

U. PORTO

FMUP FACULDADE DE MEDICINA
UNIVERSIDADE DO PORTO

MESTRADO INTEGRADO EM MEDICINA

2018/2019

Diogo André Barroso Ferreira

Diagnóstico Genético Pré-Implantação para a doença de
Huntington: perspectiva de um centro Português /
Preimplantation genetic testing for Huntington's disease: the
perspective of one Portuguese centre

março, 2019

FMUP

U. PORTO

FMUP FACULDADE DE MEDICINA
UNIVERSIDADE DO PORTO

Diogo André Barroso Ferreira

Diagnóstico Genético Pré-Implantação para a doença de
Huntington: perspetiva de um centro português /
Preimplantation diagnostic testing for Huntington's
disease : the perspective of one Portuguese centre

Mestrado Integrado em Medicina

Área: Biotecnologia médica

Tipologia: Dissertação

**Trabalho efetuado sob a Orientação de:
Doutora Filipa Abreu Gomes de Carvalho**

**Trabalho organizado de acordo com as normas da revista:
Porto Biomedical Journal**

março, 2019

FMUP

Eu, Diogo André Barroso Ferreira, abaixo assinado, nº mecanográfico 201304572, estudante do 6º ano do Ciclo de Estudos Integrado em Medicina, na Faculdade de Medicina da Universidade do Porto, declaro ter atuado com absoluta integridade na elaboração deste projeto de opção.

Neste sentido, confirmo que **NÃO** incorri em plágio (ato pelo qual um indivíduo, mesmo por omissão, assume a autoria de um determinado trabalho intelectual, ou partes dele). Mais declaro que todas as frases que retirei de trabalhos anteriores pertencentes a outros autores, foram referenciadas, ou redigidas com novas palavras, tendo colocado, neste caso, a citação da fonte bibliográfica.

Faculdade de Medicina da Universidade do Porto, 17/03/2019

Assinatura conforme cartão de identificação:

Diogo Ferreira

NOME

Diogo André Barroso Ferreira

NÚMERO DE ESTUDANTE

201304572

E-MAIL

up201304572@med.up.pt

DESIGNAÇÃO DA ÁREA DO PROJECTO

Biotecnologia médica

TÍTULO DISSERTAÇÃO/MONOGRAFIA (riscar o que não interessa)

Preimplantation genetic testing for Huntington's disease: the perspective of one portuguese center.

ORIENTADOR

Doutora Filipa Abreu Gomes de Carvalho

COORIENTADOR (se aplicável)

ASSINALE APENAS UMA DAS OPÇÕES:

É AUTORIZADA A REPRODUÇÃO INTEGRAL DESTES TRABALHOS APENAS PARA EFEITOS DE INVESTIGAÇÃO, MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A TAL SE COMPROMETE.	<input checked="" type="checkbox"/>
É AUTORIZADA A REPRODUÇÃO PARCIAL DESTES TRABALHOS (INDICAR, CASO TAL SEJA NECESSÁRIO, Nº MÁXIMO DE PÁGINAS, ILUSTRAÇÕES, GRÁFICOS, ETC.) APENAS PARA EFEITOS DE INVESTIGAÇÃO, MEDIANTE DECLARAÇÃO ESCRITA DO INTERESSADO, QUE A TAL SE COMPROMETE.	<input type="checkbox"/>
DE ACORDO COM A LEGISLAÇÃO EM VIGOR, (INDICAR, CASO TAL SEJA NECESSÁRIO, Nº MÁXIMO DE PÁGINAS, ILUSTRAÇÕES, GRÁFICOS, ETC.) NÃO É PERMITIDA A REPRODUÇÃO DE QUALQUER PARTE DESTES TRABALHOS.	<input type="checkbox"/>

Faculdade de Medicina da Universidade do Porto, 17/03/2019

Assinatura conforme cartão de identificação:

Diogo Ferreira

Preimplantation genetic testing for Huntington's disease: the perspective of one Portuguese centre

Diogo Ferreira¹, Berta Carvalho^{1,2}, Ana Paula Neto^{1,2}, Joaquina Silva³, Ana Margarida Póvoa^{2,4}, Alberto Barros^{1,2,3}, Filipa Carvalho^{1,2,*}

¹Serviço de Genética, Departamento de Patologia, Faculdade de Medicina, Universidade do Porto, Portugal

²Instituto de Investigação e Inovação em Saúde, i3s, Universidade do Porto, Portugal

³Centro de Genética da Reprodução A. Barros, Porto, Portugal

⁴Serviço de Ginecologia e Obstetrícia, Centro Hospitalar Universitário S. João, e Departamento de Ginecologia-Obstetrícia e Pediatria, Faculdade de Medicina, Universidade do Porto, Portugal

*Corresponding author:

Filipa Carvalho (filipac@med.up.pt)

Serviço de Genética, Departamento de Patologia, Faculdade de Medicina, Universidade do Porto
Alameda Prof. Hernâni Monteiro

4200-319 Porto, Portugal

Abstract

Background: Huntington disease (HD) is an autosomal dominant late onset neurodegenerative disease caused by an unstable cytosine-adenine-guanine (CAG) trinucleotide repeat expansion in the huntingtin (*HTT*) gene. Preimplantation Genetic Testing (PGT) is a diagnostic procedure available for these individuals, since they carry a high risk of transmitting this genetic condition to their offspring.

Methods: Information about fifteen HD couples referred for PGT and twenty-one cycles performed from 2009 to 2018 was collected retrospectively.

PGT provide direct testing of embryos obtained after intracytoplasmic sperm injection (ICSI), using PCR multiplex as the genetic testing protocol.

Results: PGT for HD was performed in 15 couples, with no history of previous attempts, in a total of twenty-one cycles. The mean number of biopsied embryos per cycle was 4,9. The amplification efficiency in blastomeres was 87,4%. From the 90 amplified embryos, 32 were normal and suitable for transfer. The mean number of transferred embryos per couple was 1,2.

