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1	The effects of surface properties on Escherichia coli adhesion are modulated by
2	shear stress
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28 Abstract

The adhesion of Escherichia coli to glass and polydimethylsiloxane (PDMS) at different 29 30 flow rates (between 1 and 10 ml.s⁻¹) was monitored in a parallel plate flow chamber in order to understand the effect of surface properties and hydrodynamic conditions on 31 32 adhesion. Computational fluid dynamics was used to assess the applicability of this flow 33 chamber in the simulation of the hydrodynamics of relevant biomedical systems. Wall 34 shear stresses between 0.005 and 0.07 Pa were obtained and these are similar to those found in the circulatory, reproductive and urinary systems. Results demonstrate that E. 35 coli adhesion to hydrophobic PDMS and hydrophilic glass surfaces is modulated by 36 shear stress with surface properties having a stronger effect at the lower and highest 37 38 flow rates tested and with negligible effects at intermediate flow rates. These findings suggest that when expensive materials or coatings are selected to produce biomedical 39 40 devices, this choice should take into account the physiological hydrodynamic conditions that will occur during the utilization of those devices. 41

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Keywords: Bacterial adhesion, *Escherichia coli*, parallel plate flow chamber, PDMS,
shear stress, hydrophobicity

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46 Introduction

Bacteria often adhere to surfaces and form biological communities called biofilms [1] that develop in almost all types of biomedical devices [2]. These sessile cells are typically more resistant to antimicrobial agents than planktonic ones, have a decreased susceptibility to host defense systems and function as a source of resistant microorganisms responsible for many hospital acquired infections [3]. Moreover, biofilm spreading on the surface upon prolonged use of the biomedical device can cause material biodegradation, changes in surface properties and deterioration of the medical
functionality [1, 2].

Different polymers are commonly employed in biomedical devices. These materials 55 should be biocompatible and have to be stable, resistant against different body fluids 56 properties 57 and display anti adhesive towards microorganisms [1-3]. 58 Polydimethylsiloxane (PDMS) is a polymer that has been widely used in biomedical 59 devices like contact lenses, breast implants, catheters, and used in the correction of vesico ureteric reflux in the bladder [1, 4]. These devices are often colonized by 60 single bacterial species like Escherichia coli [5]. E. coli is responsible for 80% of the 61 urinary tract infections and it was observed that even after antibiotic therapy it can 62 63 persist and re-emerge in the bladder and in associated urinary tract biomedical devices (eg urinary catheters) [3, 6, 7]. E. coli has also been found in breast implants, being 64 responsible for 1.5% of associated infections, and contact lenses [3, 8]. It has been 65 reported that 60-70% of the hospital acquired infections are associated with medical 66 67 devices and cost \$5 billion annually in the US [9, 10]. Additionally, the costs associated with the replacement of infected implants during revision surgery may triple the cost of 68 the primary implant procedure [11]. Moreover, secondary implants and devices have a 69 higher infection incidence because antibiotic resistant bacteria residing in the 70 71 surrounding tissue can proliferate and colonize the recently implanted device [11]. Therefore, owing to the problems associated with the increasing use of these devices, a 72 preventive strategy must be adopted [3]. Understanding biofilm formation mechanisms 73 and the factors that influence cell attachment to a surface is essential to prevent and to 74 treat biofilm related diseases. The properties of microbial cells and environmental 75 factors such as surface properties of the biomaterials as well as associated flow 76 conditions affect the process of biofilm formation [12]. 77

