

1           **The effects of selected brominated and chlorinated chemicals on**  
2           ***Pseudomonas fluorescens* planktonic cells and flow-generated biofilms**

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## 51 Abstract

52 Sodium hypochlorite (SH) is one of the most widely used disinfectants. However, due to public health  
53 and environmental concerns disinfectant alternatives are required. In this study, the control of  
54 *Pseudomonas fluorescens* planktonic and biofilm cells was assessed with cetyltrimethylammonium  
55 bromide (CTAB), SH, 3-bromopropionyl chloride (BPC) and 3-bromopropionic acid (BPA). Several  
56 physicochemical aspects of planktonic cells were assessed, particularly antimicrobial efficiency and  
57 effects on bacterial surface. CTAB had the lowest MIC/MBC (20/50 µg/ml). All the chemicals promoted  
58 cellular disruption and leakage of essential intracellular constituents. CTAB, BPC and BPA irreversibly  
59 changed membrane properties through hydrophobicity modifications and decrease of negative surface  
60 charge. The action of selected biocides was also investigated in biofilm control (7-days old) using a flow  
61 cell reactor system. Modest killing and removal was achieved (maximum killing of 1 log and 16%  
62 removal). In general, both BPC and CTAB had antimicrobial activities similar to SH and therefore  
63 demonstrated to be potential alternative biocides to SH to control *P. fluorescens* growth.

64  
65 Keywords: Antimicrobial activity, Biofilm control, Brominated and chlorinated organics, Mode of action,  
66 Sodium hypochlorite

## 69 Introduction

70 The World Health Organization (WHO) mentions food safety as one of the top priorities and challenges  
71 of the century (Jahid and Ha, 2012). Nowadays, foodborne diseases are a prime public health concern in  
72 developing and developed countries. WHO reported 1.8 million mortality cases of diarrheal diseases  
73 worldwide. For instance, in the USA it is estimated that every year, about 48 million people suffer from  
74 foodborne diseases (Jahid and Ha, 2012; Scallan *et al.*, 2011; Stein *et al.*, 2007). The Centers for Disease  
75 Control and Prevention (CDC) and the US National Health Institute (NIH), documented that biofilms are  
76 involved in over 65% of all microbial diseases. In addition, foodborne pathogens can form biofilms,  
77 increasing their resistance to commonly used disinfectants (Jahid and Ha, 2012; Potera, 1999).  
78 Consequently, the formation of biofilms has severe implications in diverse areas, from industrial  
79 processes to health-related fields, with huge economic impacts (Kuehn *et al.*, 2010; Van Houdt and  
80 Michiels, 2010).

81 The sanitizers and biocides used in industry occasionally fail to control microorganisms in biofilms as  
82 they are typically 10 - 1000 times more resistant than their planktonic counterparts (Davies, 2003; Simões  
83 *et al.*, 2010a). In addition to the resistance mechanisms that exist in planktonic cells (gene transfer from  
84 resistant counterparts, efflux pumps, cellular impermeability, enzymes that confer resistance and natural  
85 evolutionary mutations) there are six hypothesized mechanisms that help to explain the increased  
86 resistance of biofilms to antimicrobial treatments (Simões, 2011). These mechanisms include: the direct  
87 interactions between the extracellular polymeric substances (EPS) and antimicrobials by affecting  
88 diffusion and availability; altered chemical microenvironment within the biofilm with areas of absence or  
89 reduced growth (dormant cells); development of biofilm/attachment specific phenotype and expression of  
90 specific antimicrobial resistance genes; possibility of damaged bacteria to undergo apoptosis or  
91 programmed cell death; and the existence of persister cells (Davies, 2003; Russell *et al.*, 1999; Simões *et al.*,  
92 2011; Tattawasart *et al.*, 1999; Van Houdt and Michiels, 2010).

93 The efficacy of a disinfectant depends on numerous factors, such as the type of target microorganism and  
94 its susceptibility, the adhesion surface, temperature, exposure time, concentration and pH (Araújo *et al.*,  
95 2011). Surfaces that contact with food are normally cleaned and disinfected with agents containing  
96 peroxides, chloramines or hypochlorite (Van Houdt and Michiels, 2010). The free chlorine obtained by  
97 the use of hypochlorite can be very aggressive to stainless steel, interfering with the surface and thus,  
98 facilitating further bacterial adhesion and biofilm formation (Van Houdt and Michiels, 2010; Zottola and  
99 Sasahara, 1994). Despite chlorine being the most widely used disinfectant in industry, there is a  
100 possibility that during disinfection it may react with natural organic matter or contaminants in surface  
101 waters and produce a complex mixture of products which already demonstrated carcinogenic, mutagenic  
102 and teratogenic activity in animal studies (European; Ferraris *et al.*, 2005; Gil *et al.*, 2009; Jahid and Ha,