Overall, 3 positive hCG tests were obtained in 3 couples, resulting in 2 clinical pregnancies. The clinical pregnancy rate was 14.3% per embryo transfer. The 2 ongoing clinical pregnancies had normal evolution, and culminated in 2 deliveries, resulting in the birth of two healthy children.

Conclusions: PGT for Huntington disease is considered an effective and safe reproductive option for couples who are at risk of transmitting HD, when proper genetic and reproductive counselling is warranted.

Introduction

Huntington disease (HD) is an autosomal dominantly inherited, late onset neurodegenerative disease caused by a dynamic mutation in the huntingtin (*HTT*) gene: an expanded cytosine-adenine-guanine (CAG) triplet repeat.¹ *HTT* gene is responsible for the synthesis of the huntingtin protein. Normally, the CAG segment is repeated 10 to 35 times within the gene. In HD patients the CAG segment is repeated 36 to more than 120 times. HD is observed at reduced penetrance for repeat ranging between 36-39 and at full penetrance for repeat counts over 40.² Repeat length is not stable during meiosis, and it can expand in the subsequent generations, particularly when mutation is paternally derived.

Normal HTT plays a vital role in brain development, being mainly found in striatum and cerebral cortex. Mutated HTT has larger dimensions, due to a polyglutamine repeat in its structure. The elongated protein is fragmented in smaller toxic portions, which attach to each other and accumulate in different tissues, mainly in neurons, inducing their dysfunction and, ultimately, their death.

HD prevalence in Western Europe varies between 5 and 10 per 100.000, similar to what is observed in Portugal.^{3,4}

Clinically, symptoms begin at age of 35-44 years and rapidly progress, significantly affecting patients' quality of life. CAG repeat length is inversely correlated to age of onset. In fact, juvenile HD (JHD), a variant form of HD in young adults, is characterized by a large number of repeats, usually greater than 60. Overall survival stands at 15-18 years and there is no current effective treatment.³

Preimplantation genetic testing (PGT) is performed for couples at a high risk of transmitting a known genetic condition to their offspring and allows the diagnosis of chromosomal abnormalities and monogenic diseases (PGT-M). PGT-M is one of the reproductive options available for these individuals, since there is a 50% risk of a carrier transmitting the mutation to the offspring.⁴ It requires a multidisciplinary approach by a team of experts in gynecology/obstetrics, embryology and medical genetics, which will follow the couple from the adequate genetic counselling until the birth of a healthy child.

PGT-M is an alternative to prenatal diagnosis, involving the biopsy and genetic testing of single or few cells from preimplantation embryos and transfer of unaffected embryos for the genetic condition being tested to the patient's uterus. PGT-M avoids the risk of induced abortion, the psychological burden associated to termination of pregnancy, and it is the most suitable option for couples with an increased genetic risk combined with infertility.

Despite its numerous advantages, this procedure presents some risks and ethical and legal issues. It is technically complex and misdiagnosis may occur due to allele drop-out (ADO), an event in which one of the alleles is not properly amplified, and mosaicism, in case the biopsied blastomere is not representative of the total embryo. Main ethical problems relate to the moral

status of the human embryo, embryo manipulation via assisted reproductive techniques and eugenics.⁵

The Department of Genetics in the Faculty of Medicine/Centro Hospitalar Universitário São João has been the only Portuguese public centre, since 1998, performing this technique. Since then, the range of chromosomal disorders and monogenetic disorders for which PGT is available has expanded enormously. In 2009, for the first time, it was performed PGT-M in a HD couple in Portugal.

The main goal of this work is to provide an overview about the uptake and outcome of PGT-M techniques in HD couples, in the perspective of a Portuguese public centre.

Methods

All therapeutic procedures were done in accordance with the National Ethical Committee and National Council for Assisted Medical Reproduction. Informed consent was obtained from both partners after careful explanation of the treatment technique. This study was approved by the Ethical Committee from Centro Hospitalar S. João (Protocol nº 357/18).

From 2009 to 2018, fifteen HD couples were referred for PGT-M for Huntington disease. Twenty-one cycles were performed and six couples repeated the cycle once corresponding to a mean of 1.4 cycles per couple. Mutation was paternally derived in six couples, while in the other nine couples it was maternally derived.

Couples obtained genetic and reproductive counselling by a clinical geneticist before being referred for PGT-M. All patients had normal karyotypes and were considered suitable candidates for this procedure.

Controlled ovarian hyperstimulation was done by a GnRH agonist or antagonist protocol on female patients. After this treatment, oocytes were collected by ultrasonography guided follicular aspiration. Oocytes were fertilized by Intracytoplasmic Sperm Injection (ICSI), which is preferred to conventional in vitro fertilization (IVF), since it prevents DNA contamination with sperm and/or cumulus cells during embryo biopsy. Embryo's development was carefully evaluated every day. Embryos of type A (no anucleated fragmentation), type B (1-20% fragmentation) and type C (21-50% fragmentation) were biopsied in day 3 after ICSI. One (embryos with 6 cells) or two (embryos with 7 or more cells) blastomeres were removed from each embryo.

There are different strategies to perform genetic analysis on single cells, but the most widely procedure is a multiplex PCR. In this protocol, amplification of the disease-associated locus along with different informative polymorphic markers, known as "short tandem repeats" (STRs), which flank the mutated *HTT* gene is done. This strategy overcomes the potential threat of ADO and allows the detection of contamination.

After genetic diagnosis, one or two unaffected embryos were selected and transferred into the uterus, on blastocyst stage (day 5 post-ICSI).

Results

A total of 15 couples were submitted to PGT-M for HD, with a male:female ratio of carriers/at risk persons of 1:1.5. Twenty-one cycles were performed and 6 couples repeated the treatment cycle once, which represents a mean of 1.4 cycles per couple. None of these couples had a previous PGT-M attempt. The mean female age at beginning of each cycle was 34.5 years (Table 1).

One couple had a reported male infertility history and so the sperm was obtained by Testicular Sperm Extraction (TESE).

Following ovarian stimulation, the mean number of cumulus-oocyte complexes (COC) retrieved per cycle was 9.5 (ranging from 3 to 19). Overall, a mean of 7.6 oocytes per cycle were considered mature (metaphase II oocytes) and a mean of 5.6 oocytes, per cycle, were successfully fertilized (Table 1).