78 In vitro systems have been employed to test the effect of different surfaces on the

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biofilm formation process under different environmental conditions [13]. Barton, et al. 79 [14] have used a parallel plate flow chamber (PPFC) at a shear rate of 1.9 s^{-1} to observe 80 81 the adhesion of Staphylococcus epidermidis, Pseudomonas aeruginosa, and E. coli to orthopedic implant polymers. These authors verified that P. aeruginosa adhered more 82 than S. epidermidis and that the estimated values of the free energy of adhesion 83 correlated with the amount of adherent cells. Pratt-Terpstra, et al. [15] developed a flow 84 85 cell system to study the adhesion of three strains of oral streptococci to glass, cellulose acetate and a fluorethylenepropylene copolymer at a shear rate of 21 s⁻¹. They verified 86 that a linear correlation was found between the number of bacteria adhering to those 87 surfaces and the free energy of adhesion. Bruinsma, et al. [16] used a PPFC at a shear 88 rate of 10 s⁻¹ to study the adhesion of a hydrophobic *P. aeruginosa* and hydrophilic 89 Staphylococcus aureus to hydrophobic and hydrophilic hydrogel contact lenses (CL) 90 91 with and without an adsorbed tear film. The authors observed that adhesion of P. aeruginosa was more extensive than S. aureus although no difference between 92 93 hydrophobic and hydrophilic CL was found. Millsap, et al. [17] studied the effect of a hydrophobic silicone rubber and a hydrophilic glass in the adhesion of six Lactobacillus 94 strains using a PPFC at a shear rate of 15 s^{-1} . These authors have also concluded that 95 adhesion to the tested surfaces was not dependent on the hydrophobicity of the 96 97 materials. These studies revealed that bacterial adhesion is not always correlated with surface properties. It is also apparent that studies performed under different 98 hydrodynamic conditions have led to different conclusions. Thus, the effects of surface 99 100 properties on bacterial adhesion should be evaluated in different hydrodynamic 101 conditions according to the intended use of that material.

In this study, the adhesion of *E. coli* to glass and PDMS under different flow rates was
 monitored in a PPFC in order to understand the combined effect of the hydrodynamic
 conditions and surface properties on initial bacterial adhesion. A better understanding of

the factors affecting the initial bacterial adhesion is important in the development of

strategies to delay the onset of bacterial biofilms in biomedical devices.

107

108 Materials and methods

109 Numerical simulations

The PPFC used in the present work has a rectangular cross section of 0.8×1.6 cm and a length of 25.42 cm. The inlet and outlet tubes have a diameter (D_{in}) of 0.2 cm. The flow regime was defined using the Reynolds number calculated using the diameter and the velocity (V_{in}) of the inlet:

$$Re_{in} = \frac{\rho V_{in} D_{in}}{\mu}$$

114 Here ρ and μ are the density and viscosity of water, respectively.

115 A laminar regime in the inlet was considered for the flow rates of 1 and 2 ml.s⁻¹ ($Re_{in} < 2000$), and a turbulent regime was assumed for the flow rates of 4, 6, 8 and 10 ml.s⁻¹ 117 ($Re_{in} > 3500$).

Numerical simulations were made in Ansys Fluent CFD package (version 14.5). A model of the PPFC was built in Design Modeller 14.5 and was discretized into a grid of 1,694,960 hexahedral cells by Meshing 14.5. The properties of water (density and viscosity) at 37 °C were used for the fluid.

122 Results in the laminar regime were obtained by solving the Navier-Stokes equations. 123 The velocity-pressure coupled equations were solved by the PISO algorithm [18], the 124 QUICK scheme [19] was used for the discretization of the momentum equations and the 125 PRESTO! scheme was chosen for pressure discretization. The no slip boundary 126 condition was considered for all the walls. Results for the turbulent regime were 127 obtained by solving the SST $k-\omega$ model [20] with low Reynolds corrections.

128 Simulations were made in transient mode, to assure convergence and to capture

transient flow structures. For each case, 2 s of physical time were simulated with a fixed time step of 10^{-4} s. Observation of the trajectories of tracer PVC particles circulating in the PPFC at different flow rates (as described in Teodósio, et al. [21]) confirmed the flow pathlines predicted by CFD (not shown). A mesh independence analysis was performed by using a mesh with 690,475 cells and a 4.9% variation was obtained in the wall shear stress. Despite the small variation, the more refined mesh was used in the simulations to increase numerical accuracy.