103 2012; Park *et al.*, 2000). Moreover, SH is included in the indicative list of the Directive on Industrial  
104 Emissions as a major pollutant for water emissions (IPCC, 2007/0286 (COD)). Regardless of these  
105 disadvantages, chlorine based disinfectants efficacy is also pH dependent and several cases of resistance  
106 have been described (Bremer *et al.*, 2002; Gil *et al.*, 2009; Ridgway and Olson, 1982; Sun *et al.*, 2013).  
107 Therefore, new biocides, alternative to SH, are required.

108 Quaternary ammonium compounds (QACs) are cationic compounds with a basic structure ( $\text{NH}_4^+$ ) and a  
109 strong antimicrobial potential, are frequently used for disinfection and sanitation in a wide range of fields,  
110 like hospitals and food manufacturing (Moore and Payne, 2008; Russell, 2008). Cetyltrimethylammonium  
111 bromide (CTAB) is a relatively safe and inexpensive product (Nakata *et al.*, 2011). Nevertheless, it has  
112 been shown that intensive use of this compound caused negative impacts, particularly the emergence of  
113 resistant bacteria and occasionally multidrug resistance (Bore *et al.*, 2007; Nakata *et al.*, 2011).  
114 Comparatively to chlorine, QACs are less affected by organic matter, are not corrosive at low  
115 concentrations, are more stable and therefore can be stored for longer periods without compromising their  
116 antimicrobial activity (Chaidez *et al.*, 2007).

117 The formation of biofilms is a microbial community behavior coordinated through cell-to-cell  
118 communication mediated by small, diffusible signals, a phenomenon called quorum sensing. Several  
119 phenotypes regulated by cell-to-cell communication are implicated in bacterial colonization and virulence  
120 (Hentzer and Givskov, 2003). Therefore, eukaryotes have developed defense mechanism based on  
121 chemicals, including secondary metabolites that inhibit these phenotypes (Borchardt *et al.*, 2001; Hentzer  
122 and Givskov, 2003; Rasmussen and Givskov, 2006). For example, furanones produced by the marine alga  
123 *Delisea pulchra* (Givskov *et al.*, 1996), oxidized halogen compounds produced by *Laminaria digitata* or  
124 haloperoxidases produced by seaweeds (Borchardt *et al.*, 2001). Haloperoxidases catalyze the production  
125 of the hypobromous acid (HOBr) and hypochlorous acid (HOCl) known to have antibiofilm properties  
126 (Borchardt *et al.*, 2001). The natural furanones are halogenated at several positions by bromine, iodide or  
127 chloride and the concentration of furanones is inversely correlated with the degree of bacterial  
128 colonization (Hentzer and Givskov, 2003). Stabilized halogen antimicrobials are extensively used to  
129 control biofouling in industry and they have been shown to be more effective in penetrating and  
130 disinfecting biofilms than free halogen (Borchardt *et al.*, 2001). Little is known about the usefulness of  
131 bromide as a disinfectant for the food industry. Previous studies demonstrated that dibromodimethyl  
132 hydantoin was as effective as chlorine against *Streptococcus faecalis* cells (Ortenzio and Stuart, 1964),  
133 but was less effective against *Bacillus cereus* spores (Cousins and Allan, 1967). As with free chlorine,  
134 there are safety concerns about the production of brominated organic compounds and their impact on  
135 human and environmental safety (WHO, 2009).

136 This study aims to assess the antimicrobial action of selected halogen-based chemicals against planktonic  
137 cells and biofilms of *Pseudomonas fluorescens*. This bacterium is commonly found in drinking water  
138 (Penna *et al.*, 2002; Wong *et al.*, 2011) and is considered a major contaminant in food industry causing  
139 produce spoilage and foodborne illnesses (Mundo *et al.*, 2004; Simões *et al.*, 2009).

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## 142 **Materials and Methods**

### 143 Antimicrobial agents

144 Sodium hypochlorite solution (SH) and cetyltrimethylammonium bromide (CTAB) were purchased from  
145 Sigma (Portugal); 3-bromopropionic acid (BPA) was purchased from Merck (VWR, Portugal); 3-  
146 bromopropionyl chloride (BPC) was purchased from Alfa Aesar (VWR, Portugal). All dilutions were  
147 done using sterile distilled water.