The mean number of biopsied embryos per cycle was 4.9. Laser drilling was the preferred method for zona breaching, during embryo biopsy. Embryos were biopsied at cleavage-stage, on day 3, and 1 or 2 blastomeres were retrieved for genetic analysis.

Multiplex PCR was the genetic testing method used for DNA amplification of each biopsied embryo. In average, 4.3 embryos per PGT-M cycle obtained a positive signal in the PCR reaction (Figure 1). The amplification efficiency in blastomeres was 87.4%. From the 90 amplified embryos, 32 were genetically transferable, while 58 were genetically not transferable (including mutated, haploid and inconclusive embryos). ADO was detected in 3 embryos, from 3 different cycles.

In 13 cycles it was possible to perform embryo transfer, with 17 embryos being transferred (including a frozen-thawed embryo transfer cycle from couple 8), corresponding to a mean number of transferred embryos per couple of 1.2 (in the range 1-2).

Overall, 3 positive hCG tests were obtained in 3 couples, resulting in 2 clinical pregnancies. One of the positive hCG ended as an ectopic pregnancy, and termination of pregnancy (couple 13). The clinical pregnancy rate was 14.3% per transfer. Amniocentesis confirmed PGT-M result in one couple, while the other couple decided not to perform prenatal diagnosis.

The 2 ongoing clinical pregnancies had normal evolution, and culminated in 2 deliveries (delivery rate/embryo transfer of 14.3%). The 2 caesarian sections deliveries resulted in the birth of two healthy children, one girl and one boy (Table 2).

Table 1. PGT-M cycles performed for HD couples.

Couple	Clinical cycle	Female age (years)	N° oocytes	N° MII oocytes	N° fertilised oocytes	N° biopsied embryos	N° amplified embryos	N° genetically transferable embryos	N° genetically not transferable embryos*	N° Embryos transferred	hCG result	Clinical pregnancy	Delivery
1	1	29	10	9	8	8	8	4	3	0			
2	1	36	7	5	4	4	4	1	3	1	-	-	No
	2	37	8	6	4	4	4	1	3	1	-	-	No
3	1	34	7	5	5	5	5	3	2	1	-	-	No
	2	35	12	9	3	2	2	1	1	0			
4	1	35	5	4	3	3	3	1	2	0			
5	1	35	4	3	3	2	2	2	0	2	+	+	Yes
6	1	33	5	3	3	3	3	0	3	0			
7	1	37	14	12	4	3	2	1	1	0			
8	1	38	13	12	9	8	0	0	0	0			
	2	39	11	10	9	7	7	4	2	1 / 1	- / - **	- / -	No
9	1	36	13	11	8	8	6	2	4	1	-	-	No
	2	37	10	7	6	6	5	1	3	1	-	-	No
10	1	32	19	12	11	10	10	4	6	2	+	+	Yes
11	1	33	13	11	4	3	3	0	3	0			
12	1	36	9	8	5	5	5	2	3	2	-	-	No
	2	37	3	3	1	1	1	1	0	1	-	-	No
13	1	29	12	10	7	7	7	2	5	1	+	-***	No
	2	30	6	5	2	2	2	1	1	1	-	-	No
14	1	28	14	11	11	11	10	0	7	0			
15	1	39	5	4	2	1	1	1	0	1	-	-	No

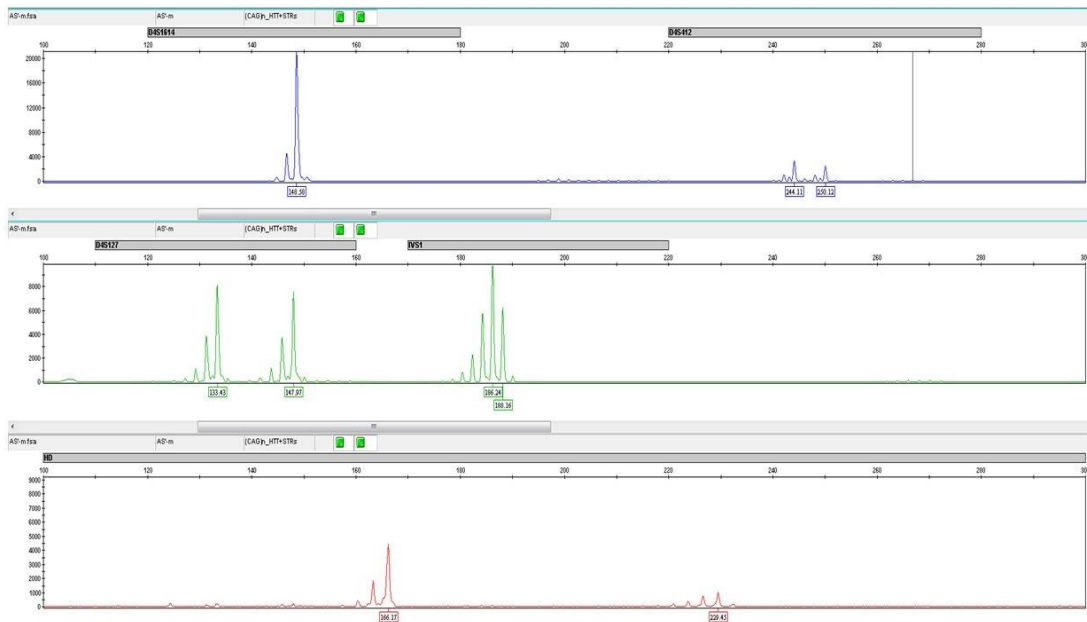
* Mutated, haploid and inconclusive embryos

** Frozen-thawed embryo transfer cycle

*** Ectopic pregnancy

Table 2. Clinical information of the two babies at birth.		
	Baby 1	Baby 2
Couple	5	10
Gender	Male	Female
Delivery Mode	Caesarian	Caesarian
Weight (g)	3045	3290
Height (cm)	48	50
Head circumference (cm)	34,5	35,5
Apgar score (1-minute/5-minutes)	9/10	9/10

Figure 1: Electropherogram of the multiplex PCR amplifying simultaneously the CAG repeat (red) and the polymorphic markers D4S1614 (blue), D4S412 (blue), D4S127 (green) and IVS1-intronic marker (green).



