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5 ***Escherichia coli* adhesion, biofilm development and antibiotic**
6 **susceptibility on biomedical materials**

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27 **Abstract**

28 The aim of this work was to test materials typically used in the construction of medical
29 devices regarding their influence in the initial adhesion, biofilm development and
30 antibiotic susceptibility of *Escherichia coli* biofilms. Adhesion and biofilm development
31 was monitored in 12-well microtiter plates containing coupons of different biomedical
32 materials – silicone (SIL), stainless steel (SS) and polyvinyl chloride (PVC) – and glass
33 (GLA) as control. The susceptibility of biofilms to ciprofloxacin and ampicillin was
34 assessed and the antibiotic effect in cell morphology was observed by scanning electron
35 microscopy (SEM). The surface hydrophobicity of the bacterial strain and materials was
36 also evaluated from contact angle measurements. Surface hydrophobicity was related
37 with initial *E. coli* adhesion and subsequent biofilm development. Hydrophobic materials,
38 such as SIL, SS and PVC, showed higher bacterial colonization than the hydrophilic glass.
39 Silicone was the surface with the greatest number of adhered cells and the biofilms
40 formed on this material were also less susceptible to both antibiotics. It was found that
41 different antibiotics induced different levels of elongation on *E. coli* sessile cells. Results
42 revealed that, by affecting the initial adhesion, the surface properties of a given material
43 can modulate biofilm buildup and interfere with the outcome of antimicrobial therapy.
44 These findings raise the possibility of fine-tuning surface properties as a strategy to reach
45 higher therapeutic efficacy.

46

47 **Keywords:** *Escherichia coli*, surface hydrophobicity, bacterial adhesion, biofilm
48 formation, antibiotic susceptibility

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52 INTRODUCTION

53 Bacterial adhesion to biomedical surfaces is a complex process that is affected not only
54 by biological features, but also by many physico-chemical factors, such as the surface
55 properties of the device (chemical composition, charge, hydrophobicity, roughness and
56 texture), the bacterial hydrophobicity and charge, and environmental factors
57 (temperature, pH, fluid flow conditions, etc.).¹ From an overall physico-chemical point
58 of view, adhesion can be mediated by non-specific interactions (long-range, distances >
59 150 nm), including Lifshitz-van der Waals forces, electrostatic forces, acid-base
60 interactions and Brownian motion forces.^{1,2} As soon as bacterial cells reach a surface,
61 they will be attracted or repelled by it, depending on the sum of the different non-specific
62 interactions.³ Hydrophobic interactions are usually the strongest of all long-range non-
63 covalent forces involved in bacterial attachment and can be defined as the attraction
64 between apolar, or slightly polar, cells or other molecules, when immersed in an aqueous
65 solution.² It has been demonstrated that hydrophobicity plays an important role in a wide
66 range of microbial infections.⁴

67 Biofilm formation in medical devices generally comprises several steps. Firstly, there is
68 the deposition of a conditioning film produced by the host on the foreign body.^{5,6} It is
69 followed by the approach and attachment of microorganisms, consisting in the first step
70 in the pathogenesis of medical device-related infections.⁷ The initial adhesion is
71 reversible, involves hydrophobic and electrostatic forces, and is followed by irreversible
72 attachment mediated by bacterial polysaccharides which anchor the organisms to the
73 surface.⁵ After that, cell growth, multiplication and dissemination occur.

74 Microbial biofilms are well-known for their high resistance to antibiotic and biocide
75 treatments.⁸ Bacteria within biofilms can tolerate the presence of high antibiotic
76 concentrations (in the range that is therapeutically prescribed), making most of the device-

77 related infections difficult or impossible to eradicate.⁹ Some factors that contribute to
78 biofilm resistance include physical or chemical diffusion barriers to antibiotic penetration
79 within the biofilm matrix, slow growth rate of biofilm cells due to nutrient limitation,
80 activation of the general stress response, and the presence of persister cells or antibiotic-
81 resistant small-colony variants.¹⁰ Two antibiotics with distinct modes of action were used
82 on this study: ciprofloxacin and ampicillin. Ciprofloxacin, a broad-spectrum synthetic
83 antibiotic of the fluoroquinolone drug class, functions by inhibiting DNA gyrase and
84 topoisomerase IV (enzymes necessary to separate bacterial DNA strands), thereby
85 inhibiting cell division.¹¹ Ampicillin, a β -lactam antibiotic, inactivates the synthesis of
86 cross-linked peptidoglycan and also interferes with septum formation during cell
87 division.¹² Both antibiotics are among the most frequently prescribed antimicrobial agents
88 worldwide and can be used on the treatment of urinary tract infections¹³⁻¹⁶ in which *E.*
89 *coli* is notably the main causative agent.¹⁷

90 Biomedical devices are currently made of different materials. Silicone polymers have
91 been further applied in urinary catheters, contact lenses, ophthalmologic implants, heart
92 valves, breast implants, blood pumps, tubing and adhesives.^{18,19} Stainless steel 316 is used
93 in surgical instruments, as well as in orthopaedic, craniofacial and cardiovascular implant
94 devices.²⁰ PVC covers more than 25% of all plastic materials used in medical
95 applications, including intravenous fluid bags and tubing, blood and plasma bags, enteral
96 feeding and dialysis equipment, endotracheal tubes, short-term catheters and gloves.^{21,22}

97 *E. coli* has become a common pathogen in predisposed hosts with indwelling medical
98 devices.²³ Its pathogenic strains are responsible for 70 to 95% of urinary tract infections
99 (UTIs), one of the most typical bacterial diseases. These infections are especially frequent
100 in cases of catheterization due to biofilm development on the indwelling urinary
101 catheters.^{24,25} Catheter-associated UTI accounts for more than 1 million cases per year in

102 United States alone and involves an annual cost of caring for patients with this infection
103 of approximately \$2 billion.²⁶

104 The main goal of this study was to assess the importance of the initial adhesion events on
105 the development of *E. coli* biofilms in clinically relevant materials and to determine if the
106 surface properties can affect the outcome of an antimicrobial therapy. Additionally, SEM
107 visualization of the biofilms enabled the assessment of morphological changes in the
108 bacterial cells resulting from the antibiotic treatment. A better understanding of these
109 effects may provide clues for the fine-tuning of the surface properties of biomedical
110 materials in order to mitigate bacterial adhesion and increase the efficiency of
111 antimicrobial therapy.

112

113 **MATERIALS AND METHODS**

114 **Bacterial strain and culture conditions**

115 *Escherichia coli* JM109(DE3) from Promega (USA) was used in this study because this
116 strain has shown a good biofilm forming ability in both turbulent²⁷ and laminar²⁸ flow
117 conditions. Its genotype is *endA1, recA1, gyrA96, thi, hsdR17* (r_k^- , m_k^+), *relA1, supE44,*
118 λ , $\Delta(lac-proAB)$, [F' , *traD36, proAB, lacI^qZ Δ M15*], λ (DE3).