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137 Bacteria and culture conditions

138 *Escherichia coli* JM109(DE3) was used since this strain had already demonstrated a 139 good biofilm formation capacity [22]. A starter culture was prepared as described by 140 Teodósio, et al. [23] and incubated overnight. A volume of 60 mL from this culture was 141 centrifuged (for 10 min at 3202 g) and the cells were washed twice with citrate buffer 142 0.05 M [24], pH 5.0. The pellet was then resuspended and diluted in the same buffer to 143 obtain a cell concentration of 7.6×10^7 cell.mL⁻¹.

144

145 Surface preparation and flow chamber experiments

The PPFC was coupled to a jacketed tank connected to a centrifugal pump by a tubing 146 147 system. The PPFC contained a bottom and a top opening for the introduction of the test surfaces of glass and PDMS. Glass slides were firstly washed by immersion in a glass 148 beaker containing 60 ml of a 0.5% solution of detergent (Sonasol Pril, Henkel Ibérica S 149 A) for 30 min. After this, the slides were rinsed (with a squeezing bottle) with distilled 150 water (10 ml) to remove the detergent and then they were immersed in other beaker 151 containing sodium hypochlorite (60 ml at 3%) for an additional 30 min. After rinsing 152 again with 10 ml of distilled water, half of the slides were coated with PDMS. 153

The PDMS (Sylgard 184 Part A, Dow Corning) was submitted to a 30 min ultrasound
treatment in order to eliminate all the bubbles. The curing agent (Sylgard 184 Part B,
Dow Corning) was added to the PDMS (at a 1:10 ratio). PDMS was deposited as a thin
layer (with a uniform thickness of 10 μm) on top of the glass slides by spin coating
(Spin150 PolosTM) at 2000 rpm for 60 seconds.

The PPFC was mounted in a microscope (Nikon Eclipse LV100, Japan) to monitor cell attachment. The cellular suspension was circulated through the PPFC at 1, 2, 4, 6, 8 or 10 ml.s⁻¹ for 30 min. Images were acquired every 60 s with a camera (Nikon DS-RI 1, Japan) connected to the microscope. Temperature was kept constant at 37 °C using a recirculating water bath connected to the tank jacket. Three independent experiments were performed for each surface and flow rate.

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166 Surface hydrophobicity and free energy of adhesion

167 Bacterial and surface hydrophobicity (ΔG) and the free energy of adhesion (ΔG^{Adh}) 168 were determined as described in van Oss [25]. Contact angles were measured at 25 ± 2 169 °C in a contact angle meter (Dataphysics OCA 15 Plus, Germany) using water, 170 formamide and α -bromonaphtalene (Sigma) as reference liquids. One *E. coli* suspension 171 was prepared as described for the adhesion assay and its physicochemical properties 172 were also determined by contact angle measurement as described by Busscher, et al. 173 [26].

174 The Lifshitz-van der Waals components (γ^{LW}) and Lewis acid-base components (γ^{AB}) 175 which comprises the electron acceptor γ^+ and electron donor γ^- parameters were 176 determined as described in van Oss [25] enabling the determination of ΔG and ΔG^{Adh} , 177 using the equations:

$$\Delta G = -2\left(\sqrt{\gamma_s^{LW}} - \sqrt{\gamma_w^{LW}}\right)^2 + 4\left(\sqrt{\gamma_s^+ \gamma_w^-} + \sqrt{\gamma_s^- \gamma_w^+} - \sqrt{\gamma_s^+ \gamma_s^-} - \sqrt{\gamma_w^+ \gamma_w^-}\right);$$
(1)

179

$$\Delta \mathbf{G}^{\mathrm{Adh}} = \gamma_{\mathrm{sb}}^{\mathrm{LW}} - \gamma_{\mathrm{sw}}^{\mathrm{LW}} - \gamma_{\mathrm{bw}}^{\mathrm{LW}} + 2 \left[\sqrt{\gamma_{\mathrm{w}}^{+}} \left(\sqrt{\gamma_{\mathrm{s}}^{-}} + \sqrt{\gamma_{b}^{-}} - \sqrt{\gamma_{\mathrm{w}}^{-}} \right) + \sqrt{\gamma_{\mathrm{w}}^{-}} \left(\sqrt{\gamma_{\mathrm{s}}^{+}} + \sqrt{\gamma_{b}^{+}} - \sqrt{\gamma_{\mathrm{w}}^{+}} \right) - \sqrt{\gamma_{\mathrm{s}}^{+}} \gamma_{b}^{-} - \sqrt{\gamma_{\mathrm{s}}^{-}} \gamma_{b}^{+} \right]$$
(2)

