

1 **Effect of the pH in the formation of β -galactosidase** 2 **microparticles produced by a spray-drying process**

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10 11 12 **Abstract**

13 The objective of this work was to investigate the influence of pH in the
14 microencapsulation process, using a modified chitosan to microencapsulate the enzyme
15 β -galactosidase, by a spray-drying technique. Structural analysis of the surface of the
16 particles was performed by Scanning Electron Microscopy (SEM), showing that the
17 obtained microparticles have an average diameter smaller than 3.5 μm and in general a
18 regular shape. The activity of the enzyme was studied by spectrophotometric methods
19 using the substrate O-Nitrophenyl- β ,D-galactopyranoside (ONPG). The parameters of
20 Michaelis-Menten were calculated. The value of K_m decreases with the decrease of the
21 pH, which can be associated to an increase of the affinity between the enzyme and

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22 substrate to smaller pH's. The highest value of the parameter V_{max} , representing the
23 maximum reaction rate at a given enzyme concentration, was obtained at pH 6.

24

25 **Keywords:** Microencapsulation, modified chitosan, β -galactosidase, spray-drying.

26 **Introduction**

27 The challenge of this work was to evaluate the effect of the pH on the β -galactosidase
28 microencapsulation process, by a spray drying technique. We propose the use of a
29 modified chitosan to encapsulate the enzyme β -galactosidase, which has a very important
30 role in health and industry [1–6].

31 Many people experienced gastrointestinal disorders including abdominal distention,
32 cramps, flatulence, and/or watery stools after the ingestion of milk or milk products,
33 caused by a β -galactosidase deficient. Most people with this problem are not able to digest
34 lactose well, they are discouraged from consuming milk, and by this way may lose a
35 major source of calcium and high-quality proteins from their diets. For these lactose-
36 intolerant people, hydrolyzed-lactose milk, cultured dairy products and sweet acidophilus
37 milk that include microbial organisms producing β -galactosidase have been
38 recommended as milk substitutes [7]. However some questions have been reported by
39 some authors about these options. For example the hydrolyzed-lactose milk for lactase-
40 deficient subjects has a sweeter taste than whole milk [7,8].

41 Encapsulation of β -galactosidase can be a solution. Microencapsulation can provide a
42 physical barrier between the core compound and other components of the product. For
43 example, the microencapsulation in liposomes, which can segregate β -galactosidase from
44 lactose in milk under storage conditions. In this strategy of microencapsulation, lipid
45 vesicles are carriers for the β -galactosidase enzymes, protecting them [7–9]. In such a
46 lipid vesicle assisted lactose hydrolysis process, the entrapped enzyme is added to milk
47 and is released into the stomach by the presence of bile salts, allowing an ‘in situ’
48 degradation of lactose [7,8].

49 An important factor in the microencapsulation process is the choice of an encapsulating
50 agent, which is very important for the encapsulation efficiency and microcapsule stability.

51 Chitosan is a widely used biopolymer [10,11]. Chitosan has interesting intrinsic
52 properties, such as biocompatibility, biodegradability and also anticholesterolemic,
53 hypocholesterolemic, antimicrobial, and antioxidant [12]. Modified Chitosan has been
54 used for different microencapsulation processes considering the advantages of being
55 soluble at neutral pH [13,14] but insoluble at acid pH. In order to develop this kind of
56 chitosans, many attempts have been made to modify the molecular structure of chitosan,
57 and thereby improve or control its properties [15–17].

58 Also the methodology and the experimental conditions will influence the type of
59 microparticles that will be obtained. In this study, a spray-drying technique was used.

60 Spray drying is a relatively low cost technology, rapid, reproducible, allowing easy scale-
61 up, when compared with other microencapsulation techniques, justifying the preference
62 in industrial terms [18–21]. The process is flexible, offering substantial variation in
63 microencapsulation matrix, is adaptable to commonly used processing equipment and
64 produces particles of good quality. Spray drying production costs are lower than those
65 associated with most other methods of encapsulation [22].

66 Using in the β -galactosidase microencapsulation process, a spray drying technique, we
67 will simplify the process of obtaining β -galactosidase microencapsulated formulation,
68 increasing the possibility of human application. Studies with β -galactosidase
69 microencapsulated by a spray drying technique have already been developed by the
70 authors, which optimized the spray drying methodology applicate to β -galactosidase and
71 the selection of the encapsulating agent, in previous works [23,24] however is necessary
72 to clarify how the pH of the immobilization can affect the activity of the enzyme. This
73 study will focus in this question how the pH can affect the size, morphology of the β -
74 galactosidase microparticles and activity of the enzyme.