119 A bacterial suspension was prepared by inoculation of 500 μ L of a glycerol stock (kept
120 at -80 °C) in a total volume of 0.2 L of inoculation medium previously described by
121 Teodósio et al.²⁹ This consisted of 5.5 g/L glucose, 2.5 g/L peptone, 1.25 g/L yeast extract
122 in phosphate buffer (1.88 g/L KH_2PO_4 and 2.60 g/L Na_2HPO_4), pH 7.0. This culture was
123 grown on a 1 L shake-flask, incubated overnight at 37 °C with agitation. Subsequently,
124 cells were harvested by centrifugation (at 3202 g for 10 min at 25 °C) and suspended in
125 Mueller-Hinton broth (Merck, Germany) to remove all traces of the overnight growth
126 medium. Cells were again harvested by centrifugation (as described before) and

127 suspended in Mueller-Hinton broth in order to obtain an inoculum containing
128 approximately 1×10^7 cells/mL.

129

130 **Surface preparation**

131 Coupons with dimensions of 1 x 1 cm from glass (GLA; Vidraria Lousada, Lda, Portugal),
132 stainless steel 316 (SS; F. Ramada, Portugal), polyvinyl chloride (PVC; Neves & Neves,
133 Lda, Portugal) and silicone (SIL; Neves & Neves, Lda, Portugal) were prepared. SS, PVC
134 and SIL were selected because of their recurrent use in clinical applications and glass, a
135 hydrophilic surface, was used in this study for comparative purposes.

136 All materials were immersed in a solution of 5% (v/v) commercial detergent (Sonasol
137 Pril, Henkel Ibérica S.A.) and pre-warmed distilled water (37 °C) for 30 min with gentle
138 shaking. To remove any remaining detergent, coupons were rinsed 5 times in 2 mL of
139 ultrapure water per coupon in ultrapure water and air-dried for 1 h.^{17,30} Then they were
140 immersed in 96% (v/v) ethanol for 30 min, except for PVC and SIL that were only
141 immersed for 10 s.³¹ After being rinsed with ultrapure water and air-dried again, SS and
142 GLA coupons were autoclaved for 15 min at 121 °C³⁰ whereas SIL and PVC coupons
143 were autoclaved for 20 min at 70 °C¹⁷ to avoid damaging the coupons. The sterility of the
144 SIL and PVC coupons was confirmed by the absence of bacterial growth in the surface
145 after a 24 h incubation in Mueller-Hinton broth at 37 °C.

146

147 **Free energy of adhesion between bacteria and surfaces**

148 The free energy of adhesion (ΔG_{iwl}^{TOT}) between the *E. coli* and all tested surfaces (SIL, SS,
149 PVC and GLA) was assessed according to the procedure described by Simões et al.³²
150 Lawns of *E. coli* were prepared as described by Busscher et al.³³ in order to ascertain the
151 bacterial surface hydrophobicity.

152 The contact angles of the bacteria and the surfaces were determined by the sessile drop
 153 method using a contact angle meter (OCA 15 Plus, Dataphysics, Germany). The surface
 154 tension components of the bacteria and the adhesion surfaces were obtained by measuring
 155 the contact angles with three pure liquids. These measurements were carried out at room
 156 temperature (25 ± 2 °C) using water, formamide and α -bromonaphthalene (Sigma-Aldrich
 157 Co., Portugal) as reference liquids. The surface tension components of the reference
 158 liquids were obtained from literature.³⁴ Contact angle measurements were performed in
 159 three independent experiments. On each experiment, at least 25 determinations for each
 160 liquid, material and microorganism were made. Afterwards, the hydrophobicity of the
 161 bacteria and the surfaces was evaluated by the method of van Oss et al.³⁵⁻³⁷ In this
 162 approach, the degree of hydrophobicity of a given material (*i*) is expressed as the free
 163 energy of interaction between two entities of that material immersed in water (*w*) - ΔG_{iwi} .
 164 If the interaction between the two entities is stronger than the interaction of each entity
 165 with water ($\Delta G_{iwi} < 0$ mJ/m²), the material is considered hydrophobic. Conversely, if
 166 $\Delta G_{iwi} > 0$ mJ/m², the material is hydrophilic. ΔG_{iwi} was calculated from the surface
 167 tension components of the interacting entities, according to the equation:

168

$$169 \quad \Delta G_{iwi} = -2 \left(\sqrt{\gamma_i^{LW}} - \sqrt{\gamma_w^{LW}} \right)^2 + 4 \left(\sqrt{\gamma_i^+ \gamma_w^-} + \sqrt{\gamma_i^- \gamma_w^+} - \sqrt{\gamma_i^+ \gamma_i^-} - \sqrt{\gamma_w^+ \gamma_w^-} \right) \quad (1)$$

170

171 where γ^{LW} accounts for the Lifshitz-van der Waals component of the surface free energy
 172 and γ^+ and γ^- are the electron acceptor and electron donor parameters, respectively, of
 173 the Lewis acid-base component (γ^{AB}), with $\gamma^{AB} = 2\sqrt{\gamma^+ \gamma^-}$.

174 The surface tension components were estimated by the simultaneous resolution of three
 175 equations of the type:

176

$$177 \quad (1 + \cos \theta) \gamma_i^{TOT} = 2 \left(\sqrt{\gamma_s^{LW} \gamma_i^{LW}} + \sqrt{\gamma_s^+ \gamma_i^-} + \sqrt{\gamma_s^- \gamma_i^+} \right) \quad (2)$$

178

179 where θ is the contact angle and $\gamma^{TOT} = \gamma^{LW} + \gamma^{AB}$.

180 When studying the interaction (free energy of adhesion) between substances i and I that

181 are immersed or dissolved in water, the total interaction energy, ΔG_{iwI}^{TOT} , can be expressed

182 as:

183

$$184 \quad \Delta G_{iwI}^{TOT} = \gamma_{il}^{LW} - \gamma_{iw}^{LW} - \gamma_{Iw}^{LW} \\ 185 \quad + 2 \left[\sqrt{\gamma_w^+} (\sqrt{\gamma_i^-} + \sqrt{\gamma_I^-} - \sqrt{\gamma_w^-}) + \sqrt{\gamma_w^-} \left(\sqrt{\gamma_i^+} + \sqrt{\gamma_I^+} - \sqrt{\gamma_w^+} \right) \right. \\ 186 \quad \left. - \sqrt{\gamma_i^+ \gamma_I^-} - \sqrt{\gamma_i^- \gamma_I^+} \right] \quad (3)$$

187

188 Thermodynamically, if $\Delta G_{iwI}^{TOT} < 0$ mJ/m² adhesion of the bacteria to the substratum is

189 favourable, whereas adhesion is not favourable if $\Delta G_{iwI}^{TOT} > 0$ mJ/m².