181 If $\Delta G < 0 \text{ mJ.m}^{-2}$, the material is considered hydrophobic, if $\Delta G > 0 \text{ mJ.m}^{-2}$, the 182 material is hydrophilic. If $\Delta G^{\text{Adh}} < 0 \text{ mJ.m}^{-2}$ adhesion is favoured, while adhesion is not 183 expected to occur if $\Delta G^{\text{Adh}} > 0 \text{ mJ.m}^{-2}$.

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185 Data analysis

Microscopy images acquired in real time during the adhesion assays were analyzed with an image analysis software (ImageJ 1.46r) in order to obtain the number of adhered cells over time (30 min assay). The number of bacterial cells was then divided by the surface area of the field of view to obtain the number of cells per square centimeter. The ratio between the number of adhered cells on PDMS and glass was calculated for each time point and average values for the whole assay were determined for each flow rate.

The theoretical mass transport in a given flow displacement system can be calculated by solving the von Smoluchowski-Levich (SL) equation (approximate solution) which assumes that all microorganisms sufficiently close to the surface will adhere irreversibly [27]. Accordingly, a theoretical bacterial deposition rate (cells.m⁻².min⁻¹) can be calculated for the PPFC under the experimental conditions by:

197
$$SL = 0.538 \frac{D_{\infty} C_b}{R_b} \left(\frac{Pe h_0}{x}\right)^{1/3}$$
 (3)

198 where D_{∞} is the diffusion coefficient (approximately 4 x 10^{-13} m².s⁻¹ for 199 microorganisms), C_b is the bacterial concentration (cell.m⁻³), R_b is the microbial radius (m), h₀ is the height of the rectangular channel (m) and x is the distance for which an
average velocity variation below 15 % was determined (m).

The equation includes the Péclet number (Pe) which represents the ratio between convective and diffusional mass transport, given for the parallel plate configuration as:

204
$$Pe = \frac{3v_{av} R_b^3}{2(h_0/2)^2 D_{\infty}}$$
(4)

where v_{av} is the average flow velocity (m.s⁻¹). Eq. 3 predicts the cell adhesion rates per surface area for a certain flow rate. From this value it is possible to calculate the number of adhered cells for each flow rate, multiplying the rate by the correspondent time point.

209 Statistical analysis

Paired *t*-test analyses were performed to evaluate if statistically significant differences 210 211 were obtained with the two materials. Three independent experiments were performed 212 for each surface and flow rate. Each time point was evaluated individually using the 213 three independent results obtained with glass at one flow rate and the three individual results obtained with PDMS at the same flow rate. Results were considered statistically 214 215 different for a confidence level greater than 95% (P < 0.05) and these time points were marked with an asterisk (*). Standard deviation between the 3 values obtained from the 216 217 independent experiments was also calculated and average deviations below 17% and 21% were obtained for glass and PDMS respectively. 218

219

220 **Results**

221 Numerical simulation of the flow

Figure 1 shows the axial velocity (x component) in the midplane of the cell. For the laminar regimes, a laminar jet extends to a distance of about three quarters of the cell length (x = 0.19 m). The flow is transient, a result consistent with experimental observations [28]. Transient vortices are formed along the cell between the jet and the wall. The jet may sometimes break into temporary vortices and recover its length again. However, the flow stabilizes as it approaches the viewing point where the conditions are of steady flow. Results for the turbulent regimes show a much shorter jet that slowly increases with increasing flow rate. The flow conditions in the viewing point are also stable. The highest flow velocity values are found in the inlet zone which is also the zone where highest flow velocity variations occur.

