (25, 40 and 75 ppm, at 5, 10, 15 and 20 min)	Reduction of 1.92 log CFU/g of S. Typhimurium on lettuce	(Gündüz et al., 2010)
ClO <sub>2</sub> (10 ppm, 5 min)	Reduction of 1.2 log CFU/g of <i>E. coli</i> O157:H7 on lettuce	(Singh et al., 2002a)
ClO <sub>2</sub> (100 ppm)	Reduction of 3.5-4.0 log CFU/g of total bacterial and coliform counts on lettuce	(Chung et al., 2011)
ClO <sub>2</sub> (100 ppm)	Reduction of 1.25 log CFU/g of <i>E. coli</i> O157:H7 on lettuce	(Keskinen et al., 2009)
AcEOW (pH 2.6, at 50 ppm (free chlorine), 2 min)	Reduction of 1 log CFU/g of <i>E. coli</i> O157:H7 on lettuce	(Keskinen et al., 2009)
AcEOW (pH 2.06, at 37.5 ppm (free chlorine), 1 min)	Reduction of 4.45 log CFU/g of <i>E. coli</i> O157:H7 on green onions	(Park et al., 2008)
NEOW (89 ppm (free chlorine), 5 min treatment)	Reduction of 6 log CFU/mL of <i>E. coli</i> O157:H7, <i>S.</i> Enteritidis and <i>L. monocytogenes</i> on tomatoes	(Deza et al., 2003)
NEOW (20 ppm (free chlorine), 10 min)	Reduction of 6 log CFU/mL of <i>E. coli</i> , <i>S.</i> Typhimurium, <i>Staphylococcus aureus</i> , <i>L. monocytogenes</i> and <i>Enterococcus</i> <i>faecalis</i> , on lettuce	(Guentzel et al., 2008)
NEW (50 ppm free chlorine)	Reduction of 1-2 log CFU/mL of <i>E. coli</i> O157:H7, <i>Salmonella</i> , <i>L. innocua</i> and <i>Erwinia carotovora</i> on lettuce	(Abadias et al., 2008)

Н	H <sub>2</sub> O <sub>2</sub> (3×10 <sup>4</sup> ppm, 5 min)	Reduction of 1.6 log CFU/g reduction of <i>E. coli</i> O157:H7 on baby spinach leaves	(Huang et al., 2012)
Н	$H_2O_2$ (5×10 <sup>4</sup> ppm, 2 min)	Reduction of 2.0-3.5 log CFU/cm <sup>2</sup> of <i>L. monocytogenes</i> from melon surfaces	(Ukuku & Fett, 2002)
Н	H <sub>2</sub> O <sub>2</sub> (2×10 <sup>4</sup> ppm)	Reduction of 1.5 log CFU/g of <i>E. coli</i> O157:H7 on baby spinach leaves	(Huang & Chen, 2011)
	Citric acid ( $5 \times 10^3$ to $1 \times 10^4$ ppm, at 20 <sup>o</sup> C for 1 to 5 min)	Reduction of 1 log CFU/cm <sup>2</sup> of <i>Listeria monocytogenes</i> from lettuce	(Samara & Koutsoumanis, 2009)
А	Acetic acid (20 °C for 2-5 min)	Reduction 2.2 and 1.3 log CFU/g of <i>E. coli</i> and <i>L. monocytogenes</i> respectively, from lettuce	(Akbas & Olmez, 2007)
L	Lactic acid (20 <sup>o</sup> C for 2-5 min)	Reduction of 2.8 and 2.1 log CFU/g of <i>E. coli</i> and <i>L. monocytogenes</i> , respectively, from lettuce	(Akbas & Olmez, 2007)
Р	PAA (120 ppm)	Reduction of the microbial load in 1.2 log CFU/g on fresh- cut iceberg lettuce	(Vandekinderen et al., 2009)
Р	PAA (40 ppm, 5 min)	Reduction of 0.99 log CFU/g of S. Typhimurium on lettuce	(Ge et al., 2013)