Discussion

Reproductive options available for couples with genetic disorders should be analysed in each individual case, concerning their advantages and disadvantages. Although prenatal diagnosis has higher success rates, the possibility of termination of pregnancy in case of an unfavourable result represents one of the major concerns. Whether the introduction of PGT for HD has reduced or not the use of prenatal diagnosis in these patients is an interesting question, and should be studied in further investigations in our population.

Preimplantation Genetic Testing has specific indications and its implementation is regulated by law and subject to the National Council for Medically Assisted Procreation approval. One of the indications accepted by law is Huntington disease. PGT is one of the available reproductive options for these couples, acknowledging the possibility of analysis for the presence of the triplet

expansion, and/or genetically linked markers associated with the dynamic mutation, in human embryos.

This analysis can be performed in two different modalities: direct testing of embryos or exclusion testing. The latter is not approved in Portugal, but it is performed in other European centers, when couple decided not to be informed about their HD carrier status, and do not want to be subjected to presymptomatic testing⁶.

European Society of Human Reproduction and Embryology (ESHRE) PGT Consortium, established in 1997, collects, retrospectively and prospectively, data on PGT cycles, pregnancies, deliveries and children. The later published report, covering monogenetic diseases, HLA typing, and chromosome abnormalities, documented cycles performed from 2011 to 2012.

Our main results on PGT cycles for HD patients were compared to those internationally published, although we were aware on implications of working on different sample sizes.

The mean age of woman at beginning of the first cycle (34.5 years) was a bit higher than that reported in literature (32 years).⁷ This may be caused by the delay of Portuguese couples on searching for these treatments, waiting list or by the lack of available information about them.

In our study, male:female ratio of HD carriers or at-risk persons was 2:3, matching the 40:60 ratio reported for couples opting for presymptomatic testing³.

Although all couples were selected for ICSI procedure, most parents who undergo PGT do not have fertility problems, except one couple with a reported male infertility history. None of the 15 couples have benefited on this technique in the past.

According to literature, day 3 cleavage stage embryo biopsy remains the preferable biopsy method for PGT-M cycles, although it may switch to day 5 biopsy in the near future.⁷ In our study, 100% of embryos were biopsied in day 3 after ICSI.

From the embryos successfully biopsied, 87.4% gave a diagnostic result (vs. 91%, from literature)⁷. Multiplex PCR may be subjected to several problems, including sample contamination, total PCR failure and ADO. The latter phenomenon was detected in 3 (3.3%) embryos, from 3 different cycles. Increasing number of linked informative polymorphic markers are being used, in order to reduce the risk of misdiagnosis.

The mean number of transferred embryos per couple was 1.2 (ranging from 1-2), comparable with that found in the literature⁸.

The clinical pregnancy rate and the delivery rate were both 14.3% per embryo transfer, which significantly differs from what is depicted in literature, although we must be aware about the small sample size.⁷

The success rates of the Department of Genetics in the Faculty of Medicine/Centro Hospitalar Universitário São João differ from international data on pregnancy rates in PGT. One potential reason, which may explain this difference, is the small sized PGT-M sample for Huntington disease in our study. Most international PGT data collection includes results from thousands of PGT cycles performed on different monogenic diseases.

Despite the reported differences, we concluded that PGT-M for Huntington disease is considered an effective and safe reproductive option for couples who are at risk of transmitting HD, when proper genetic and reproductive counselling is warranted.

Acknowledgments

None

Presentation: none

Conflicts of Interest

The authors report no conflicts of interest.

References

1. Sun YM, Zhang YB, Wu ZY. Huntington's Disease: Relationship Between Phenotype and Genotype. *Mol Neurobiol* 2017; 54:342-348.
2. Novak MJ, Tabrizi SJ. Huntington's Disease: Clinical presentation and treatment. *Int Rev Neurobiol* 2011; 98:297-321.
3. Van Rij MC, De Rademaeker M, Moutou C et al. Preimplantation genetic diagnosis (PGD) for Huntington's disease: the experience of three European centres. *Eur J Hum Genet* 2012; 20:368-375.
4. Associação Portuguesa de Doentes de Huntington. Hereditariedade [Web page] Alvor: APDH; 2008 [updated 2018; cited 2019 10 February]. Available from: <http://www.huntington-portugal.com/hereditariedade>.
5. Teles NO. Diagnóstico genético pré-implantação – aspectos técnicos e considerações éticas. *Acta Med Port* 2011; 24:987-996.
6. de Die-Smulders CE, de Wert GM, Liebaers I, Tibben A, Evers-Kiebooms G. Reproductive options for prospective parents in families with Huntington's disease: clinical, psychological and ethical reflections. *Hum Reprod Update* 2013; 19:304-315.
7. De Rycke M, Goossens V, Kokkali G, Meijer-Hoogeveen M, Coonen E, Moutou C. ESHRE PGD Consortium data collection XIV-XV: cycles from January 2011 to December 2012 with pregnancy follow-up to October 2013. *Hum Reprod* 2017; 32:1974-1994.
8. Van Rij MC, de Koning Gans PA, van Belzen MJ et al. The uptake and outcome of prenatal and pre-implantation genetic diagnosis for Huntington's disease in the Netherlands (1998-2008). *Clin Genet* 2014; 85:87-95.

Annex

Porto Biomedical Journal - Instructions for Authors

Note: These instructions comply with those formulated by the International Committee of Medical Journal Editors (ICMJE). For further details, authors should consult the following article: International Committee of Medical Journal Editors. "Uniform Requirements for Manuscripts Submitted to Biomedical Journals" *New Engl J Med* 1997, 336:309–315. The complete document appears at <http://www.icmje.org>. Manuscripts that do not comply with these Instructions cannot be considered for publication and will be sent back to the authors.