Figure 2 represents the distribution of wall shear stress along the cell. For the laminar cases, wall shear stress peaks are obtained where the jet breaks, due to the formation of vortices. For the turbulent cases, since the jets break at a shorter distance, the wall shear stress is higher for x < 0.05 m. In all cases (laminar or turbulent), the wall shear stress at the viewing point is stable. Wall shear stresses between 0.005 and 0.07 Pa (corresponding to shear strain rates between 7 and 100 s⁻¹, respectively) are obtained in the visualization zone in this PPFC for the flow rates studied.

239

240 Bacterial adhesion

A PPFC containing a glass or a PDMS surface was operated at six different flow rates in 241 order to study the effect of the hydrodynamic conditions and surface properties on E. 242 243 *coli* adhesion. The results in Table 1 show that glass and *E. coli* are both hydrophilic ($\Delta G > 0 \text{ mJ.m}^{-2}$) and that PDMS is hydrophobic ($\Delta G < 0 \text{ mJ.m}^{-2}$). Additionally, it is 244 possible to observe that glass has the highest γ^{LW} value and PDMS the lowest. 245 Regarding γ^- and γ^+ , results showed that PDMS and *E. coli* are monopolar surfaces, 246 247 being electron donors and glass is a polar surface, being an electron donor and acceptor. From a thermodynamic point of view, E. coli adhesion to PDMS and glass is not 248 expected to occur ($\Delta G^{Adh} > 0 \text{ mJ.m}^{-2}$). Additionally, *E. coli* adhesion to glass is less 249

250 favourable than to PDMS (ΔG^{Adh} glass > ΔG^{Adh} PDMS).

Figure 3 depicts the adhesion curves obtained for PDMS and glass for each flow rate. 251 The number of adhered cells increased with time in all cases. Adhesion on PDMS 252 (Figure 3a) was higher than on glass for 72% of the points (P < 0.05). Values were on 253 254 average 2.4 fold higher than predicted by the SL solution. Regarding adhesion on glass, values obtained were on average 1.4 fold higher than predicted. For the flow rates of 2 255 and 4 ml.s⁻¹ (Figures 3b and 3c), the number of adhered cells on PDMS and glass was 256 similar during the experimental time (P > 0.05) and the results agree with those 257 predicted by the SL solution. In Figure 3d it is possible to observe that for a flow rate of 258 6 ml.s⁻¹, adhesion on PDMS was higher than on glass. Experimental results obtained for 259 260 PDMS were on average 1.5 fold higher than predicted. Adhesion on glass was on average 1.4 fold higher than predicted for the first 17 min. However, after 17 min, the 261 theoretical values were, on average, 1.2 fold higher than the experimental. With flow 262 rates of 8 and 10 ml.s⁻¹ (Figures 3e and 3f) the number of adhered cells on PDMS was 263 264 higher than on glass, in the first case for 55% of the time points and in the second for 93% of the points (P < 0.05). For both flow rates, during the first 13 min, the number of 265 adhered cells on both surfaces was successfully predicted. From 13 min onwards, the 266 number of adhered cells on PDMS was on average 1.4 fold lower than predicted. 267 268 Regarding the glass surface, the SL solution predicted twice the amount of adhered cells 269 than what was experimentally observed.

Figure 4 shows the average wall shear stress and the ratio between the number of adhered cells on PDMS and glass for each flow rate. For the lower flow rate (corresponding to a shear stress of 0.005 Pa), adhesion on PDMS was on average 1.7 fold higher than on glass (P < 0.05). Regarding the intermediate flow rates, 2 and 4 ml.s⁻¹, similar adhesion values were obtained for both surfaces (P > 0.05). For the higher flow rates (6, 8 and 10 ml.s⁻¹) a higher number of adhered cells was observed on
PDMS than on glass (although with no statistical significant difference for 6 ml.s⁻¹). It
was observed that for shear stresses higher than 0.03 Pa, until a maximum of 0.07 Pa
(between 4 and 10 ml.s⁻¹), an increase in shear stress amplified the difference between
the two surfaces.