190

191 **Initial adhesion and biofilm assays**

192 A total of 2 mL of cell suspension (1×10^7 cells/mL in Mueller-Hinton broth) was

193 transferred into each well of a sterile 12-well polystyrene (PS), flat-bottomed microtiter

194 plate (Orange Scientific, USA) containing the coupons of different materials. Initial

195 adhesion and biofilm growth were allowed to occur by incubating the microtiter plates at

196 37 °C without shaking. At different sampling times, 30 min for initial adhesion and 4, 8,

197 12, 16, 20 and 24 h for biofilm studies, coupons were removed from the microwells and

198 quickly immersed on 2 mL of sterile saline (NaCl 0.85%) to remove the loosely attached

199 cells. Coupons were then vortexed in 10 mL of saline solution during 1 min²² to suspend
200 and homogenize the biofilm cells. The extent of cell removal from the surface due to
201 vortexing was assessed and it was found to be greater than 95%.

202 For total cell counts, suspended biofilm cells were stained with 4'-6-diamidino-2-
203 phenylindole (DAPI), which stains both viable and non-viable cells.³⁸ Biofilm cells were
204 properly diluted, filtered through a Nucleopore, Track-Etch Membrane (Whatman Int.,
205 Ltd., USA) black polycarbonate membrane (pore size 0.2 μm) and stained with 1 mL of
206 DAPI reagent (0.5 mg/L) for 10 min in the dark.³⁸ Stained bacterial observation and
207 counting was performed using a Leica DM LB2 epifluorescence microscope connected
208 to a Leica DFC300 FX camera (Leica Microsystems Ltd., Switzerland). Cell numbers on
209 each membrane were estimated from counts of a minimum of 20 fields of view and the
210 final values were presented as log total cells/cm².

211 Planktonic culture densities were also determined for the same time points (30 min for
212 adhesion and 4, 8, 12, 16, 20 and 24 h for biofilm studies) by reading the optical density
213 (OD) at 610 nm using a microtiter plate reader (SpectraMax M2E, Molecular Devices,
214 UK).

215 To determine the initial adhesion, biofilm development and planktonic growth rates, three
216 independent experiments were performed for each surface, each of them with a triplicate
217 set of wells.

218

219 **Quantification of extracellular polymeric substances (EPS)**

220 The content of the main EPS found in biofilms (proteins and polysaccharides) formed on
221 the different materials was assessed after 24 h of biofilm growth. Matrix proteins and
222 polysaccharides from biofilms were separated from cells using Dowex resin (50 X 8, Na⁺
223 form, 20-50 mesh; Fluka Chemika, Switzerland), according to the procedure described

224 by Simões et al.³⁹ Twelve coupons of each material were removed from the microtiter
225 plate and the biofilms were suspended in 10 mL of extraction buffer (2 mM Na₃PO₄, 2
226 mM NaH₂PO₄, 9 mM NaCl and 1 mM KCl, pH 7). Then, 50 g of Dowex resin per g of
227 volatile solids³⁹ were added to the biofilm suspension. The extraction took place for 4 h
228 at 4 °C (with stirring at 400 rpm), and ultimately the extracellular components (matrix)
229 were separated from the cells through centrifugation (for 6 min at 3202 g). Total protein
230 and polysaccharide content was assessed prior to extraction procedure. Protein (total and
231 matrix) amount was determined for each material by the Bicinchoninic Acid Protein
232 Assay Kit – BCA™ Protein Assay Kit (Thermo Fisher Scientific, USA) and
233 polysaccharide (total and matrix) concentration was quantified by the phenol-sulphuric
234 acid method of DuBois et al.⁴⁰ Protein and polysaccharide specific amounts were
235 calculated taking into account the biofilm dry-weight assessed as described by Sousa et
236 al.⁴¹ Briefly, coupons obtained after 24 h of biofilm growth were removed from the plate
237 wells and dried at 80 °C for 24 h. The coupons were then weighed and the biofilms were
238 scraped from the surface, which was then cleaned with ethanol, left overnight at 80 °C
239 and then weighed. Biofilm dry-weights were assessed by the difference between the
240 weight of the coupon with and without the biomass attached.

241

242 **Antibiotics and determination of biofilm minimum inhibitory concentration** 243 **(biofilm MIC)**

244 The antibiotics used in this study were ciprofloxacin (Sigma-Aldrich Co., Portugal) and
245 ampicillin (AppliChem, Germany).

246 The biofilm MIC is defined as the lowest antibiotic concentration that inhibits visible
247 growth⁴² and was determined according to Takahashi et al.⁴³ with some modifications.

248 Sterile 96-well polystyrene, flat-bottomed microtiter plate (Orange Scientific, USA) were

249 filled with 200 μL of cells at 1×10^7 cells/mL in Mueller-Hinton broth supplemented with
250 each antibiotic at different concentrations. After 24 h of incubation at 37 $^\circ\text{C}$, the medium
251 was removed and the wells were washed to remove non-adherent bacterial cells. The
252 biofilms were suspended in saline solution by pipetting up and down and the OD was
253 measured at 610 nm using a microtiter plate reader (SpectraMax M2E, Molecular
254 Devices, UK). The biofilm MIC values were 0.08 $\mu\text{g}/\text{mL}$ and 50 $\mu\text{g}/\text{mL}$ for ciprofloxacin
255 and ampicillin, respectively (data not shown).

256

257 **Biofilm susceptibility**

258 To assess the susceptibility of biofilms developed on all tested surfaces, a concentration
259 corresponding to $5 \times$ biofilm MIC of ciprofloxacin and ampicillin (0.4 $\mu\text{g}/\text{mL}$ and 250
260 $\mu\text{g}/\text{mL}$, diluted in Mueller-Hinton medium, respectively) was added to the coupons after
261 24 h of incubation. The biofilm cells were exposed to antibiotics for 7.5 h and different
262 coupons of each material were sampled every 1.5 h. The biofilms were suspended as
263 previously described for initial adhesion and biofilm assays, filtered through a
264 Nucleopore, Track-Etch Membrane (Whatman Int., Ltd., USA) black polycarbonate
265 membrane (pore size 0.2 μm) and stained with the Live/Dead[®] (L/D) *BacLight*[™]
266 Bacterial Viability kit (Invitrogen Life Technologies, Alfacene, Portugal) for 10 min in
267 the dark.^{27,44} Bacterial observation and counting of viable and non-viable bacteria was
268 also performed as indicated for initial adhesion and biofilm assays. For viability
269 assessment, live cells were divided by the total cell number (live plus dead cells) and the
270 result was expressed in percentage of cell viability. In the specific case of glass, the
271 percentage of total cell removal was also determined. One sample was analysed prior to
272 antibiotic exposure (time 0 h) confirming that 100% of the cells were viable. Three

273 independent experiments were performed for each surface, each of them with a triplicate
274 set of wells.

275

276 **Scanning electron microscopy (SEM)**

277 The morphological changes of *E. coli* biofilms formed on glass coupons and exposed to
278 6 h of antibiotic treatments were assessed by SEM. From the studied materials, glass was
279 selected for SEM analysis since it had the lowest cell density after 24 h, which facilitates
280 cell size determination. Prior to observation, biofilm samples were fixed and dehydrated
281 as fully described by Gomes et al.⁴⁵ Coupons were then air-dried for 1 day in a desiccator
282 and sputter-coated with a palladium-gold thin film⁴⁵ using the SPI Module Sputter Coater
283 equipment for 120 s at 15 mA current. The biofilms were viewed with a SEM/EDS system
284 (FEI Quanta 400FEG ESEM/EDAX Genesis X4M, FEI Company, USA) in high-vacuum
285 mode at 15 kV to observe biofilm morphology. Twenty images were analysed in the
286 absence of antibiotics and in the presence of each antibiotic studied (surfaces from three
287 independent wells were analysed). Cell length was determined using the microscope
288 software (xT Microscope Control, FEI Company, USA) by measuring 100 randomly
289 selected cells in each condition.