75

76 **Experimental**

77

78 **Reagents**

79 Water soluble chitosan (pharmaceutical grade water soluble chitosan) was obtained from
80 China Eastar Group (Dong Chen) Co., Ltd ((Batch no. SH20091010). Water soluble
81 chitosan was produced by carboxylation and had a deacetylation degree of 96.5% and a
82 viscosity (1%, 25 °C) of 5 mPa.s.

83 β -galactosidase enzyme (*Escherichia coli*) from Calbiochem (Cat 345,788 ; EC number:
84 3.2.1.23) with a specific activity of 955 U mg⁻¹ protein and BSA (bovine serum albumin)
85 were purchased from Sigma Aldrich (A7906-100g) . The enzyme substrate O-nitrophenyl
86 β , D-galactopyranoside (ONPG) was purchased from Merck (ref 8.41747.0001).

87

88 **Experimental conditions – Spray-drying process**

89 The same type of procedure (methodology and operational conditions) was followed for
90 all the types of microparticles prepared. All the solutions were prepared with deionised
91 water at room temperature. Water soluble chitosan 1% (w/v) solutions were prepared with
92 different pH (5.2, 6, 7. 8 and 9), after 2 hours agitation at 1200 rpm. The pH of the chitosan
93 solution was adjusted with hydrochloric acid for different pH values.

94 A solution with a concentration of enzyme (0.1 mg mL⁻¹) was prepared from stock
95 solution in phosphate buffer 0.08 M at pH 7.7. To the enzyme stock solution BSA was
96 added to obtain a final concentration of 1 mg BSA mL⁻¹. BSA is used to stabilize some
97 enzymes and to prevent adhesion of the enzyme to reaction tubes, pipet tips, and other
98 vessels.

99 The solution containing the enzyme (5 mL) was added and mixed with the chitosan
100 aqueous solution (25 mL) at constant agitation speed of 1200 rpm, during 10 min at room
101 temperature.

102 The five prepared chitosan-enzyme solutions (with different pH) were spray-dried using
103 a spray-dryer BÜCHI B-290 advanced (Flawil, Switzerland) with a standard 0.5 mm
104 nozzle. The spray-drying conditions, solution and air flow rates, air pressure and inlet
105 temperature were set at 4 mL min⁻¹ (15%), 32 m³ h⁻¹ (80%), 6.5 bar and 115 °C,
106 respectively. The outlet temperature, a consequence of the other experimental conditions
107 and of the solution properties, was around 58 °C.

108

109 **Scanning electron microscopy characterization**

110 Structural analysis of the surface of the particles was performed by Scanning Electron
111 Microscopy (SEM) (Fei Quanta 400 FEG ESEM/EDAX Pegasus X4M). The surface
112 structure of the particles was observed by SEM after sample preparation by pulverization
113 of gold in a Jeol JFC 100 apparatus at Centro de Materiais da Universidade do Porto
114 (CEMUP).

115

116 **β-galactosidase activity**

117 The activity of the β-galactosidase was measured according to the methodology described
118 by Switzer and Garrity [25]. The enzyme activity was evaluated, based on absorbance
119 values, by UV-visible spectrophotometry (UV-1700 - PharmaSpec - SHIMADZU) at 420
120 nm and at room temperature.

121 The enzyme activity was tested with the substrate ONPG. A stock solution of ONPG was
122 prepared with a concentration of 2.25 mmol L⁻¹. Then, the enzyme was exposed to

123 different ONPG concentrations (0.225, 0.198, 0.180, 0.135, 0.090, 0.068, 0.045 and 0.018
124 mmol L⁻¹).

125 The enzymatic reaction started by adding the enzyme solution (either in the free form, or
126 in the microencapsulated form) to the cuvette containing the buffer solution and the
127 substrate ONPG. The reaction volume was kept constant in all the experiments and equal
128 to 2.5 mL. The cuvette was stirred for 20 s. The formation of an orange coloured product
129 [O-nitrophenol (ONP)] that absorbs at 420 nm allowed the monitoring of the enzymatic
130 reaction. The value of the absorbance was recorded at time intervals of 30 s. The enzyme
131 concentration, in the microencapsulated enzyme assays, was estimated by mass balance
132 and corresponds to the same value used in the free enzyme assays (enzyme concentration
133 0.001 mg mL⁻¹).