148

### 149 Microorganism and culture conditions

150 The bacterium used in this study was *Pseudomonas fluorescens* ATCC 13525. Bacterial growth was  
151 obtained from overnight cultures (16 h) in 250 ml flasks with 100 ml of culture medium (5 g l<sup>-1</sup> glucose,  
152 2.5 g l<sup>-1</sup> peptone and 1.25 g l<sup>-1</sup> yeast extract in 0.025 M phosphate buffer, pH 7) and incubated at  
153 30 ± 3°C, under 150 rpm of agitation (Simões *et al.*, 2007b).

154

155 Antibacterial susceptibility testing – minimum inhibitory and bactericidal concentrations

156 The minimum inhibitory concentration (MIC) of each agent was determined by the microdilution method  
157 according to the Clinical and Laboratory Standards Institute (CLSI) guidelines (CLSI, 2012). Bacteria at a  
158 density of  $10^8$  colony forming units (CFU) per ml were inoculated into fresh culture medium and a  
159 volume of 200  $\mu$ l per well was inserted in 96-well microliter plates, along with the different  
160 concentrations of the chemicals ( $10\% v v^{-1}$ ). The bacterial growth was determined at 640 nm using a  
161 microplate reader (Spectramax M2e, Molecular Devices, Inc.). The MIC was determined as the lowest  
162 concentration that inhibited microbial growth (Ferreira *et al.*, 2011). A volume of 10  $\mu$ l per well was  
163 plated in plate count agar (PCA, Merck, Germany) and incubated overnight at  $30 \pm 3^\circ\text{C}$ , after a  
164 neutralization step to quench the chemicals antimicrobial activity by dilution to sub-inhibitory  
165 concentrations (Johnston *et al.*, 2002). The minimum bactericidal concentration (MBC) was considered  
166 the lowest concentration of the antimicrobial chemical where no growth was detected on the solid medium  
167 (Ferreira *et al.*, 2011). Three independent experiments were performed for each chemical.

168

169 Physicochemical characterization of the bacterial surfaces

170 The physicochemical properties of *P. fluorescens* cell surface were assessed by the sessile drop contact  
171 angle measurement on bacteria lawns, performed as described by Busscher *et al.* (1984) (Busscher *et al.*,  
172 1984). Contact angles were determined using an OCA 15 Plus (DATAPHYSICS) video-based optical  
173 measuring instrument, allowing image acquisition and data analysis. The measurements ( $\geq 15$  per liquid  
174 and chemical) were performed according to Simões *et al.* (2007) (Simões *et al.*, 2007a), after bacteria  
175 incubation (one hour) with the chemical at the MBC. The liquid surface tension components reference  
176 values were obtained from the literature (Janczuk *et al.*, 1993). Hydrophobicity was assessed after contact  
177 angle measurement, following the van Oss method (van Oss *et al.*, 1987; van Oss *et al.*, 1988; van Oss *et al.*  
178 *et al.*, 1989). The liquids with known surface tensions used to determine the surface tension components  
179 were  $\alpha$ -bromonaphthalene (apolar), formamide (polar), and water (polar).

180

181 Bacterial surface charge - Zeta potential

182 An overnight culture of *P. fluorescens* was used to prepare a bacterial suspension with an optical density  
183 of 0.2 (640 nm). The zeta potential of bacterial suspensions was determined in sterile water using a Nano  
184 Zetasizer (Malvern Instruments) according to the procedure described by Ferreira *et al.*, (2011) (Ferreira *et al.*  
185 *et al.*, 2011). This determination was performed before and after bacterial exposure to the chemical at the  
186 MBC, for one hour. Three independent experiments were performed for each chemical.

187

188 Potassium ( $K^+$ ) leakage

189 The quantification of  $K^+$  in bacteria solutions, before and after one hour exposure to the MBC of each  
190 biocide, was determined by flame emission and atomic absorption spectroscopy according to Ferreira *et al.*  
191 *et al.*, (2011) (Ferreira *et al.*, 2011). Samples were filtrated (pore size 0.2  $\mu$ m) and analyzed in a GBC AAS  
192 932 plus device using GBC Avante 1.33 software. Three independent experiments were performed for  
193 each chemical.