The Editorial Office is pleased to answer any questions you may have about preparing your manuscript in accordance with our guidelines.

Email: andremoreira@med.up.pt

SCOPE

Porto Biomedical Journal (PBJ) is an online free-to-submit and open-access journal devoted to the publication of top quality original research conducted in the biomedical fields, especially within the clinical and basic medical settings. The project aims to provide a valuable collection of generalist biomedical literature freely accessible to the international community, in order to become a reference in the current scientific landscape.

In addition, to ensure the quality and scientific relevance of PBJ, the journal counts with a diversified and international editorial board, and only accepts original research and review articles that undergo a strict revision process in a double-blind refereeing system, a procedure that safeguards the fairness of the article selection process.

As a generalist journal, PBJ accepts both original works and reviews in all biomedical areas, be they basic or clinical research. If you believe in a free and open scientific community and want to take your work one step further and closer to your peers, please consider submitting your work to *Porto Biomedical Journal*, the place "where Science meets Knowledge".

JOURNAL

POLICIES

Originality

The Editors require that each manuscript is an original contribution and that it has not been, and will not be, submitted elsewhere while it is under consideration for publication in *Porto Biomedical Journal*. Editors may subject any manuscript submitted for consideration of publication in *Porto Biomedical Journal* to plagiarism-detection software. Manuscripts dealing with material that has appeared or is in press, in brief or preliminary form in other publications will not be considered unless the prior publication is a meeting abstract reporting only summarized information and does not exceed one printed page. The ICMJE has provided details of what is and what is not [duplicate or redundant publication](#). If you are in doubt (particularly in the case of material that you have posted

on a web site), we ask you to proceed with your submission but to include a copy of the relevant previously published work or work under consideration by other journals. Authors must draw attention to any published work that concerns the same patients or subjects as the present paper in a covering letter with their article.

Authorship

The Journal expects that each person listed as an author has participated sufficiently in the intellectual content, the analysis of data, and/or the writing of the manuscript to take public responsibility for it. Each author must have reviewed the manuscript, believes it represents valid work, and approves it for submission. Moreover, should the Editors request the data upon which the manuscript is based, the authors shall produce it. We ask all authors to confirm that they have met the criteria for [authorship](#) as established by the ICMJE, believe that the paper represents honest work, and are able to verify the validity of the results reported.

All persons designated as authors should qualify for authorship and all those who qualify should be listed. Each author should have participated sufficiently in the work to take public responsibility for appropriate portions of the content. One or more authors should take responsibility for the integrity of the work as a whole, from inception to published article. Authorship credit should be based only on 1) substantial contributions to conception and design, or acquisition of data, or analysis and interpretation of data; 2) drafting the article or revising it critically for important intellectual content; 3) final approval of the version to be published. Conditions 1, 2 and 3 must all be met. Acquisition of funding, the collection of data or general supervision of the research group, by themselves, do not justify authorship. All others who contributed to the work who are not authors should be named in the Acknowledgements section.

Any change in authorship/contributions after submission must be approved in writing by all authors and submitted to the Editorial Office for final consideration.

English Language Assistance

Authors who are not native speakers of English who submit manuscripts to international journals often receive negative comments from referees or editors about the English-language usage in their manuscripts, and these problems can contribute to a decision to reject a paper. To help reduce the possibility of such problems, we encourage such authors to consider using Wolters Kluwer Author Services*.

Wolters Kluwer Author Services

Wolters Kluwer, in partnership with Editage, offers a unique range of editorial services to help you prepare a submission-ready manuscript:

- Premium Editing: Intensive language and structural editing of academic papers to increase chances of journal acceptance.
- Advanced Editing: A complete language, grammar, and terminology check to give you a publication-ready manuscript.

- Translation with Editing: Write your paper in your native language and Wolters Kluwer Author Services will translate it into English, as well as edit it to ensure that it meets international publication standards.
- Plagiarism Check: Helps ensure that your manuscript contains no instances of unintentional plagiarism.
- Artwork Preparation: Save precious time and effort by ensuring that your artwork is viewed favorably by the journal without you having to incur the additional cost of purchasing special graphics software.

For more information regarding Wolters Kluwer Author Services, please visit <http://wkauthorservices.editage.com>.

*Note that the use of such a service is at the author's own expense and risk, and does not guarantee that the article will be accepted.

Ethics

All articles dealing with original human or animal data must include a statement on ethics approval at the beginning of the Methods section. This paragraph must contain the following information: the name and address of the ethics committee responsible; the protocol number that was attributed by this ethics committee; and the date of approval by the ethics committee.

The paragraph could read, for example:

Ethical approval for this study (Ethical Committee N° NAC 207) was provided by the Ethical Committee NAC of Geneva University Hospitals, Geneva, Switzerland on 12 February 2015.

In addition, for studies or case reports conducted on human participants you must state clearly in the text that you obtained written informed consent from the study participants; please also look at the latest version of the Declaration of Helsinki. Similarly, for experiments involving animals you must state the care of animal and licensing guidelines under which the study was performed and report these in accordance with the ARRIVE (Animals in Research: Reporting In Vivo Experiments) statement. If ethics clearance was not necessary, or if there was any deviation from these standard ethical requests, please state why it was not required. Please note that the editors may ask you to provide evidence of ethical approval. If you have approval from a National Drug Agency (or similar) please state this and provide details, this can be particularly useful when discussing the use of unlicensed drugs.

Patient's Privacy

The protection of a patient's right to privacy is essential. Please collect and keep copies of patients' consent forms on which patients or other subjects of your experiments clearly grant permission for the publication of photographs or other material that might identify them. If the consent form for your research did not specifically include this, please obtain it or remove the identifying material.

A statement to the effect that such consent had been obtained must be included in the 'Methods' section of your paper. If necessary the Editors may request a copy of any consent forms.

Data Reporting

The European Journal of Anaesthesiology adheres to the guidelines on adequate data reporting that were established by The Enhancing the QUALity and Transparency Of health Research (EQUATOR) network (<http://www.equator-network.org/home/>).