134

135 **Determination of β -galactosidase kinetic parameters**

136 For an enzyme concentration of 0.001 mg mL⁻¹, several concentrations of ONPG have
137 been tested between 0.018 and 0.225 mmol L⁻¹. For each β -galactosidase reaction curve
138 the initial velocity was calculated, according to the methodology described by Switzer
139 and Garrity [25]. A linear regression method, Lineweaver-Burk method, was performed
140 to determine the Michaelis-Menten parameters.

141

142

143

144 **Results and Discussion**

145 The spray drying methodology and the operation conditions were optimized based on
146 preliminary studies [23,24,26]. The product yield (quantity of powder recovered reported
147 to the quantity of raw materials) was in average 30%. This value is low. The authors have
148 already reported yields ranging between 30% and 50% for the microencapsulation of β -
149 galactosidase with different biopolymers [23,24]. Erdinc & Neufeld (2011) referred that
150 at inlet temperatures of 150 and 175°C, higher moisture removal and product yield was
151 observed. The final moisture content of the particles was around 6%, and the product
152 yield was 35% [27]. In this work the inlet temperature is lower (115 °C) increasing the
153 probability of obtaining low product yields. At low temperatures the deposition of
154 particles on the cylinder or/and on the cyclone wall was observed, leading to a lower
155 product yield. On the other hand the particles formed are very small, and the efficiency
156 of the cyclone to separate small particles decreases, some of them being aspirated with
157 the air leaving the spray dryer. The sample volume was small (30 ml) implying also higher
158 relative losses.

159 The prepared microparticles were characterized and the enzymatic activity was evaluated.
160 Structural analysis of the surface of the particles was performed by SEM (Figure 1),
161 showing that the obtained microparticles have an average diameter smaller than 3.5 μm ,
162 and in general a smooth surface and a regular shape. The diameter of the particles was
163 confirmed by laser granulometry using a Coulter Counter-LS 230 Particle Size Analyser
164 (Miami, USA). For all the assays, microparticles with an average size (differential volume
165 distribution) around 3.4 – 3.5 μm with a variation coefficient of distribution around 55%
166 were obtained. For a differential number distribution the average size of the
167 microparticles is around 0.10 – 0.11 μm with a variation coefficient of the distribution
168 around 100%. It was not observed any significant difference in the size of the

169 microparticles obtained with different pH. On the other hand, the increase of the pH of
170 the modified chitosan solution allowed the formation of microparticles more defined, and
171 with a more regular shape (Figure 2). Further, differences in the dispersibility of the
172 microparticles in water due to pH were not observed.

173 With the present work we also intend to compare the behaviour of the enzyme β -
174 galactosidase when microencapsulated with different solutions of modified chitosan with
175 different pH. The success of the β -galactosidase microencapsulation depends on various
176 factors such as pH, ionic strength, surface and protein properties such as isoelectric point
177 of the protein and history of dependence of protein-adsorption kinetics [28]. Since the
178 activity of β -galactosidase may be significantly reduced or lost during the
179 microencapsulation process, the selection of the encapsulating agent and the pH are very
180 important. The pH is an important factor that significantly influences encapsulation
181 efficiency [29]. β -galactosidase works in a relatively broad pH range: enzymes from fungi
182 act between pH 2.5–5.4, yeast and bacterial enzymes act between pH 6.0–7.0. Depending
183 on the natural source where lactose is present, pH values range between ~ 3.5 or 5.6 of
184 acid whey to 6.5 of milk. [28]. The isoelectric point of β -galactosidase is around 4.6 [30].
185 Chitosan is a positive polymer in acidic solutions, and its positive potential decreases with
186 increasing solution pH [29]. In experimental works with α -galactosidase (isoelectric
187 point also 4.6), the positive potential of α -galactosidase decreased when the pH was
188 increased from 3.0 to 4.5, after which the repellent force between chitosan and α -
189 galactosidase weakened. [29]

190 In this work a modified chitosan was tested, which is a less positive polymer in acid
191 solutions than the normal chitosan; on the other hand β -galactosidase from a bacterial
192 source was used, these enzymes acting better between pH 6.0–7.0. So, our kinetic results
193 will be obtained for a combination of factors.