194

195 Colony biofilm formation and antimicrobial penetration tests

196 Colony biofilms were developed according to the methods of Anderl *et al.* (2000) and Singh *et al.* (2010)  
197 (Anderl *et al.*, 2000; Singh *et al.*, 2010). Briefly, biofilms were grown in Mueller-Hinton agar plates  
198 (24 hours,  $30 \pm 3^\circ\text{C}$ ). For this purpose, a volume of 40  $\mu$ l of cell suspension was placed on a 13 mm  
199 polycarbonate membrane, pore size 0.2  $\mu$ m (Merk, Millipore) originating colony biofilms. Afterwards,  
200 the membranes with biofilms were transferred to a fresh plate seeded with *Staphylococcus aureus* CECT  
201 976 at a McFarland Standard of 0.5 (Anderl *et al.*, 2000; Singh *et al.*, 2010). Another polycarbonate  
202 membrane was placed on top of the biofilm. The antimicrobial discs (Biochemica) were impregnated with  
203 15  $\mu$ l of each chemical from a stock solution (a mass of 350  $\mu$ g of CTAB, BPA, BPC or SH was provided  
204 in the 15  $\mu$ l) and placed over the polycarbonate membrane, avoiding direct contact with the biofilm. The  
205 positive controls were obtained in the absence of biofilm. The plates were incubated for 24 hours at  $30 \pm$

206 3 °C before the assessment of the inhibition halos. The positive controls were taken as 100% penetration  
207 and used to assess the mass transfer limitations when biofilms were present. Three independent  
208 experiments were performed for each chemical.

209

## 210 2.8 Biofilm formation in a flow cell system

211 The flow cell system used in this study was similar to the one used on the study of Teodósio *et al.* (2011)  
212 (Teodósio *et al.*, 2011). The system consists of a recirculating bioreactor, two vertical polymethyl  
213 methacrylate (PMMA) flow cells operating in parallel, one 0.5 l bioreactor, peristaltic and centrifuge  
214 pumps. A pure culture of *P. fluorescens* was used to inoculate the 0.5 l bioreactor that operated  
215 continuously, dripping into the 5 l bioreactor at a flow rate of 10 ml h<sup>-1</sup>. This larger bioreactor was fed  
216 with 100 times diluted culture medium (0.05 g l<sup>-1</sup> glucose, 0.025 g l<sup>-1</sup> peptone and 0.0125 g l<sup>-1</sup> yeast  
217 extract in 0.025 M phosphate buffer, pH 7) at a flow rate of 0.833 l h<sup>-1</sup>. The dilution rate of 0.28 h<sup>-1</sup>  
218 ensured that biofilm formation was stimulated over planktonic growth (Simões *et al.*, 2003). The flow  
219 cells were designed so that stainless steel coupons AISI 316 (1 cm × 2 cm) could be applied into  
220 structures that were inserted into the flow cells, in order to facilitate biofilm sampling. The bacterial  
221 suspension from the 5 l bioreactor was allowed to recirculate in the flow cells in order to form biofilms on  
222 the stainless steel coupons at a flow rate of 3.4 l h<sup>-1</sup> (Reynolds number of 4000).

223

## 224 Biofilm control using different chemicals

225 The flow-generated biofilms of *P. fluorescens* were submitted to a one hour disinfection process of the  
226 selected chemicals (CTAB, BPC and SH) at MBC. The flow cell was carefully emptied and the  
227 disinfection of the biofilms was made by recirculation of the chemical at MBC, at 3.4 l h<sup>-1</sup> flow rate for  
228 one hour. After that period, the initial conditions were restored in the flow cell system. Control  
229 experiments with 0.025 M phosphate buffer (pH 7) were also performed. Four coupons, two from each  
230 flow cell were removed at different time periods: before chemical exposure, immediately after the  
231 antimicrobial exposure and 2, 12 and 24 hours post-antimicrobial treatment. After biofilm chemical  
232 exposure, a neutralization step by dilution to sub-inhibitory concentrations was performed according to  
233 Johnston *et al.* (2002) and as applied previously by Simões *et al.* (2009) (Johnston *et al.*, 2002; Simões *et al.*  
234 *et al.*, 2009). The analysis 2, 12 and 24 hours were performed to assess the biofilm regrowth potential post-  
235 antimicrobial treatment.