Financial Support and Competing Interests

A financial disclosure questionnaire must be completed by the corresponding author and all co-authors at initial submission. Co-authors will receive a link to complete the questionnaire via email. Please ensure each co-author's email address is properly listed at the 'Add/Edit/Remove Authors' submission step in Editorial Manager, to avoid delays in reaching co-authors.

The primary purpose of the disclosure section is to determine whether authors have received any commercial financial support that could create a conflict of interest. In addition to monetary interests, a potential for conflict of interest can exist whether or not an individual believes that a relationship (such as dual commitments, competing interests, or competing loyalties) affects his or her scientific judgment. Please review ICMJE Uniform Requirements for Manuscripts Submitted to Biomedical Journals at the following link: <http://www.icmje.org/conflicts-of-interest>.

In addition to completing the financial disclosure questionnaire authors must clearly state all relevant conflicts of interest in the Acknowledgements section of the submitted manuscript.

Retractions

Porto Biomedical Journal is a member of the Committee on Publication Ethics (COPE), and also refers to the ICMJE advice on Scientific Misconduct, Expressions of Concern, and Retraction as well as on Overlapping Publications.

Article Types

Original *articles*

These should describe fully, but as concisely as feasible, the results of original clinical, laboratory or biomedical research. *Special note regarding case studies:* Case studies will be considered for publication only in the Letters to the Editor section of the Journal. The average Original Article fills 7 pages in the printed journal, although manuscripts that exceed this may be occasionally accepted for publication at the Editors' discretion. In general, an Original Article should not exceed 3500 words, not including the abstract, figure legends, and references. Abstracts should be 250 words or less. If possible, each figure legend should be held to 60 words or less. Each Original Article may be accompanied by no more than 8 graphic presentations (tables and/or figures)-for example, 3 tables + 5 figures. (Additional text, tables, or figures can be designated as "supplemental" material, which will be included in the PBJs Online Repository. Please

note: Original Article manuscripts that are determined to significantly exceed these limits, or that do not include all of the elements listed below, may be returned to the authors for revision prior to review.

Letters to the Editor

Letters to the Editor are brief reports of clinical or laboratory observations, substantiated by controlled data but limited in scope, and without sufficient depth of investigation to qualify as Original Articles. These may include a brief description of a particular condition that provides insights into diagnosis and clinical management or images that impart important clinical information. Like Original Articles, these manuscripts are subject to peer review. A Letter to the Editor must:

- 1) Be brief. The average Letter to the Editor fills 2 pages in the printed journal, although manuscripts that exceed this may be occasionally accepted for publication at the Editors' discretion. In general, a Letter to the Editor should not exceed 1000 words, not including the figure legend(s) and references. If possible, the figure legend(s) should be held to 60 words or less. Please note: Letter to the Editor manuscripts that are determined to significantly exceed these limits may be returned to the authors for shortening prior to review.
- 2) Have a short, relevant title. Please see the suggestions that appear above (under "A. Original Articles").
- 3) Have a complete title page (see section A1).
- 4) Be accompanied by a short summary that encapsulates the report's findings for a clinically oriented audience (see above).
- 5) Begin with the salutation "To the Editor:"
- 6) Close with the author's name(s), academic degree(s), institutions(s), and location(s).
- 7) Have no more than nine references.
- 8) List the references as complete bibliographic citations following the closure of the letter (see section above for formatting).
- 9) Present lists of Key words, as relevant (see sections above).
- 10) Be limited to a total of 2 figures and/or tables. (Additional figures or tables may be placed in the article's Online Repository; please see the relevant section below.)

Correspondence and replies

Correspondence concerning recent publications in the Journal will be considered for publication and accepted based on their pertinence, their scientific quality, and available space in the Journal. If the correspondence is considered acceptable, a response will be requested from the authors of the referenced PBJ article. Upon review and approval by the Editor, the Correspondence and relevant Reply will both be published together. Both Correspondence and Reply manuscripts must:

- 1) Be no longer than 500 words.
- 2) Have a short, relevant title, distinct from the title of the referenced article. Please note that all Replies should have the title "Reply to [Corresponding author's name]."

- 3) Have a complete title page (see section above).
- 4) List the references as complete bibliographic citations at the end of the letter with the journal article being discussed as the first reference (see section above). The total number of references should be no more than seven. Replies should include the Correspondence to which they are replying as one of the references.
- 5) Have no more than one graphic presentation (table or figure). (See the section on Graphic Presentations below).
- 6) Begin with the salutation "To the Editor:" and close with the author's name(s), academic degree(s), institutions(s), and location(s).

Review

articles

Definitive, in-depth, state-of-the-art reviews of clinical and research subjects. Unsolicited reviews are not generally published in PBJ. Before submitting any unsolicited reviews, please forward an outline to the Editor for consideration. Systematic reviews and meta-analyses should follow the Preferred Reporting Items for Systematic Reviews and Meta-Analyses (PRISMA) guidelines (see <http://www.prisma-statement.org/>). A PRISMA flow diagram (<http://www.prisma-statement.org/documents/PRISMA%202009%20flow%20diagram.pdf>) should be used to describe the steps of the systematic review, and a complete PRISMA checklist (<http://www.prisma-statement.org/documents/PRISMA%202009%20checklist.pdf>) should be provided during submission.

Clinical

Guidelines

Official recommendations from professional organizations on issues related to clinical practice and health care delivery. PBJ is most interested in publishing the primary guideline documents but will also consider synopses of guidelines when the primary document is published elsewhere. Synopses should focus on those issues of most relevance to generalist clinicians. Manuscripts must:

- 1) Have an equal or less than 275 words, structured abstract (use the following subheadings: Description, Methods and Recommendations)
- 2) Include the name of the responsible organization in the title and identify the article as a clinical guideline.
- 3) Primary Guideline Reports: PBJ is flexible with length, reference, and other format requirements given the variability in the format of guidelines developed by different organizations. However, if guidelines are lengthy (more than 4000 words), we may require the production of an executive summary document with the full document published as a digital-only appendix. A concise table or concise graphic summarizing the recommendations and other key points is desirable.