194 For the free enzyme, in a previous study [23], the highest value of the enzyme activity
195 was obtained at pH 6.8, which is in agreement with results obtained by other authors [31].
196 In Figure 3, the evolution of the enzymatic reaction with time for the microencapsulated
197 enzyme formed was observed. The highest velocity was reached when the enzyme was
198 microencapsulated at pH 6. With the increase of the pH for values higher than 6, the
199 velocity decreases and the same happened when the pH decreases for values lower than
200 6. So the optimal pH to do the microencapsulation of the β -galactosidase with this
201 modified chitosan is around pH 6.

202 This can be explained by the fact that the enzyme β -galactosidase has two active-site
203 carboxyl groups that can exist as $-\text{COO}^-$ (as nucleophile) and $-\text{COOH}$ (as proton donor)
204 simultaneously at neutral pH [32] but also it depends on the amount of carboxyl and
205 amino group in chitosan. For example, some groups of chitosan can be charged more
206 positively by the effect of the decrease of the pH and can establish interactions with some
207 groups of the enzyme charged more negatively. The interactions between enzyme and
208 chitosan can change the conformation of the enzyme and/or can make difficult the access
209 to the active center of the enzyme, by this way the activity of the enzyme will decrease.

210 So, different pH will influence the structure of the enzyme and of the encapsulating agent
211 and the type of interactions between them and as referred before, the pH of the solution
212 will affect the strength of the interaction between chitosan and β -galactosidase.

213 For each β -galactosidase reaction curve, the initial velocity was calculated, and the
214 Lineweaver-Burk linearization was performed to determine the Michaelis-Menten
215 parameters (Figure 4).

216 The Michaelis-Menten parameters were determined for the microencapsulated
217 formulations with different pH and are presented in Table 1. The values related to the free
218 enzyme have already been determined by the authors [26].

219 The parameter V_{max} , representing the maximum reaction rate at a given enzyme
220 concentration, decreased its value after microencapsulation process thus confirming what
221 has been observed by other authors [26,33]. Some active centres are likely to be blocked
222 after microencapsulation, which reduces the reaction rate, causing the decrease of the
223 maximum reaction velocity. The highest value of the V_{max} was obtained from the
224 microencapsulated β -galactosidase formulation obtained at pH 6, being more than four
225 times higher than the V_{max} obtained from the formulations produced with different pH
226 (Figure 5). However, this value is smaller than the V_{max} obtained with the free enzyme
227 [26].

228 The parameter K_m was associated to the affinity between the enzyme and the substrate.
229 A smaller value of K_m indicated a greater affinity between the enzyme and substrate, and
230 it means that the reaction rate reaches V_{max} faster. The value of K_m increased in these
231 assays of microencapsulation assays with pH of the microencapsulation formulation, this
232 means that the affinity between the enzyme and the substrate decreased (Figure 6). A
233 linear correlation between the value of K_m and the pH of the β -galactosidase
234 microencapsulation solution was obtained.

235 The β -galactosidase immobilization on chitosan was studied by Carrara and Rubiolo [34].
236 These authors obtained chitosan beads of 2.2 mm diameter, bigger than the microparticles
237 that we obtained in this work. The higher activity value of the immobilized enzyme
238 compared with those of the free β -galactosidase is only 10.7% of the free enzyme values.
239 In our study, for a microencapsulation process at pH 6, the enzyme keeps 55% of the
240 activity of the free enzyme. A different pH provoked a decrease in the activity of the
241 enzyme.

242 After six months storage at controlled ambient conditions (4°C), a small decrease in
243 enzyme activity was observed, as described in a previous work [23], and no significant
244 differences in the appearance, color, and particle size distribution were identified.
245 Comparing the results obtained in this study with the previous ones [23,24,26], we can
246 conclude that the selection of the pH for the immobilization (microencapsulation) of the
247 enzyme is so important as the selection of the encapsulating agent or the selection of the
248 operational conditions of the spray dryer for the optimization of the β -galactosidase
249 activity.

250

251 **Conclusion**

252 The main objective of this work was to study the influence of pH in the β -galactosidase
253 microencapsulation process, with a modified chitosan through a spray-drying process.
254 β -galactosidase microparticles with an average diameter smaller than 3.5 μm and in
255 general a regular shape were obtained.

256 The parameters of Michaelis-Menten were calculated for all the β -galactosidase
257 formulations. The value of K_m decreases with the decrease of the pH, which can be related
258 to an increase of the affinity between the enzyme and substrate to smaller pH's.