236

## 237 Biofilm analysis

238 *P. fluorescens* biofilms grown on stainless steel were characterized in terms of mass and colony forming  
239 units (CFU). The stainless steel coupons were removed from the flow cell and the biofilms that covered  
240 the coupons surface were completely scraped using a sterile scalpel and resuspended in 10 ml of  
241 phosphate buffer. The biofilm suspensions were vortexed (IKA TTS2) for 30 s with maximum power to  
242 disrupt cell aggregates. The biofilm mass was determined according to standard methods (American  
243 Public Health Association [APHA], American Water Works Association [AWWA], Water Pollution  
244 Control Federation [WPCF]) (APHA *et al.*, 1989). The CFU, expressed as log (CFU cm<sup>-2</sup>), were assessed  
245 in Plate Count Agar (Merck, Portugal), according to Simões *et al.* (2005) (Simões *et al.*, 2005b).

246

## 247 Statistical analysis

248 Data were analyzed applying the parametric paired t-test using the statistical program SPSS version 22.0  
249 (Statistical Package for the Social Sciences). The average and standard deviation (SD) within samples  
250 were calculated for all cases. Statistical calculations were based on confidence level ≥ 95% (*P* < 0.05)  
251 which was considered statistically significant.

252

253

## 254 Results

255 The MIC and MBC values obtained for the tested chemicals (Fig. 1) against *P. fluorescens* are presented  
256 in Table 1. In general, the MBC was always slightly higher than the MIC, with the exception of SH (MIC

257 = MBC). The results showed that CTAB was the most efficient biocide with MBC values 10 to 20 times  
258 lower compared to the other chemicals.

259 The parameters of the surface tension for *P. fluorescens* before and after the treatment with each chemical  
260 were determined to ascertain their effects on the bacterial surface properties (Table 2). *P. fluorescens* is  
261 naturally hydrophilic ( $\Delta G_{s_{ws}} > 0 \text{ mJ m}^{-2}$ ). However, this property was less pronounced ( $P < 0.05$ ) when  
262 the cells were in contact with BPA and CTAB (Table 2). Regarding the apolar ( $\gamma_s^{LW}$ ) parameter, only  
263 CTAB caused changes, *i.e.* a decrease compared to the untreated cells. The polar ( $\gamma_s^{AB}$ ) parameter of the  
264 bacterium was enhanced with the application of CTAB and decreased due to SH exposure ( $P < 0.05$ ). The  
265 treatment with SH decreased significantly the cell surface ability to accept ( $\gamma_s^+$ ) or donate ( $\gamma_s^-$ ) electrons  
266 ( $P < 0.05$ ), while BPA and CTAB increased the value of the electron acceptor component of *P.*  
267 *fluorescens* surface ( $P < 0.05$ ).

268 *P. fluorescens* presented a negative surface charge of -13.53 mV with a conductivity of  $0.05 \text{ mS cm}^{-1}$   
269 (Table 3). The exposure to CTAB, BPC or BPA made *P. fluorescens* surface charge less negative and  
270 increased its conductivity ( $P < 0.05$ ), with the exception of CTAB that had no effects on the cell surface  
271 conductivity ( $P > 0.05$ ). Conversely, SH enhanced cell surface conductivity ( $P < 0.05$ ), without  
272 interfering with the charge ( $P > 0.05$ ).

273 The intracellular  $K^+$  leakage was assessed in order to ascertain the effects of the chemicals in the cell  
274 integrity. The  $K^+$  concentration in solution before and after exposure to several chemicals is presented in  
275 Table 4. All tested chemicals promoted an alteration in the cytoplasmic membrane permeability, causing  
276  $K^+$  leakage ( $P < 0.05$ ). Additionally, the expression of outer membrane proteins (OMPs) was assessed by  
277 SDS-PAGE, before and after biocide exposure for one hour. No relevant differences in the expression of  
278 major OMPs were found after exposure to the selected biocides (online resource 1).

279 The percentage of retardation gives an estimation of the efficacy of chemical products to penetrate the  
280 biofilm (Table 5). In this case, BPC and SH were the most efficient since no retardation was observed.  
281 Contrarily, CTAB was completely ( $P < 0.05$ ) and BPA partially (15%) retarded by the biofilm.

282 BPC was selected over BPA for biofilm assays (Figure 2) due to its higher antimicrobial activity (lower  
283 MIC and MBC), significant effects on the surface properties of *P. fluorescens* and the ability to penetrate  
284 the biofilm without retardation. Therefore, BPC, CTAB and SH were tested against 7-days old flow-  
285 generated biofilms formed under a flow rate of  $3.4 \text{ l h}^{-1}$ . The effectiveness of the chemicals was assessed  
286 in terms of CFU (Fig. 2a) and biomass (Fig. 2b).