Guideline

Synopses

Text of synopses include the following sections and subheads:

Rationale, Guideline Focus, Target Population, Guideline Development Process, Evidence Review and Grading, Comments and Modification, Clinical Recommendations,

Research Recommendations, Applicability and Implementation Issues, and Summary. Guideline Group members followed by key references should be listed at the end.

Rostrum

articles

Opinion articles about subjects of particular interest and/or debate may be accepted for peer review after preliminary review by the Editor. Proposals for rostrum articles may be emailed to the Editorial Office; they will be evaluated based on level of interest, novelty, and the current needs of the Journal.

MANUSCRIPT PREPARATION AND FORMATTING INSTRUCTIONS

Manuscripts must be written in clear, grammatical English (see English Language Assistance above). Manuscripts not conforming to Journal format will be returned to authors for modification. Please double space the entire main body document and number each page. Do not add line numbers as the system will generate those when the PDF is built.

Title page, footnotes, abbreviations, and abstract pages must be included in the main body file. Please do not upload separate copies of these documents.

Acceptable document file types for text and tables include .DOC and .DOCX; do not submit a PDF.

Page 1:

Title Page. The following elements are required for every submission:

Title. Include a descriptive title of the work; the title should not be a sentence. No proprietary or brand names for drugs or agents may be used in article titles. Please, include the study design in the title; for instance, “randomised controlled trial”, or “systematic review”. Titles should be as informative and complete as possible.

Authors. The full first name, middle initials, and family name of each author, as well as the name(s) of the department(s) and institution(s) to which the work should be attributed.

Address for Correspondence. A current email and full mailing address for the corresponding author must be provided.

Page 2:

Abstract. Original articles should include a structured abstract of no more than 300 words using the following headings: Background; Methods; Results; and Conclusions. They should briefly describe, respectively, the problem being addressed in the study, how the study was performed, the salient results, and what the authors conclude from the results. Conventional non-systematic, reviews should include an unstructured abstract of no more than 250 words.

Main Body: Introduction. The introduction contains a statement of the purpose of the work, the problem that stimulated it, and a brief summary of relevant published investigations.

Methods. Avoid detailed description of previously published methods and cite the appropriate reference. Include appropriate ethical and statistical information.

Results. The results should be concise, avoiding redundant tables and figures illustrating the same data.

Discussion. This section should follow the results and is used to interpret results, with minimal recapitulation of findings.

Acknowledgments: The acknowledgements section should be headed 'Acknowledgements relating to this article' and contain the following distinct statements in separate paragraphs:

- Assistance with the study. Acknowledgements should be made only to those who have made a substantial contribution to the study. Authors are responsible for obtaining written permission from people acknowledged by name in case readers infer their endorsement of data and conclusions. If there was no assistance state: 'Assistance with the study: none.'
- Financial support and sponsorship. You must make reference to all relevant sources of funding concerning this article. If there were no sources of funding please state: 'Financial support and sponsorship: none.'
- Conflicts of interest. You must make reference to all relevant conflicts of interest concerning this article including financial, consultant, institutional and other relationships that might lead to bias or a conflict of interest. If there are no conflicts of interest please state: 'Conflicts of interest: none.'
- Presentation (for original articles only). Presentations of preliminary data at, for example, international meetings should be acknowledged separately. If preliminary data was not previously presented please state: Presentation: none.

References: Use the Vancouver reference system as adopted by the U.S. National Library of Medicine ensuring that all journal titles conform to Index Medicus approved abbreviations. Number references consecutively in the order in which they are first mentioned in the text. Identify references in the text, tables and legends using superscripted Arabic numerals that are placed after the punctuation. References cited only in tables or in legends to figures should be numbered in accordance with the sequence established by the first identification in the text of the particular table or illustration.

Avoid citing abstracts unless from a MEDLINE or EMBASE indexed journal. Unpublished observations and personal communications should not be used as references, although references to written (not verbal) communications may be inserted (in parentheses) in the text. Manuscripts that have been accepted but not yet published (e.g. Epub ahead of print) should be included in the list, followed by (in press). Information from manuscripts not yet accepted may be cited only in the text as (unpublished observations). Authors should verify references against the original documents before submitting the article.

Electronic or online references should be cited in the reference list only if the material referenced is a specific article (e.g. a paper published in a web-based journal); see below for correct style. Less specific references (e.g. the web pages of societies, organisations

and university departments) should not appear in the references; instead the URL should be cited in full in the text.

Authors must confirm that the details of these references are accurate and complete. In the full list of references give the names and initials of all authors. If there are more than six, cite only the first three names followed by et al. The authors' names are followed by the title of the article: the title of the journal (*italics*) abbreviated according to the style of Index Medicus: the year of publication: the volume number (in bold): the first and last page numbers in full followed by a full stop. Titles of books should be followed by the town and country of publication, the publisher, the year and inclusive page numbers. See the following examples:

Journal articles:

Pollard BJ, Bryan A, Bennett D et al. Recovery after oral surgery with halothane, enflurane, isoflurane or propofol anaesthesia. *Br J Anaesth* 1994; **72**:559–566.

Books:

Korttila K. Recovery period and discharge. In: White P, ed. *Outpatient Anaesthesia*. New York, USA: Churchill Livingstone Inc, 1990: 369–395.

Chapter in a book:

Pessayre D, Feldmann G, Haouzi D, Fau D, Moreau A, Neumann M. Hepatocyte apoptosis triggered by natural substances (cytokines, other endogenous molecules and foreign toxins). In Cameron RG, Feuer G (editors): *Apoptosis and its Modulation by Drugs*. Handbook of Experimental Pharmacology. Berlin: Springer-Verlag; 2000, pp. 59-108.

Electronic articles:

Margolis PA, Stevens R, Bordley WC, Stuart J. From concept to application: the impact of a community-wide intervention to improve the delivery of preventive services to children. *Pediatrics* [online serial] 2001; 108:e42.

<http://www.pediatrics.org/cgi/content/full/108/3/e42>. [Accessed 20 September 2001].