259 The highest value of the V_{max} was obtained for the microencapsulated β -galactosidase
260 formulation obtained at pH 6, being more than four times higher than the V_{max} obtained
261 for the formulations produced with different pH. However this value is smaller than the
262 V_{max} obtained with the free enzyme. For a microencapsulation process at pH 6, the
263 enzyme keeps 55% of the activity of the free enzyme.

264

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269

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379

380

381

382 **TABLE CAPTIONS**

383

384 Table 1: Michaelis-Menten parameters (K_m and V_{max}), for the different assays with free
385 and microencapsulated β -galactosidase.

386

387

388

389 **FIGURE CAPTIONS**

390

391 Figure 1: SEM images of β -galactosidase microparticles prepared at different pH's (5.2,
392 6, 7, 8 and 9). Magnification = 12000 times, beam intensity (HV) = 15kV, distance
393 between the sample and the lens (WD) = 15 mm.

394

395 Figure 2: Surface and shape of the β -galactosidase microparticles prepared at pH's 5.2 and
396 9.

397

398 Figure 3: Evolution of the enzymatic reaction with time for β -galactosidase
399 microencapsulated, formed with modified chitosan solutions at different values of pH.
400 The enzymatic reaction was studied for substrate and enzyme concentrations of 0.135
401 mmol L⁻¹ and 0.001 mg mL⁻¹, respectively, based on absorbance values, by UV-visible
402 spectrophotometry at 420 nm and at room temperature.

403

404 Figure 4: Lineweaver-Burk representation for the different formulations of
405 microencapsulated β -galactosidase.

406

407 Figure 5: Evolution of the V_{max} with pH.

408

409 Figure 6: Evolution of K_m with pH.

410

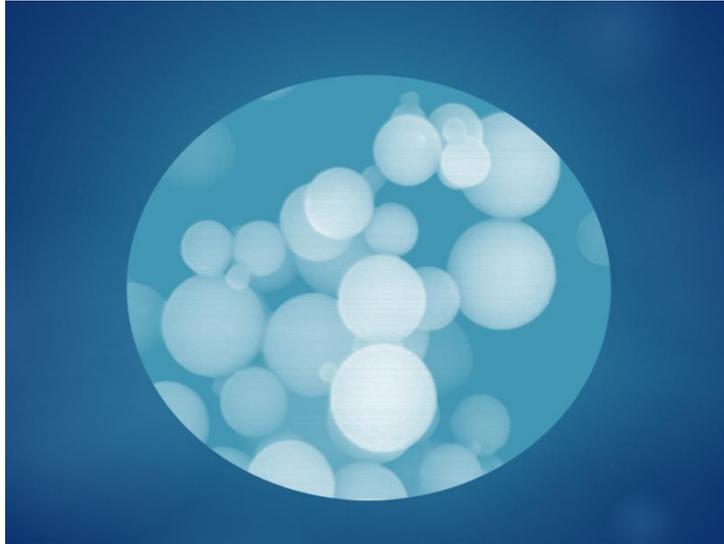
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412 Table 1: Michaelis-Menten parameters (K_m and V_{max}), for the different assays with free
413 and microencapsulated β -galactosidase.

	Microencapsulated Enzyme					Free Enzyme
	pH 5.2	pH 6	pH 7	pH 8	pH 9	
K_m (mmol L ⁻¹)	0.62	0.67	0.74	0.77	0.96	0.47
V_{max} (μ moles of ONPG hydrolysed min ⁻¹)	0.08	0.32	0.08	0.05	0.03	0.58
R^2	0.93	0.9	0.84	0.94	0.95	0.99