280

281 Discussion

A PPFC was used to assess the combined influence of six hydrodynamic conditions 282 (flow rates between 1 and 10 ml.s⁻¹) and two surfaces, one hydrophilic (glass) and 283 another hydrophobic (PDMS), on the initial adhesion of E. coli. Numerical simulations 284 285 showed that under these flow rates, shear stresses between 0.005 and 0.07 Pa can be attained in the PPFC. Since wall shear stresses lower than 0.1 Pa can be found in the 286 urinary system (eg bladder and urethra) [29], circulatory system (eg veins) [30] and 287 reproductive system (eg uterus) [31], this platform can be used to simulate the 288 289 hydrodynamic conditions found in different locations of the human body.

The process of bacterial adhesion can be affected by the hydrodynamic conditions but 290 also by cell and surface properties [32]. It was observed that, in general, E. coli 291 adhesion was higher on PDMS than on glass and this is in agreement with the 292 293 thermodynamic theory since adhesion on hydrophilic (glass) surfaces is less favorable. Fletcher and Loeb [33] observed that the number of bacteria adhered on a surface is 294 295 related to the surface charge and degree of hydrophobicity of the substratum. They verified that a higher number of marine *Pseudomonas sp.* cells adhered on hydrophobic 296 surfaces than in hydrophilic materials. Cerca, et al. [34] studied the physicochemical 297 interactions involved on the adhesion of 9 clinical isolates of S. epidermidis to different 298 surfaces. They observed that adhesion to hydrophobic surfaces was favored for all 299 300 strains when compared to hydrophilic surfaces.

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With a flow rate of 1 ml.s⁻¹, the number of adhered cells on PDMS was higher than on 301 glass, and for both surfaces this number was higher than predicted by the SL solution. In 302 303 the SL approximation, bacterial mass transport is governed by diffusion and convection in the absence of gravitational, colloidal and hydrodynamic interactions [35, 36]. 304 Experimental adhesion rates higher than those predicted by this model have been 305 observed [37, 38]. These have been attributed to the contribution of sedimentation 306 307 phenomena at lower flow rates [35] and to presence of surface appendages, e.g. flagellum, which may have a positive effect on adhesion, a feature that is also not 308 considered in this model [39]. Li, et al. [35] have studied the contribution of 309 sedimentation to mass transport in a PPFC using S. aureus and a glass surface. Having 310 tested stagnant conditions and flow rates up to 0.33 ml.s^{-1} , these authors have shown 311 that when accounting for sedimentation in calculating deposition efficiencies, these 312 decrease with increasing flow rates. Although the flow rates used in this work are three 313 to thirty fold higher than the highest flow rate used on that study it is possible that mass 314 315 transport by sedimentation may have some importance particularly at the lowest flow rate tested. Bacterial appendages will allow bacteria to swim thus enhancing the rate of 316 arrival to the surface [40]. When cells are sufficiently close to the surface, the 317 interacting forces between them and the surface may govern the adhesion since 318 319 differences in the number of adhered cells between PDMS and glass were observed. Wang, et al. [38] observed that after cells are transported to the substrate surface, the 320 321 initiation of adhesion was dependent on the interaction energy between the cells and that surface. Bayoudh, et al. [41] compared the adhesion of *Pseudomonas stutzeri* and S. 322 epidermis on two different surfaces. They observed that P. stutzeri used its surface 323 structures to adhere more strongly and irreversibly on both surfaces, while S. epidermis 324 adhered reversibly and this was dependent on the surface energy barrier. However, both 325

bacterial strains adhered in higher numbers to hydrophobic surfaces when compared tohydrophilic materials.