290

291 **Statistical analysis**

292 The adhesion, biofilm growth and susceptibility assays were compared using one-way
293 analysis of variance (ANOVA) by applying Levene's test of homogeneity of variances
294 and the Tukey multiple comparison tests using IBM SPSS Statistics software version 21.
295 Paired *t*-test analysis was also performed when appropriate. All tests were used based on
296 a confidence level of 95% (differences reported as significant for *p* values < 0.05).

297

298 RESULTS

299 Surface physico-chemical analysis

300 The surface hydrophobicity of the *E. coli* cells and of the GLA, SS, PVC and SIL surfaces
301 was determined. It was found that the cell surface was hydrophilic since ΔG_{iwi} is positive
302 (Table 1). From Table 1, it can be also observed that this *E. coli* strain had predominantly
303 an electron donor surface (higher value of γ^-), with a very low electron acceptor character
304 (γ^+). Concerning the material surfaces, GLA was hydrophilic ($\Delta G_{iwi} > 0$ mJ/m²), whereas
305 the remaining materials (SS, PVC and SIL) were hydrophobic ($\Delta G_{iwi} < 0$ mJ/m²). From
306 the hydrophobic materials, SIL was the most hydrophobic ($p < 0.05$), mainly due to its
307 lower electron donor character, followed by PVC and SS.

308 In order to predict the probability of *E. coli* adhesion to the four tested materials, the free
309 energy of interaction between the bacteria and the surfaces was calculated (Table 2). The
310 results show that adhesion to SS, PVC and GLA was not thermodynamically favored
311 ($\Delta G_{iwl}^{TOT} > 0$ mJ/m²), whereas adhesion to SIL was favored ($\Delta G_{iwl}^{TOT} < 0$ mJ/m²).

312

313 Bacterial adhesion and biofilm development

314 *E. coli* adhesion and biofilm growth on the four different materials is shown in Figure 1.
315 Initial adhesion was determined after 30 min and significant differences ($p < 0.05$) were
316 observed in all materials (Figure 1B). Furthermore, the extent of adhesion to silicone was
317 approximately 5-fold higher than to glass, which was the material with fewer adhered
318 cells after the initial period. Interestingly, glass was also the material showing the lowest
319 number of adhered cells in the following data points concerning biofilm development (p
320 < 0.05). Biofilm formation results (assayed in the period between 4 and 24 h) followed
321 the initial adhesion trend for most of the time points (except for 4 and 8 h for PVC and
322 SS) with statistically significant results obtained at 16 and 24 h ($p < 0.05$). Thus, with few

323 exceptions, the adhesion and biofilm development trend was: SIL > PVC > SS > GLA. It
324 is noteworthy that for SS and PVC, there were no statistically significant differences in
325 the cell density determined on both materials in the majority of time points.

326 Overall, the amount of biofilm formed in all the materials increased with time and so did
327 the planktonic cell concentration (Figure 1A) that also increased with similar trends in all
328 tested conditions.

329 The first 4 hours of experiment were those that showed the highest growth rate of
330 planktonic (Figure 1A) and sessile cells (Figure 1B) since the optical density and the total
331 number of adhered cells increased on average 16 and 7.5-fold, respectively, while in the
332 remaining 4 h intervals, the OD and the biofilm only increased on average 1.3 and 1.5
333 fold.

334

335 **Biofilm susceptibility assays**

336 Figure 2 presents the susceptibility curves of *E. coli* biofilms formed on all materials to a
337 concentration equivalent to $5 \times$ biofilm MIC of the antibiotics tested, ciprofloxacin
338 (Figure 2A) and ampicillin (Figure 2B). Regardless of the antibiotic used, biofilms
339 formed on GLA, PVC and SS were more susceptible to the antimicrobial treatments than
340 SIL since complete inactivation was attained after 7.5 h. A 7-log decrease was obtained
341 on average for GLA, PVC and SS, whereas a 2-log reduction was obtained for cells
342 adhered to SIL. Concerning the effect of ciprofloxacin (Figure 2A), the same reduction
343 in biofilm viability was observed after a 3 h contact for all materials. From this moment
344 onwards, the viability of biofilms obtained on GLA, PVC and SS markedly decreased and
345 complete inactivation was attained at the end of the experiment. For the silicone surface,
346 approximately 60% of the cells were still viable after the treatment. For ampicillin (Figure
347 2B), the decrease on biofilm viability in the first 4.5 h was more pronounced than with

348 ciprofloxacin for GLA, PVC and SS, but complete inactivation was also attained after
349 treatment for these surfaces whereas for SIL, 36% survived after exposure.

350 In order to evaluate the contribution of EPS to the biofilm susceptibility, the exopolymeric
351 matrix of all biofilms was extracted and quantified in terms of protein and polysaccharide
352 content. According to the results presented in Table 3, the amount of total and matrix
353 polymers (both proteins and polysaccharides) did not vary significantly ($p < 0.05$) with
354 the surface used.

355 Besides their effect on viability, the antimicrobial treatments promoted a significant
356 reduction on the total biofilm cell numbers. The results obtained for GLA (Figure 3) show
357 that between 1.5 and 3 h a reduction of 55% was obtained for ampicillin and a reduction
358 of 81% was obtained for ciprofloxacin after 4.5 h. Similar reduction profiles were
359 obtained for the remaining surfaces (data not shown).

360

361 **SEM analysis of biofilm morphology**

362 The morphological changes on the biofilm formed on glass coupons upon exposure to the
363 antibiotics were analysed by SEM (Figure 4). The micrographs of adherent cells subjected
364 to $5 \times$ biofilm MIC of ciprofloxacin and ampicillin (Figures 4B and C) confirm that the
365 antibiotic treatment reduced the total number of initially attached cells, as seen on Figure
366 3. These images show that antibiotic-treated cells had filamentous forms when compared
367 to the control cells (not subjected to the treatments, Figure 4A). Moreover, biofilms
368 exposed to ampicillin had the most elongated shape. Determination of the cell length in
369 the higher magnification images (Figures 4D-F) resulted in a bar chart showing the size
370 distribution of biofilms cells exposed and not exposed to antibiotics (Figure 5). While
371 non-exposed cells had lengths ranging from 1.1 to 2.7 μm , those exposed to ciprofloxacin
372 measured between 2 and 7.1 μm (on average 2-fold longer), whereas cell lengths up to

373 9.1 μm were determined for ampicillin (on average 3.6-fold longer than the non-exposed
374 cells). Also, a much narrower size distribution was found for the non-exposed cells.