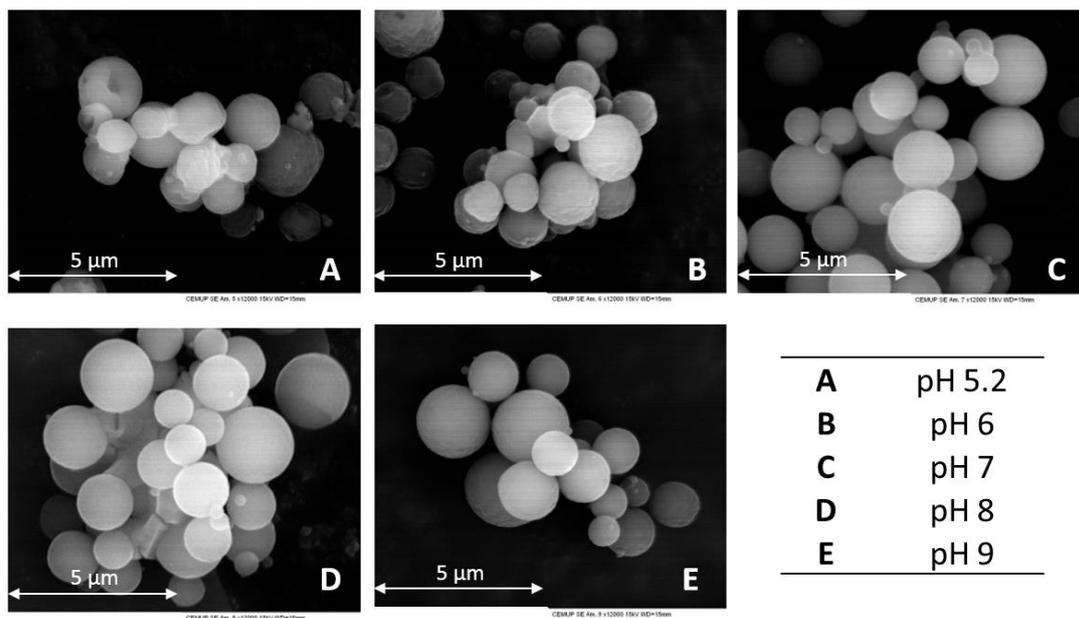
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420

421 Figure 1: SEM images of β -galactosidase microparticles prepared at different pH's (5.2,

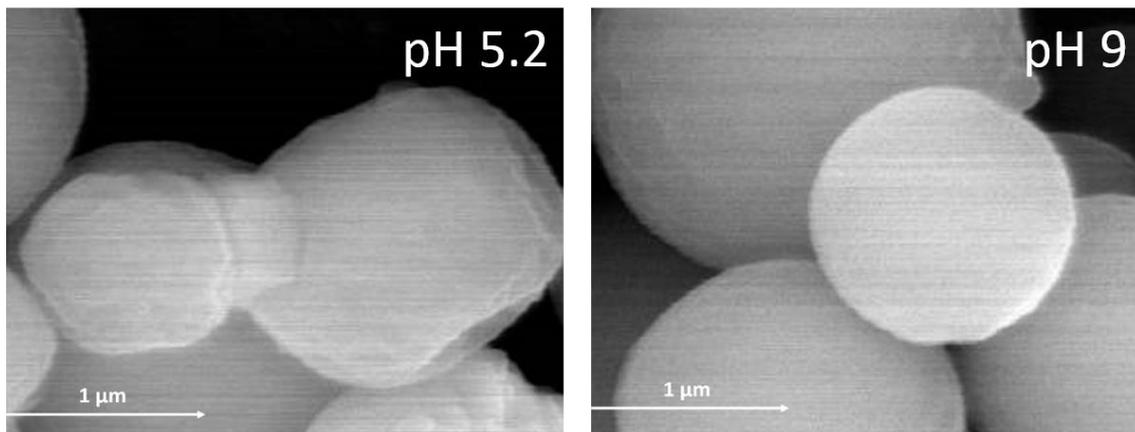
422 6, 7, 8 and 9). Magnification = 12000 times, beam intensity (HV) = 15kV, distance

423 between the sample and the lens (WD) = 15 mm.

424

425

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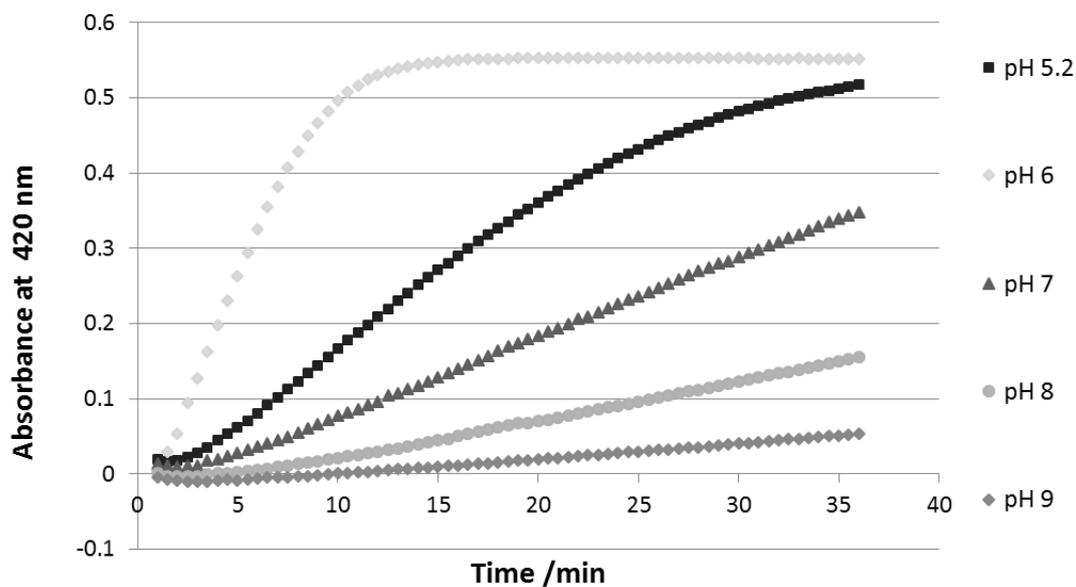
427