328 With flow rates of 2 and 4 ml.s⁻¹, the number of adhered cells was similar for both surfaces and the values were successfully predicted by the SL solution. This theory 329 330 considers that bacterial adhesion will increase with increasing flow velocities, due to the 331 increased cell transport to the surface. However, the model does not account for the fact 332 that a higher flow rate promotes higher shear stresses that may prevent cellular attachment [42]. This hindrance may be overcome by the bacterial appendages used in 333 adhesion [43]. Moreover, since these structures have a small size, they can help to 334 overcome the energy barrier between the bacteria and the surface and facilitate adhesion 335 336 [44]. Thus, with a stronger shear stress, the first interaction between cells and surface may be mediated directly by the cellular appendages [29, 38]. Therefore, a balance 337 between the negative effect of the shear forces and the positive effect of the cellular 338 appendages may be achieved. Although none of these factors is accounted for in the SL 339 340 solution, they can cancel one another and therefore bacterial adhesion was successfully predicted by the model under these conditions. 341

Regarding the results obtained for a flow rate of 6 ml.s⁻¹, it was possible to observe that 342 a higher number of cells adhered on PDMS than on glass. The number of adhered cells 343 344 on PDMS was slightly higher than predicted and the same was observed for glass for the first 17 min of the assay. However, after this initial period, the number of adhered 345 cells on glass was lower than predicted by the SL solution indicating that some type of 346 blocking may have occurred. Under a higher flow velocity, the number of cells arriving 347 to the surface is higher and cellular appendages may contribute to a higher productivity 348 in adhesion [42, 44]. However, since a stronger shear stress is promoted under this 349 hydrodynamic condition and a lower contact time between the cells and the surface is 350 351 expected, the gliding motion along the surface, which can happen during reversible 14 352 adhesion, may be hampered [42, 45]. Thus, the adhesion step must be quicker in order 353 to overcome this effect. In the first minutes, cells have all the surface free to adhere. However, after some minutes some areas become occupied by adhered cells thus 354 reducing the free area available for attachment [37]. For a flow rate of 6 ml.s⁻¹, it seems 355 that this blocking effect starts at 17 min only for the glass surface. This effect was not 356 observed for the PDMS surface, indicating that surface properties also have an 357 358 important role in bacterial adhesion in this condition. Knowing that adhesion on glass is less favorable according to the thermodynamic theory it is possible that both factors 359 (thermodynamic and the blocking effect) may inhibit adhesion to this surface. 360

At higher flow rates (8 and 10 ml.s⁻¹), although a higher adhesion was predicted by the 361 362 model, a lower number of adhered cells was observed for both surfaces. This may be due to the increased shear stress, the decreased contact time with the surface, the 363 blocking effect, or even desorption promoted by bacterial collisions [46, 47]. Lecuyer, 364 et al. [48] investigated the influence of the wall shear stress in the adhesion of P. 365 366 aeruginosa. They verified that the number of binding events decreased as the shear stress increased in a range of wall shear stresses between 0.05 and 10 Pa. Shive, et al. 367 [49] studied the effect of shear stresses between 0 and 1.75 Pa in the adhesion of S. 368 epidermidis and leukocytes to polyetherurethane. They observed that adhesion 369 370 decreased with increasing shear stress. In this work, with the two higher flow rates tested, it was also observed that bacterial adhesion was different between the two 371 surfaces indicating that surface properties affected adhesion. A lower number of 372 adhered cells was observed on glass than on PDMS and these values were lower than 373 theoretically predicted. It seems that with these flow rates the stronger shear stresses had 374 a higher inhibitory effect on cellular adhesion on glass, which is the surface that is 375 theoretically less favorable for adhesion. Regarding the PDMS surface, it was observed 376 377 that until 13 min, the SL solution was able to predict the number of adhered cells. After 15

13 min, the number of adhered cells on PDMS was lower than the values predicted by 378 the SL solution indicating that a blocking effect may be occurring. The surface coverage 379 380 for this condition was estimated as described by Adamczyk, et al. [50] to be approximately 3%. Li, et al. [51] have studied S. aureus adhesion to glass at a 381 comparable shear rate (84 s⁻¹) and did not find any significant blocking effects at a 382 surface coverage of approximately 10%. It is however plausible that this effect is 383 384 dependent on the bacteria and surface that is used for the assays. When PDMS is used as substrate, since this surface is thermodynamically more favorable for adhesion, the 385 inhibitory effect caused by the shear stress is only noticed after 13 min possibly due to 386 the reduction of free area available for adhesion and the lower contact time between the 387 388 cells and the surface, which may hamper the adhesion assistance effect provided by the cellular appendages [43]. 389