375

376 **DISCUSSION**

377 **Initial adhesion and biofilm development**

378 The first aim of this study was to assess if the initial adhesion of *E. coli* was related to the
379 surface properties of the tested materials. The results indicated that the highest level of *E.*
380 *coli* adhesion occurred when the hydrophobic SIL ($\Delta G_{iwi} < 0 \text{ mJ/m}^2$) was used as
381 substratum, followed by PVC and SS ($\Delta G_{iwi} < 0 \text{ mJ/m}^2$) with less hydrophobic character.
382 In contrast, the hydrophilic glass ($\Delta G_{iwi} > 0 \text{ mJ/m}^2$) was the less colonized surface. These
383 findings suggest that the substratum hydrophobicity is a major factor in the initial
384 adhesion of bacteria, with hydrophobic materials promoting adhesion. This increased
385 adhesion to hydrophobic surfaces has been reported by independent groups for both
386 Gram-negative⁴⁶⁻⁴⁸ and Gram-positive bacteria.^{49,50} Previous studies^{31,51,52} have shown
387 that bacterial adhesion can be correlated with surface hydrophobicity, but although
388 increasing adhesion was obtained with increasing hydrophobicity, a weak correlation (r^2
389 = 0.78) between these two variables was found in this study.

390 According to the thermodynamic approach which considers the physico-chemical
391 interactions, adhesion is favorable only when the resulting free energy is negative. Using
392 this approach, it was concluded that adhesion is thermodynamically less favorable for
393 glass (positive value of ΔG_{iwi}^{TOT}) and more favorable for silicone (negative value of
394 ΔG_{iwi}^{TOT}). The adhesion tests of 30 min for GLA and SIL were in agreement with the
395 thermodynamic approach since cells adhere to a lesser and a greater extent to GLA and
396 SIL, respectively. Silicone surface is considerably prone to colonization by *E. coli*,⁵³⁻⁵⁵
397 despite it is widespread use in biomedical devices.

398 After establishing an association between surface hydrophobicity and initial adhesion, the
399 second objective of this work was to evaluate if the buildup of biofilm was correlated
400 with initial adhesion. This would establish a link between surface properties and biofilm
401 development. It has been shown that in some clinical situations, like the development of
402 *E. coli* biofilms in urinary catheters, biofilms are completely mature after 24 h.¹³ In the
403 present work, a direct relationship was found between the amount of mature biofilm
404 formed and the extent of the initial adhesion, as previously reported by Busscher & van
405 Der Mei⁵⁶ for flow conditions and Simões LC et al.¹ for static conditions.
406 Unlike adhesion and biofilm maturation, the physico-chemical properties of materials
407 appear to have negligible impact on *E. coli* planktonic growth.

408

409 Biofilm susceptibility

410 After establishing a link between the surface properties and biofilm formation, a third
411 goal of this work was to assess if biofilms formed in different materials had different
412 susceptibilities to antibiotic treatment. The results showed that biofilms growing in
413 silicone were less susceptible when compared to those obtained on the other materials. It
414 is widely known that the extracellular matrix is extremely important for protection against
415 antibiotic effects^{10,41} and therefore the exopolymeric matrix of all biofilms was quantified
416 in order to see if significant differences could be found among the biofilms formed in
417 different materials. The results showed that the EPS composition was not a major factor
418 affecting biofilm sensitivity to the antibiotics. We speculate that the biofilms developed
419 on silicone were less susceptible due to their higher cell density (number of cells per unit
420 area). The spatial arrangement of a higher number of cells may create concentration
421 gradients (of nutrients, antibiotic and oxygen) within the structure of the biofilms, a
422 phenomenon described by Stewart & Costerton,⁵⁷ contributing to the decreased biofilm

423 susceptibility to antibiotics.^{58,59} It has already been reported that the relative efficacy of
424 some antimicrobial agents declines with the density of cells exposed.^{10,60-62} In the work
425 of Mah & O'Toole,¹⁰ penetration of hydrogen peroxide was more difficult in thicker
426 biofilms grown on glass slides (average cell density of 4×10^7 cells/cm²) than in a thin
427 biofilm-covered bead (average cell density of 3×10^3 cells/cm²). Hence, it can be
428 concluded that the surface properties affected the antibiotic susceptibility of biofilms⁶³⁻⁶⁶
429 by influencing the amount of cells attached to the substratum after 24 h. Gristina et al.⁶³
430 were the first authors to suggest that the degree of colonization and antibiotic resistance
431 are related to the biomaterial and may be altered by biomaterial-induced phenotypic
432 changes rather than by a barrier effect of exopolysaccharides. Similarly, Webb et al.⁶⁴
433 found that the surface-adherent mode of bacterial growth determines the antibiotic
434 resistance of biofilms. On the other hand, Arciola et al.⁶⁵ concluded that some materials
435 can lead to the selection of variant adhesive bacteria with increased antibiotic resistance
436 among the whole contaminant bacterial population.

437 Lastly, a filamentous morphology of biofilm cells exposed to antibiotics was visualized
438 by SEM. It is well documented that antibiotics can affect bacteria in ways other than the
439 expected bactericidal or bacteriostatic action, in particular they can induce morphological
440 changes.⁶⁷⁻⁷⁰ A common response of Gram-negative bacilli to the effects of β -lactam
441 antibiotics is an abnormal elongation of the individual cells, with subsequent formation
442 of long filamentous forms.⁶⁸ This type of aberrant morphological change is the outcome
443 of the selective binding of β -lactams to cellular surface protein components responsible
444 for cell wall septum formation and separation of two divided organisms.⁶⁷ *E. coli* cell
445 filamentation as a result of exposure to ciprofloxacin was also observed by some
446 investigators⁷¹⁻⁷³ and it was associated with the induction of SOS response.⁶⁹ In the
447 present study, it was demonstrated for the first time that biofilm cells exposed to

448 ciprofloxacin had smaller sizes when compared with those in contact with ampicillin
449 (belonging to a different class of antibiotics).

450 This work revealed that the surface properties of a given material can influence the initial
451 adhesion of bacterial cells which in turn may affect the development of mature biofilms
452 and consequently the efficiency of antibiotic treatment. Thus, these results suggest that
453 modification of the surface properties (such as hydrophobicity) of materials that are used
454 for the construction of biomedical devices may be used as a strategy to increase the
455 efficacy of antimicrobial therapy.

456

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463

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670 **Table and Figure captions**

671 Table I. Contact angles with water (θ_w), formamide (θ_F) and α -bromonaphthalene (θ_B),
672 surface tension parameters and free energy of interaction (ΔG_{iwi}) between two entities of
673 a given material (i) (surface or bacteria) when immersed in water (w). Values are means
674 \pm SDs of three independent experiments

675 Table II. Free energy of adhesion (ΔG_{iwi}^{TOT}) between *E. coli* and the different surfaces when
676 immersed in water (w)

677 Table III. Characteristics of the *E. coli* biofilm formed on different materials after 24
678 hours of growth

679 Figure 1. Planktonic growth curves (A) and number of adhered cells (B) of *E. coli* on
680 different materials along time: GLA ($\cdots\bullet\cdots$ and \blacksquare), SS ($\cdots\circ\cdots$ and \blacksquare), PVC ($\cdots\blacktriangledown\cdots$ and
681 \blacksquare) and SIL ($\cdots\Delta\cdots$ and \blacksquare). Initial adhesion corresponds to the time point of 0.5 h,
682 while 4, 8, 12, 16, 20 and 24 h refer to biofilm development. In panel B, for each time
683 point, letters were assigned in alphabetic order from the lowest to the highest value (from
684 a to d). These assignments were made as long as statistically significant differences exist
685 between materials (for a confidence level greater than 95%, $p < 0.05$). The means \pm SDs
686 for three independent experiments are illustrated.

687 Figure 2. Time-course of cell viability for 24-hour biofilms formed on different materials
688 after exposure to $5 \times$ biofilm MIC of ciprofloxacin (A) and ampicillin (B). GLA ($\cdots\bullet\cdots$),
689 SS ($\cdots\circ\cdots$), PVC ($\cdots\blacktriangledown\cdots$) and SIL ($\cdots\Delta\cdots$). Results are presented as a percentage of initial
690 viability determined by Live/Dead staining. Statistical analysis for a confidence level
691 greater than 95% ($p < 0.05$) are pointed as: a – glass is different from the other materials,
692 b – silicone is different from the other materials. The means \pm SDs for three independent
693 experiments are presented.

694 Figure 3. Evolution of glass attached cells from 24-hour biofilms after exposure to $5 \times$
695 biofilm MIC of ciprofloxacin ($-\bullet-$) and ampicillin ($-\circ-$). Results are expressed as a
696 percentage of remaining attached cells determined by Live/Dead staining considering the
697 sum of viable and non-viable cells. The means \pm SDs for three independent experiments
698 are illustrated.

699 Figure 4. Scanning electron micrographs of 24-hour biofilms formed on glass surfaces:
700 (A) and (D) - not exposed to antibiotics; (B) and (E) - after 6 h of exposure to $5 \times$ biofilm
701 MIC of ciprofloxacin; (C) and (F) - after 6 h of exposure to $5 \times$ biofilm MIC of ampicillin.
702 Micrographs (D), (E) and (F) are high-magnification images (magnification: $5000\times$; bars
703 = $20 \mu\text{m}$) of (A), (B) and (C) (magnification: $1000\times$; bars = $100 \mu\text{m}$), respectively.

704 Figure 5. Cell length distribution of 24-hour biofilms formed on glass surfaces: (■) - not
705 exposed to antibiotics; (▣) - after 6 h of exposure to $5 \times$ biofilm MIC of ciprofloxacin; (▤)
706 ▤) - after 6 h of exposure to $5 \times$ biofilm MIC of ampicillin. The arrows represent the
707 average cell length determined from SEM micrographs for each experimental condition.
708

709 **TABLE I. Contact angles with water (θ_w), formamide (θ_F) and α -**
710 **bromonaphthalene (θ_B), surface tension parameters and free energy of interaction**
711 **(ΔG_{iwi}) between two entities of a given material (i) (surface or bacteria) when**
712 **immersed in water (w). Values are means \pm SDs of three independent experiments**
713

	Contact angle ($^\circ$)			Surface tension parameters (mJ/m 2)			Hydrophobicity (mJ/m 2)
	θ_w	θ_F	θ_B	γ^{LW}	γ^+	γ^-	(ΔG_{iwi})
<i>Surface</i>							
SIL	115.4 \pm 0.4	105.9 \pm 0.3	78.4 \pm 0.4	16.0	0.0	1.8	-75.0
SS	67.0 \pm 1.7	60.4 \pm 0.4	39.3 \pm 0.5	34.9	0.0	21.7	-10.9
PVC	79.3 \pm 0.9	79.4 \pm 0.6	40.3 \pm 0.5	34.4	0.0	20.9	-12.3
GLA	47.0 \pm 0.4	49.1 \pm 0.5	63.4 \pm 0.9	23.2	1.7	40.2	19.3
<i>Bacteria</i>							
<i>E. coli</i>	28.3 \pm 0.3	38.4 \pm 0.4	47.0 \pm 0.4	35.3	0.1	59.0	46.0

714

715

716 **TABLE II. Free energy of adhesion (ΔG_{iwI}^{TOT}) between *E. coli* and the different**
717 **surfaces when immersed in water (*w*)**

718

	ΔG_{iwI}^{TOT} (mJ/m ²)			
	SIL	SS	PVC	GLA
<i>E. coli</i>	-5.8	20.5	19.8	32.1

719

720

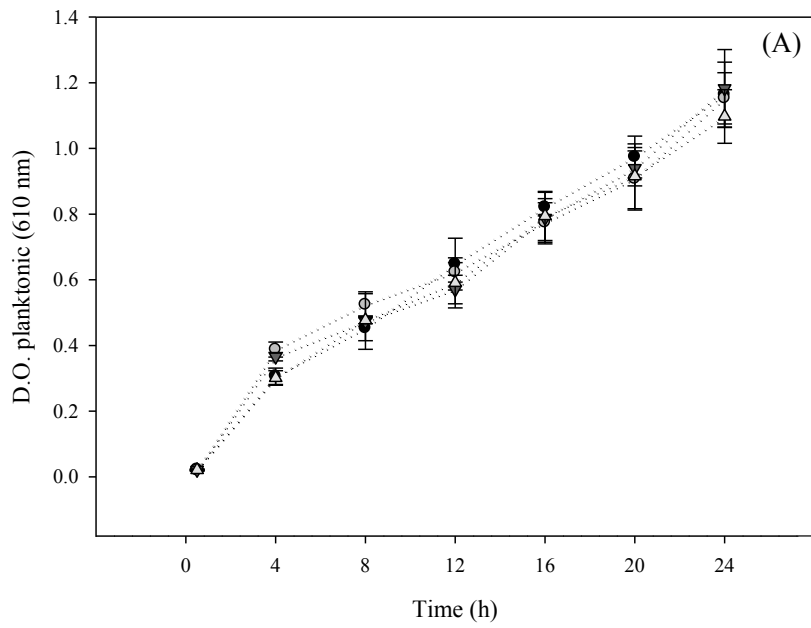
721 **TABLE III. Characteristics of the *E. coli* biofilm formed on different materials after**
 722 **24 hours of growth**