428 Figure 2: Surface and shape of the β -galactosidase microparticles prepared at pH's 5.2 and

429 9.

430

431

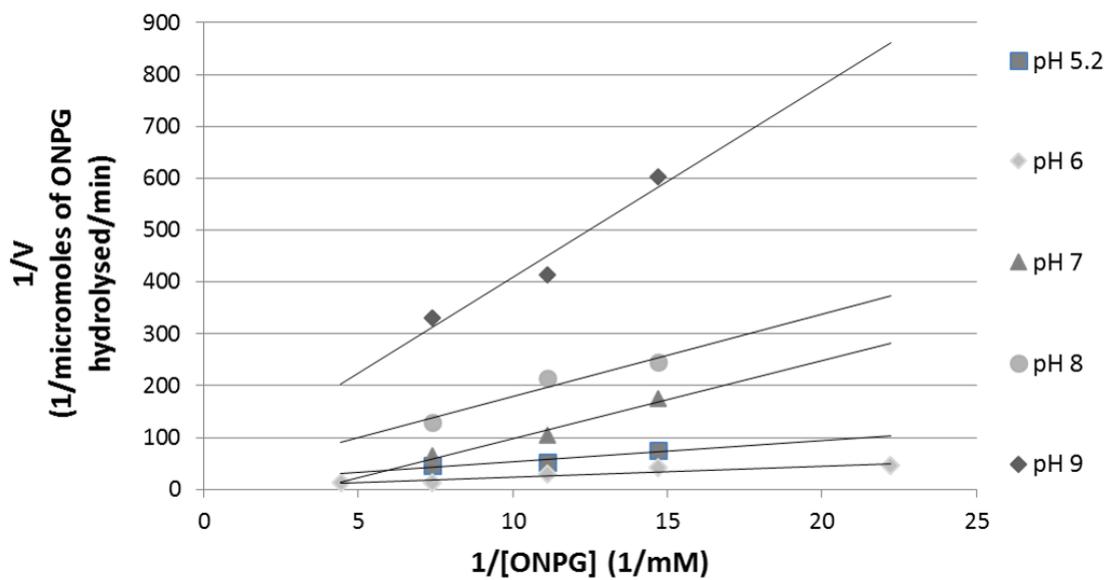


432

433 Figure 3: Evolution of the enzymatic reaction with time for β -galactosidase
434 microencapsulated, formed with modified chitosan solutions at different values of pH.
435 The enzymatic reaction was studied for substrate and enzyme concentrations of 0.135
436 mmol L^{-1} and 0.001 mg mL^{-1} , respectively, based on absorbance values, by UV-visible
437 spectrophotometry at 420 nm and at room temperature.