The use of modified materials or polymeric coatings with enhanced surface properties is 390 a promising strategy to inhibit bacterial colonization of surfaces in the biomedical sector 391 392 [1, 52, 53]. Although some encouraging results have been obtained both in vitro and in vivo [54], one has to bear in mind that these modified materials with enhanced 393 properties are often much more expensive than the original materials from which they 394 are derived. The results presented in this study demonstrate that E. coli adhesion to both 395 396 hydrophilic and hydrophobic surfaces is modulated by shear stress. Depending on the prevailing hydrodynamic conditions, the effect of surface properties on bacterial 397 adhesion is either more noticeable or less important than the effect of the shear forces. 398 This suggests that when materials are selected to produce biomedical devices or when 399 400 coatings are developed for surface protection against biofilm formation, the knowledge 401 of the shear stress field that will exist during the *in vivo* use of these devices may be very important. Thus, depending on the hydrodynamic regime that is found in each 402 403 particular application, the use of more expensive materials or polymeric coatings may 16 404 be justified or not.

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520 Table 1 Contact angle measurements (θ), the apolar (γ^{LW}) and polar (γ^{AB}) components,

521 the surface tension parameters (γ^+ and γ^-), the hydrophobicity (ΔG) of both surfaces

and *E. coli* cells and the free energy of adhesion (ΔG^{Adh}) between *E. coli* and each

523 surface

	Contact angle / °			v ^{LW} /	γ^{+} /	νĪ/	γ^{AB}	ΔG /	$\Delta G^{Adh}/$
Surface	$\theta_{\rm w}$	θf_{orm}	θ_{br}	(mJ.m ⁻²)	$(mJ.m^{-2})$	$(mJ.m^{-2})$	$(mJ.m^{-2})$	$(mJ.m^{-2})$	(mJ.m ⁻²)
Glass	16.4 ±0.3	44.5 ±0.7	17.2 ±0.3	32.6	2.6	52.4	23.3	28.0	62.9
PDMS	113.6 ±0.6	87.6±1.8	111.2 ±0.6	12.0	0.0	4.5	0.0	-61.8	32.6
E. coli	19.1 ±0.9	58.5 ±2.0	73.3 ±0.7	25.7	0.0	123.2	0.0	121.9	-
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540 Figure captions

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542 Figure 1 Absolute velocity in the midplane of the cell.

543 Figure 2 Wall shear stress in the bottom wall of the cell.

Figure 3 Adhesion of *E. coli* on PDMS (open symbols), on glass surfaces (closed symbols) and the theoretical values predicted by the von Smoluchowski-Levich (SL) approximate solution (line), during 30 min for each flow rate: a) 1 ml.s⁻¹, b) 2 ml.s⁻¹, c) 4 ml.s⁻¹, d) 6 ml.s⁻¹, e) 8 ml.s⁻¹, f) 10 ml.s⁻¹. These results are an average of those obtained from three independent experiments for each condition. Statistical analysis corresponding to each time point is represented with an * for a confidence level greater than 95% (P < 0.05).

Figure 4 Ratio between *E. coli* adhesion on PDMS and glass surfaces (circles) for different flow rates and average wall shear stress for each flow rate determined by CFD (triangles). A solid line was drawn to highlight the points where *E. coli* adhesion results are similar on both surfaces. These results are an average of those obtained from three

555 independent experiments for each surface and flow rate.

Figure 1 Click here to download high resolution image



Figure 2 Click here to download high resolution image







Highlights

The combined effects of surface properties and hydrodynamic conditions on *Escherichia coli* adhesion were evaluated using a parallel plate flow chamber.

Surface properties only affected adhesion at the lowest and highest shear stresses tested and no effect was found at intermediate levels.

When expensive materials are selected to produce biomedical devices, the local hydrodynamic conditions should be taken into account.

Shear stress values obtained in this parallel plate flow chamber are similar to those found in circulatory, reproductive and urinary systems.