Tables: References to tables should be made in order of appearance in the text and should be in Arabic numerals in parentheses, e.g. (Table 1). Each table should be typed on a separate sheet in 1.5 spacing. Tables should not be submitted as photographs. Each table should have a brief title as a heading. Vertical rules should not be used. Place explanatory matter in footnotes, not in the heading. Authors are discouraged from using abbreviations in tables. If abbreviations are necessary then please explain them in the table's footnotes. Be sure that each table is cited in the text. If you use data from another published or unpublished source, obtain permission and acknowledge the source fully. Authors are encouraged to submit non-essential tables as supplemental digital content for publication online only. See Supplemental Digital Content section for more details.

Figures and Legends: Figures should be uploaded in the highest resolution available. Legends should be supplied for all figures. They are numbered to correspond with the figures and typed double-spaced on a separate page. Figure legends for any

supplemental figures being submitted are to be provided separately; see section, Supplemental Digital Content (SDC).

Acceptable figure file formats

- Do not embed figures into the main body file
- All final digital figures for accepted manuscripts must be submitted in EPS, TIFF, JPG. PowerPoint PPT format is permitted when the image resolution is very high.
- Each figure must be uploaded as a separate file.
- Diagrams, drawings, graphs and other line art should be prepared at a resolution of 1200 DPI.
- Halftones images (black/white or color) should be prepared at a resolution of 300 DPI.
- Combination halftones (images containing both pictures and text labeling) should be prepared at 600 DPI.
- Your manuscript may be returned to you for correction if the images are of insufficient quality.
- If photographs of people are used, their identities must be obscured or their written consent to use the photograph must have been obtained. If necessary the Editors may request copies of any consent forms.
- If a figure has been published before, the original source must be acknowledged and written permission from the copyright holder for both print and electronic formats should be submitted with the material. Permission is required regardless of authorship or publisher, except for documents in the public domain.
- Figures may be reduced, cropped or deleted at the discretion of the editor.

Artwork submitted to the Journal will be checked for quality. Authors submitting a revised paper will have the opportunity to check the quality of their images and make the necessary changes. This step is required for all revisions.

Supplemental Digital Content (SDC): Authors may submit Supplemental Digital Content to supplement the information provided in the manuscript. It is preferable to include all significant figures and tables in the manuscript, since there is not a limit on the number of items in this online journal. Nonetheless, SDC may include the following types of content: text, tables, figures, references peripheral to information provided as SDC, audio, and video. SDC should be consecutively cited in the Main Body text of the submitted manuscript. SDC files will be available via URL(s) placed at the citation points within the article and are not copyedited by the publisher. Note that Journal policies for manuscript submission relating to peer review, patient anonymity, ethics, financial disclosure, copyright, and permissions also apply to SDC. Authors should mask patients' eyes and remove patients' names from supplemental digital content unless they obtain written consent from the patients and submit them as supplemental files at the time of the manuscript submission. See also Case Study Reports, above.

Format, File Type and Size Requirements: SDC must be provided in one Word or PowerPoint file. Each SDC in the file should have a visual header in the following name

format (e.g., "SDC, Figure 1"; "SDC, Materials and Methods") and a corresponding citation must appear in the Main Body text. Note that SDC is numbered separately from non-SDC material. If providing SDC figure(s), a figure legend should be included on the figure itself. When uploading SDC select "Supplemental Digital Content" as the file designation. For audio and video files, also include the author name, videographer, participants, length (minutes), and size (MB). Video files should be formatted with a 320x240 pixel minimum screen size. For each submission, the SDC file cannot exceed a total size of 10 MB.

ONLINE

MANUSCRIPT

SUBMISSION

New

Submissions

Once the manuscript has been created, visit the submission site at www.editorialmanager.com/pbj to upload the manuscript. Once the manuscript has been vetted for compliance to the Journal's requirements, a manuscript number will be assigned to the submission. Failure to adhere to these guidelines will result in your manuscript being returned to you for correction. Faxed, scanned or emailed copies of manuscripts will not be accepted.

Mandatory License to Publish Forms

Upon first revision, authors will be required to complete a License to Publish (LTP) form. Authors can also provide these at the original submission stage. LTP forms may be signed by the Corresponding Author on behalf of all authors. Authors retain copyright for all articles. Authors grant the journal a license to publish the article and identify itself as the original publisher. Manuscripts will not pass to production without completed forms. LTP forms are available from the submission site homepage www.editorialmanager.com/pbj.

Article Processing Charges

This is an open access journal: all articles will be immediately and permanently free for everyone to read and download. *Porto Biomedical Journal* does not charge authors for Open Access publishing.

Creative Commons license

Open access articles will be freely available to read, download and share from the time of publication. Articles are published under the terms of the Creative Commons License Attribution-NonCommercial No Derivative 4.0 which allows readers to disseminate and reuse the article, as well as share and reuse of the scientific material. It does not permit commercial exploitation or the creation of derivative works without specific permission. To view a copy of this license visit <http://creativecommons.org/licenses/by-nc-nd/4.0/>.

Compliance with NIH and other research funding agency accessibility requirements

A number of research funding agencies now require or request authors to submit the post-print (the article after peer review and acceptance but not the final published article) to a repository that is accessible online by all without charge. As a service to our authors, Wolters Kluwer identifies to the National Library of Medicine (NLM) articles that require

deposit and transmits the post-print of an article based on research funded in whole or in part by the National Institutes of Health, Howard Hughes Medical Institute, or other funding agencies to PubMed Central. The License to Publish provides the mechanism. Wolters Kluwer ensures that authors can fully comply with the public access requirements of major funding bodies worldwide.

Authors funded by RCUK, Wellcome Trust, Austrian Science Fund (FWF), or World Health Organization (WHO) must sign a license giving the publisher the right to publish the article. The authors retain copyright but anyone may reuse the article and create derivatives, even for commercial purposes, with proper attribution to *Porto Biomedical Journal* as the original publisher. Authors will be required to publish, as per the RCUK mandate and the Wellcome Trust, FWF, and WHO policies, under the Creative Commons: Attribution (CC-BY) License.