438

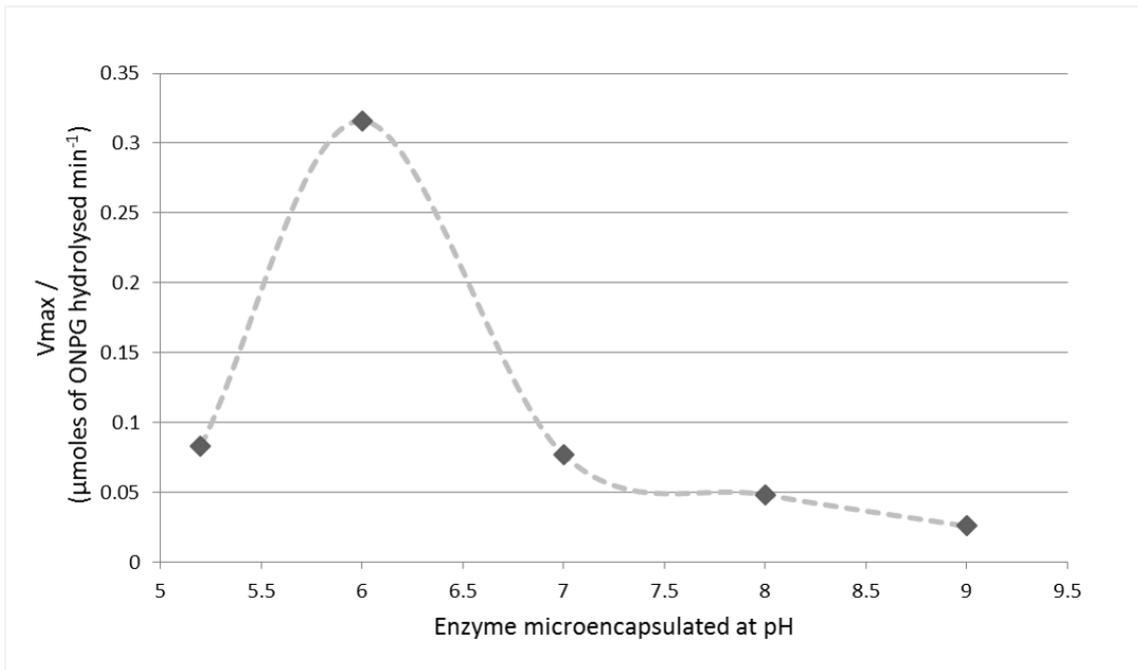
439



440

441 Figure 4: Lineweaver-Burk representation for the different formulations of
442 microencapsulated β -galactosidase.

443

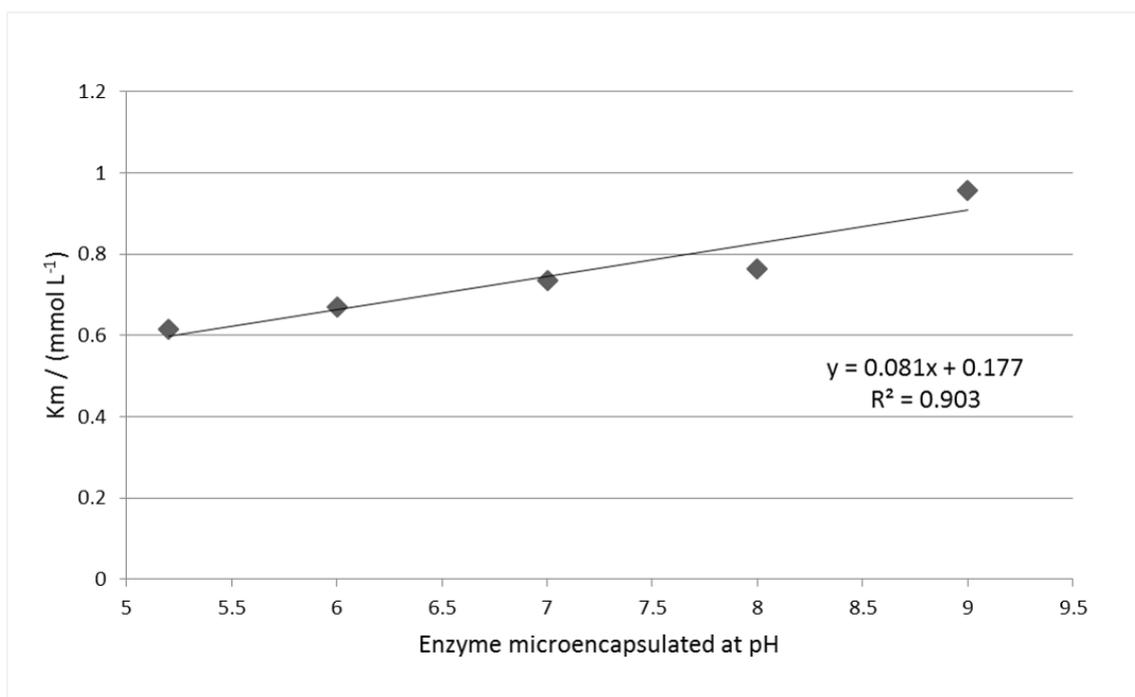


444

445 Figure 5: Evolution of the V_{max} with pH.

446

447



448

449 Figure 6: Evolution of K_m with pH.

450