287 The results obtained for the number of biofilm CFU revealed a reduction after one hour exposure to the  
288 MBC of CTAB and SH (Fig. 2). This effect was more pronounced for SH with 1 log reduction ( $P > 0.05$ ).  
289 For CTAB 0.4 CFU log reduction was achieved and for BPC CFU log reduction was almost negligible. In  
290 order to ascertain the role of the biocides tested on biofilm regrowth, CFU were determined 2, 12 and  
291 24 h after chemical exposure. Two hours after this treatment the number of CFU increased for the three  
292 chemicals tested, reaching values similar to those before the treatment ( $P > 0.05$ , Fig. 2a). The number of  
293 biofilm CFU, remained constant overtime for all the conditions tested, except for the 24 h BPC-treated  
294 biofilms. For this case the number of biofilm cells increased significantly ( $P < 0.05$ , Fig. 2a) when  
295 compared to the control values (untreated biofilms).

296 In terms of biofilm mass, the three chemicals promoted similar biofilm biomass removal (average of 16%,  
297 Fig. 2b) immediately after treatment. These values remained unchanged 2 h after the treatment for all the  
298 conditions tested. When analyzing the period of 12 h after the treatment, no significant biomass changes  
299 were found for the biofilms treated with CTAB and BPC, compared to the biofilms immediately after  
300 exposure. The SH treated biofilms recovered significantly ( $P < 0.05$ ). However, 24 h after treatment the  
301 biomass values obtained for these biofilms were as low as those found immediately after the treatment  
302 ( $P > 0.05$ ). After this regrowth period the biomass of CTAB treated biofilms was also similar to the  
303 values immediately after treatment, while significant regrowth was found for the BPC treated biofilms  
304 ( $P < 0.05$ ).

305

306

## 307 Discussion

308 In the last decades, due to the high food demands to match population needs there have been increasing  
309 concerns on outbreaks of foodborne pathogens (Bhat, 2008). Thus, the food industry requires major  
310 investments in produce decontamination and facilities sanitation in order to prevent and control microbial  
311 contaminants (Todd *et al.*, 2010). However, resistance to disinfectants and sanitizers has been increasing,  
312 urging the necessity for the development of new formulations (Bore *et al.*, 2007; Bremer *et al.*, 2002;  
313 Fraise, 2002; Nakata *et al.*, 2011; Simões *et al.*, 2011). In this work, the antimicrobial activity and  
314 capacity for biofilm control of chlorine (as sodium hypochlorite) and three brominated and chlorinated  
315 chemicals (CTAB, BPC and BPA) against *P. fluorescens* was studied. In addition, several aspects of their  
316 interaction with bacteria were assessed. The three brominated chemicals were selected based on their  
317 structure, particularly the presence of bromine (Petruso *et al.*, 1994; Ward *et al.*, 1981), chlorine (Gottardi  
318 and Nagl, 2005; Ward *et al.*, 1981) and carboxyl groups (Kabara *et al.*, 1972) that are known for their  
319 antimicrobial properties. *P. fluorescens* was chosen as it is a well-studied Gram-negative bacterium,  
320 ubiquitous in natural, medical and industrial environments that can cause serious problems in its  
321 planktonic and biofilm states (Ferreira *et al.*, 2011; Simões *et al.*, 2010b; Wong *et al.*, 2011). In addition,  
322 this bacterium is known to form biofilms resistant to disinfectants (Lindsay *et al.*, 2002; Selvaraju *et al.*,  
323 2005; Simões *et al.*, 2008). Several bacterium physiological characteristics were assessed such as MIC,  
324 MBC, hydrophobicity,  $K^+$  leakage, and surface charge.

325 The MIC and MBC values of CTAB against *P. fluorescens* were 20 and 50  $\mu\text{g ml}^{-1}$ , respectively (Table  
326 1). The previous use of CTAB, in other studies, revealed MICs values of 4  $\mu\text{g ml}^{-1}$  against the Gram  
327 negative *Salmonella typhimurium* and *Pseudomonas aeruginosa* or 18  $\mu\text{g ml}^{-1}$  for the yeast *Candida*  
328 *albicans* (Lindstedt *et al.*, 1990). The MIC and MBC of SH was 500  $\mu\text{g ml}^{-1}$ . A range of SH  
329 concentrations from 50 to 5000  $\mu\text{g ml}^{-1}$  has been determined by several authors for a variety of conditions  
330 and bacteria (Maillard, 2011; Perez *et al.*, 2005; