

1 **Asthma in urban and rural pre- and primary school children according to the latest**  
2 **GINA definition**

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4 To the Editor,

5 Asthma studies usually neglect pre-school age and are limited in both asthma diagnosis  
6 and risk factors analysis, as asthma diagnosis is often merely based on parent-reported  
7 previous diagnosis and/or parent-reported symptoms<sup>1</sup>. Thus, as part of INAIRCHILD  
8 project<sup>2</sup>, this study aimed to assess childhood asthma prevalence and to evaluate whether  
9 host and environmental reported factors have an independent or combined risk effect on  
10 childhood asthma, by: i) considering a sample of the general population of pre-and  
11 primary school children from both urban and rural sites; and ii) according to the most  
12 recent Global Initiative for Asthma (GINA) guidelines<sup>3</sup> (i.e. history of characteristic  
13 respiratory symptoms and demonstrating variable expiratory airflow limitation by  
14 spirometry with reversibility test).

15 This study was approved by both the Ethics Commissions of Universidade do Porto and  
16 for Health of Centro Hospitalar Universitário de São João, Porto. Parents or guardians  
17 signed an informed consent according to the Helsinki Declaration developed by the World  
18 Medical Association. At any stage of the study, children's dissent was always respected.  
19 Considering a general population sample of 1261 children (Supplementary Material,  
20 Figure S1), of which 516 pre- and 745 primary school children, respectively 3-5 and 6-  
21 10 years old, and 56.8% from urban and 43.2% from rural nursery and primary schools  
22 of northern Portugal involved in INAIRCHILD project<sup>2</sup>, ISAAC-derived questionnaires  
23 allowed obtaining personal data and information on 49 reported host and environmental  
24 potential risk factors for asthma (Supplementary Material, Table S2). Questionnaires  
25 missing sex or birthdate were excluded. The method used to deal with missing reported

26 data was the Multivariate Imputation by Chained Equations based on logistic regression  
27 for binary variables and polytomous logistic regression for other unordered categorical  
28 variables. Missing values in the outcome were not imputed, and 20 imputed datasets were  
29 created.

30 Asthma was diagnosed according to the latest GINA recommendations. Bivariate and  
31 multivariate logistic regressions were used to analyse respectively individual and  
32 combined risk effects. A final (“best”) multivariate logistic regression model was  
33 obtained from an automatic model selection approach. These methods were described in  
34 detail in Supplementary Material.

35 This is a study in a sample of the general population, which is a major strength of this  
36 study as no selection criteria for respiratory disease was considered for subjects’  
37 recruitment. In fact, as suggested by Oluwole et al.<sup>4</sup>, this study population included  
38 children from both urban and rural sites, and results showed higher prevalence of reported  
39 asthmatic symptoms and reported asthma in urban sites as expected, as well as higher  
40 reported parental history of asthma (Table 1). Less access to medical diagnostics in rural  
41 areas and different environmental associations might explain those differences<sup>4</sup>. This  
42 study population also included children from different age groups, which allowed  
43 understanding variances at different childhood stages. As pre-schoolers present a number  
44 of special challenges regarding pulmonary function testing<sup>5</sup>, asthma is usually diagnosed  
45 more robustly given the increase capability of using diagnostic adjuncts, which explains  
46 why reported asthma increased with age, although it can also be explained by the asthma  
47 prevalence continuous increase during primary school ages.<sup>6</sup>

48 Asthma prevalence was 5.5%, higher in primary school children (6.4%) than in pre-  
49 schoolers (4.4%), and higher in urban sites (6.0%) than in rural (4.8%) although neither  
50 statistically significant ( $p$ -value = 0.23 and 0.41, respectively). Asthma should be

51 correctly diagnosed as earlier in life as possible. In this study, 1.3% of children were  
52 previously undiagnosed asthmatics, providing evidence of under-diagnosed asthma in  
53 both pre- and primary school children, in accordance to what Aaron et al.<sup>7</sup> reviewed for  
54 school children worldwide. Also, the present study provided evidence of under-diagnosed  
55 asthma in both settings (urban and rural), confirming that asthma diagnosis merely based  
56 on reported symptoms may be underestimating the real prevalence of this disease, as  
57 previously reported in the literature.<sup>4</sup> Children with undiagnosed asthma may suffer  
58 poorer health-related quality of life and more school absenteeism. Thus, future studies on  
59 childhood asthma prevalence should consider populations from both urban and rural  
60 environments.

61 There were both host and environmental factors that had a risk effect on asthma. Results  
62 from bivariate analysis were also different from multivariate analysis (Supplementary  
63 Material, Table S3), thus enhancing the importance of studying combined risk factors  
64 instead of studying them individually. As there were two recruitment campaigns,  
65 “campaign” was included as a factor to understand potential differences in time, but non  
66 statistically significant results showed they were not relevant. The final “best” model  
67 (Figure 1) included being male, older age and having at least one asthmatic parent as main  
68 host factors, and included paracetamol administration in the previous year and antibiotics  
69 administration in child’s first year of life as main environmental factors. These results  
70 were consistent with recently published findings. Bjerg et al.<sup>8</sup> also reported that non-  
71 environmental risk factors parental asthma and male sex had an increasing or constant  
72 importance for current asthma in 7-8 years old children in Sweden. In fact, parental  
73 history of asthma and being male have been commonly reported as risk factors for asthma  
74 in childhood.<sup>9</sup> Children being administered antibiotics and paracetamol during the first  
75 year of life and in late childhood were also previously reported in the literature to be

76 positively associated with asthma and asthmatic symptoms in children.<sup>10, 11</sup> In fact, early  
77 antibiotic exposure may lead to dysbiosis of the pediatric gut microbiota in the first year  
78 of life (precisely when it is highly susceptible), thus increasing the risk of developing  
79 childhood allergic disease.<sup>12</sup> Moreover, confounding by indication (treatment of  
80 respiratory infections with paracetamol) cannot be excluded as it was not possible to  
81 assess directly in the present study. Due to the heterogeneous nature of asthma, these  
82 results suggest that pharmacogenetics of those associations need to be further evaluated.

83 Surprisingly, the presence of carpet in child's bedroom revealed negative association with  
84 asthma prevalence. Although a protective effect does not seem to be a plausible  
85 explanation, in a previous study<sup>13</sup>, which also found a similar negative association, that  
86 negative effect disappeared when restricted the analysis to participants that did not report  
87 allergy-related avoidance of a carpet. This is speculative at this stage, as the data collected  
88 in the present did not allow verifying this explanation, thus more studies are needed.

89 In summary, when studying childhood asthma prevalence, asthma should be clearly  
90 defined by favouring the latest clinical guidelines/recommendations, should include  
91 younger children (pre-school aged), and from both urban and rural sites. Information from  
92 the most relevant reported host and environmental risk factors should also be considered,  
93 especially sex, parental history of asthma, and early-life and current (previous year)  
94 paracetamol and antibiotics administration.

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## 105 **Conflicts of interest**

106 The authors declare they have no conflicts of interest related to the submitted work.

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