723

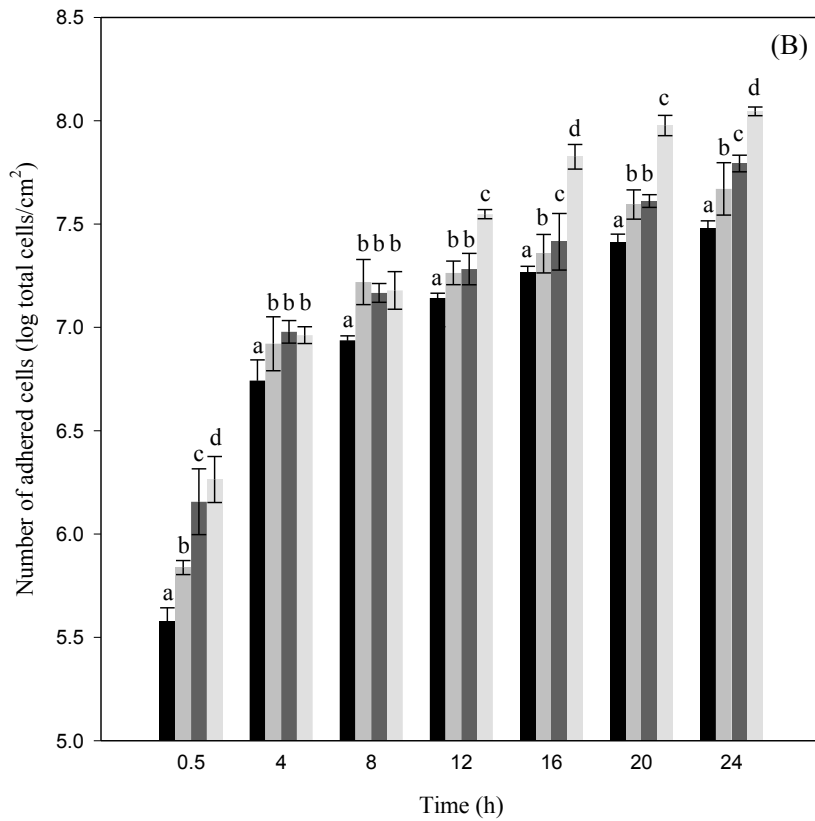
Biofilm characteristics	Material			
	GLA	SS	PVC	SIL
Cellular density (cells/cm ²)	9.29x10 ⁷ ± 1.07	1.42x10 ⁸ ± 1.15	2.02x10 ⁸ ± 1.15	2.71x10 ⁸ ± 1.18
Total proteins (mg/g _{biofilm})	74.1 ± 11.0	75.2 ± 2.43	107.6 ± 18.3	97.1 ± 23.3
Matrix proteins (mg/g _{biofilm})	64.7 ± 8.21	58.2 ± 4.86	81.1 ± 5.64	78.7 ± 18.3
Total polysaccharides (mg/g _{biofilm})	36.1 ± 14.1	42.3 ± 17.2	58.2 ± 11.6	56.5 ± 13.3
Matrix polysaccharides (mg/g _{biofilm})	27.3 ± 10.1	29.4 ± 4.78	45.3 ± 11.9	37.8 ± 13.5

724

725



726

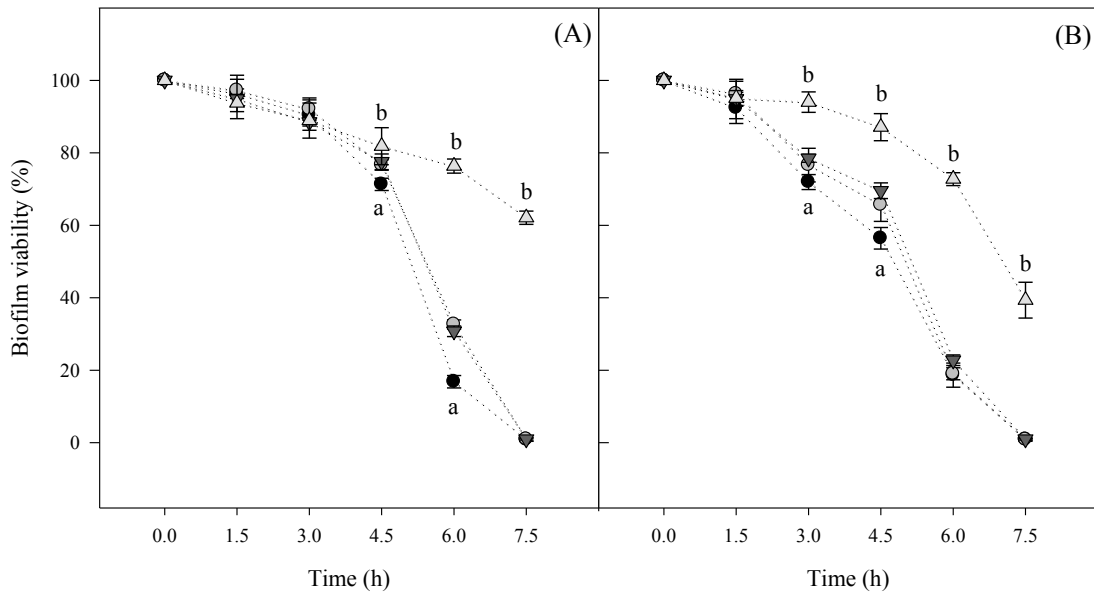


727

728

729 **FIGURE 1.** Planktonic growth curves (A) and number of adhered cells (B) of *E. coli* on
 730 different materials along time: GLA (● and ■), SS (○ and ■), PVC (▼ and
 731 ■) and SIL (△ and ■). Initial adhesion corresponds to the time point of 0.5 h,

732 while 4, 8, 12, 16, 20 and 24 h refer to biofilm development. In panel b, for each time
733 point, letters were assigned in alphabetic order from the lowest to the highest value (from
734 a to d). These assignments were made as long as statistically significant differences exist
735 between materials (for a confidence level greater than 95%, $p < 0.05$). The means \pm SDs
736 for three independent experiments are illustrated.
737

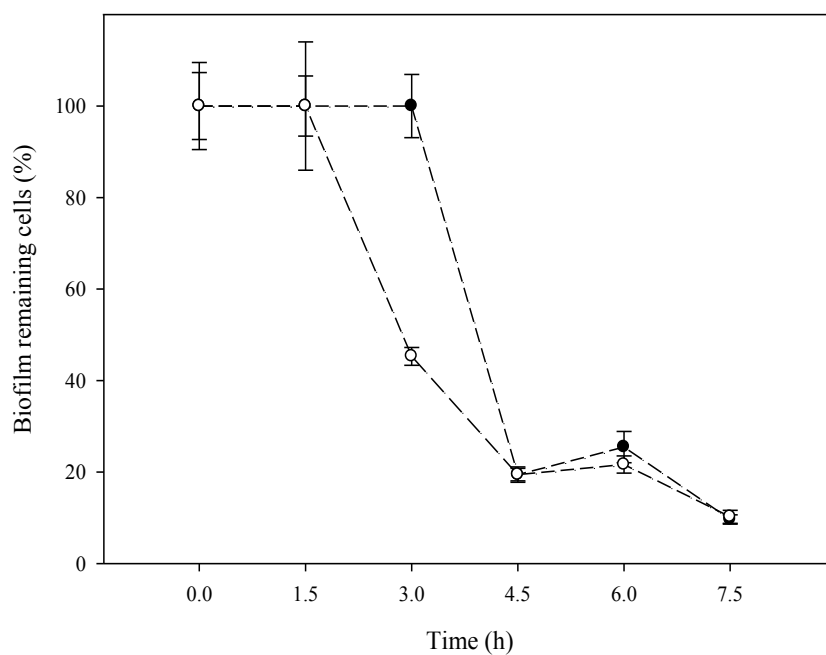


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740 **FIGURE 2.** Time-course of cell viability for 24-hour biofilms formed on different
 741 materials after exposure to $5 \times$ biofilm MIC of ciprofloxacin (A) and ampicillin (B). GLA
 742 ($\cdots\bullet\cdots$), SS ($\cdots\circ\cdots$), PVC ($\cdots\nabla\cdots$) and SIL ($\cdots\triangle\cdots$). Results are presented as a percentage of
 743 initial viability determined by Live/Dead staining. Statistical analysis for a confidence
 744 level greater than 95% ($p < 0.05$) are pointed as: a – glass is different from the other
 745 materials, b – silicone is different from the other materials. The means \pm SDs for three
 746 independent experiments are presented.