	Propionic acid ( $1 \times 10^4$ ppm, 10 min)	Reduction of 0.93-1.52 log CFU/g of <i>E. coli</i> O157:H7, <i>S.</i> Typhimurium, and <i>L. monocytogenes</i> on organic fresh lettuce	(Park et al., 2011)
А	Acetic acid ( $1 \times 10^4$ ppm, 10 min)	Reduction of 1.13-1.74 log CFU/g of <i>E. coli</i> O157:H7, <i>S.</i> Typhimurium, and <i>L. monocytogenes</i> on organic fresh lettuce	(Park et al., 2011)
L	Lactic acid (1×10 <sup>4</sup> ppm, 10 min)	Reduction of 1.87-2.54 log CFU/g of <i>E. coli</i> O157:H7, <i>S.</i> Typhimurium, and <i>L. monocytogenes</i> on organic fresh lettuce	(Park et al., 2011)
Ν	Malic acid (1×10 <sup>4</sup> ppm, 10 min)	Reduction of 2.32-2.98 log CFU/g of <i>E. coli</i> O157:H7, <i>S.</i> Typhimurium, and <i>L. monocytogenes</i> on organic fresh lettuce	(Park et al., 2011)
С	Citric acid (1×10 <sup>4</sup> ppm, 10 min)	Reduction of 1.85-2.86 log CFU/g of <i>E. coli</i> O157:H7, <i>S.</i> Typhimurium, and <i>L. monocytogenes</i> on organic fresh lettuce	(Park et al., 2011)
В	Benzalkonium chloride (2 ppm)	Growth of <i>B. cereus</i> , <i>Staphylococcus aureus</i> and <i>E. coli</i> (isolated from fresh vegetables) completely inhibited	(Park et al., 2013)
С	Cetylpyridinium chloride (5×10 <sup>3</sup> ppm)	Reduction of 3.70, 3.15 and 1.56 log CFU/g for <i>L. monocytogenes</i> , <i>S.</i> Typhimurium and <i>E. coli</i> O157:H7, respectively, from broccoli, cauliflower, and radishes	(Wang et al., 2001)
А	Aqueous ozone (5 ppm, 5 min)	Reduction of 1.8 log CFU of <i>Shigella sonnei</i> from shredded lettuce	(Selma et al., 2007)

Gaseous ozone (5-10 ppm)	Reduction 1.8 log CFU/g of <i>E. coli</i> O157:H7 on spinach leaves	(Vurma et al., 2009)
Sodium bicarbonate $(2 \times 10^4 \text{ to } 4 \times 10^4 \text{ ppm}, 15 \text{ seconds at room temperature})$		(Palou et al., 2001)
UV lamp (254 nm)	Reductions of 1.75, 1.27, 1.39 and 1.21 log CFU/g of <i>E. coli</i> , <i>L. innocua</i> , <i>S.</i> Enteritidis and <i>Staphylococcus aureus</i> , respectively, on lettuce	(Birmpa et al., 2013)
UV lamp (253.7 nm, 60 min)	Reduction of 1.7 log CFU/g of <i>E. coli</i> on lettuce	(Bermúdez-Aguirre & Barbosa-Cánovas, 2013)
UV-C lamp (254 nm, irradiation fluency of 45 mJ/cm <sup>2</sup> , 5 min)	Reduction of 2.28 log CFU/g of <i>S</i> . Typhimurium on lettuce by applying a.	(Ge et al., 2013)
US (32-40 kHz, 10 min)	Reduction of 1.5 log CFU/g of <i>S</i> . Typhimurium on cut iceberg lettuce	(Seymour et al., 2002)
US (37 kHz, 30 min)	Reduction of 2.30, 5.72 and 1.88 log CFU/g of <i>E. coli</i> , <i>S.</i> Enteritidis, <i>L. innocua</i> , respectively, on lettuce.	(Birmpa et al., 2013)
US (40 kHz, at 23 <sup>o</sup> C, for 30 min)	Reduction of 1.08 log CFU/g of <i>E. coli</i> O157:H7 on broccoli seeds	(Kim et al., 2006)
Irradiation (0.55 kGy) + chlorine (200 ppm)	Reduction of 5.4 log CFU/g of <i>E. coli</i> O157:H7 on shredded iceberg lettuce	(Foley et al., 2002)
Heating $(50 \ ^{0}C) + H_{2}O_{2} (2 \times 10^{4} \text{ ppm})$	Reduction of 2.2 log CFU/g of <i>E. coli</i> O157:H7 on baby spinach	(Huang & Chen, 2011)
Heating (50 $^{0}$ C, 1 min) + chlorine (100 ppm)	Reduction of 2.0 log CFU/g of total microbial populations on fresh-cut iceberg lettuce	(Delaquis et al., 2004)
US (32-40 kHz) + chlorine (25 ppm) (10 mi treatment)	lettuce	(Seymour et al., 2002)
US (40 kHz) + lactic acid (2%), for 5 min a $20$ °C	respectively, on organic lettuces	(Sagong et al., 2011)
ClO <sub>2</sub> (20-40 ppm) + US (170 kHz)	Reduction of 2.6 and 1.8 log CFU/g of <i>Salmonella</i> spp. and <i>E. coli</i> O157:H7 on lettuce	(Huang et al., 2006)
$ClO_2$ (40 ppm) + ozone (5 ppm) (5 min treatment	) Reduction of 2.17 log CFU/g of total bacterial count on	(Ibrahim et al., 2008)

	· ·	
	turnip greens	
Copper (40 ppm) + lactic acid ( $2 \times 10^3$ ppm)	Reduction of 3.93 log CFU/cm <sup>2</sup> of <i>E. coli</i> O157:H7 on lettuce surface	(Gyawali et al., 2011)
AlEOW (100 ppm) + citric acid (1×10 <sup>4</sup> ppm) at 50 $^{\circ}C$	Reduction of 3.99 log CFU/g and 4.19 log CFU/g of <i>L.</i> <i>monocytogenes</i> and <i>E. coli</i> O157:H7, respectively, on cabbage	(Rahman et al., 2010)
Citric acid $(1 \times 10^4 \text{ ppm}) + \text{ozonated water (3 ppm), for 1 min}$	Reduction of 2.31 and 1.84 log CFU/g of <i>E. coli</i> O157:H7 and <i>L. monocytogenes</i> on lettuce	(Yuk et al., 2006)
UV-C (254 nm, irradiation fluency of 900 mJ/cm <sup>2</sup> , 10 min) + chlorine (200 ppm, 10 min)	Reduction of 2.40 log CFU/g of S. Typhimurium on lettuce	(Ge et al., 2013)
UV-C (254 nm, irradiation fluency of 900 mJ/cm <sup>2</sup> , 10 min) + PAA (80 ppm, 10 min)	Reduction of 2.52 log CFU/g of S. Typhimurium on lettuce	(Ge et al., 2013)
Ozone (2 ppm, 10 min)	Reduction of 1.56 log CFU/mL of B. subtilis	(Rosenblum et al., 2012)
US (20 kHz, 53 min)	Reduction of 4.4 log CFU/mL of <i>E. coli</i> O157:H7 on fresh- cut vegetables wash water	(Elizaquível et al., 2012)
UV and ozone (60 min)	Microbial reduction of 6.6 log CFU/mL in escarole wash water	(Selma et al., 2008)
QACs (n-alkyl dimethyl benzyl ammonium chloride sulfosuccinate dioetil and urea) (100 and 200 ppm, 30 and 120 seconds)	Reduction of 99.99% of <i>E. coli</i> and <i>Staphylococcus aureus</i> (for 100 and 200 ppm, for 30 and 120 seconds, for low and high turbidity), except for <i>E. coli</i> with high turbidity in the disinfection process of 100 ppm at 30 and 120 seconds (20.78% and 87.94%, respectively).	(Chaidez et al., 2007)