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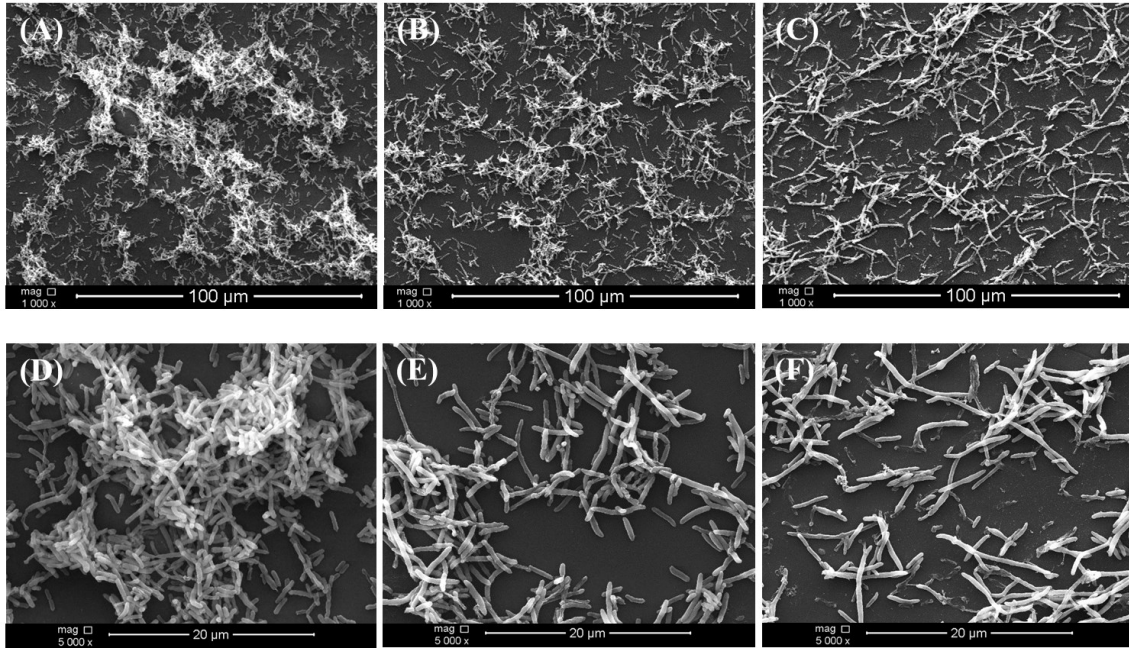


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750 **FIGURE 3.** Evolution of glass attached cells from 24-hour biofilms after exposure to 5
 751 × biofilm MIC of ciprofloxacin (—●—) and ampicillin (—○—). Results are expressed as a
 752 percentage of remaining attached cells determined by Live/Dead staining considering the
 753 sum of viable and non-viable cells. The means ± SDs for three independent experiments
 754 are illustrated.

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758 **FIGURE 4.** Scanning electron micrographs of 24-hour biofilms formed on glass surfaces:

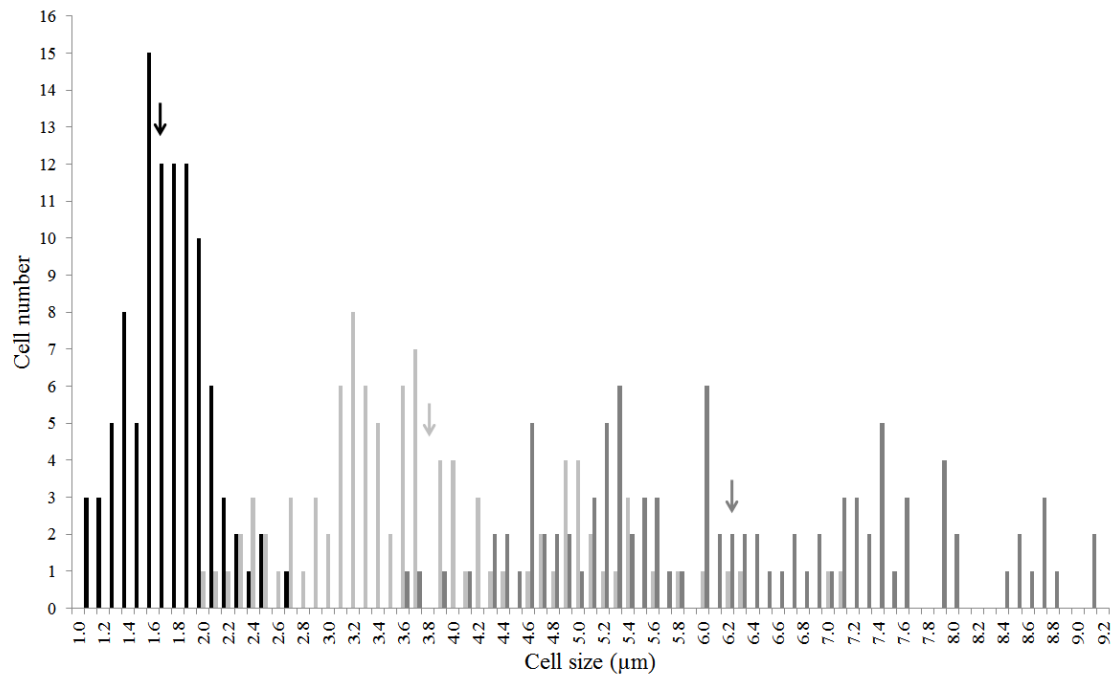
759 (A) and (D) - not exposed to antibiotics; (B) and (E) - after 6 h of exposure to 5 × biofilm

760 MIC of ciprofloxacin; (C) and (F) - after 6 h of exposure to 5 × biofilm MIC of ampicillin.

761 Micrographs (D), (E) and (F) are high-magnification images (magnification: 5000×; bars

762 = 20 μm) of (A), (B) and (C) (magnification: 1000×; bars = 100 μm), respectively.

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766 **FIGURE 5.** Cell length distribution of 24-hour biofilms formed on glass surfaces: (■) -
 767 not exposed to antibiotics; (▒) - after 6 h of exposure to $5 \times$ biofilm MIC of ciprofloxacin;
 768 (■) - after 6 h of exposure to $5 \times$ biofilm MIC of ampicillin. The arrows represent the
 769 average cell length determined from SEM micrographs for each experimental condition.

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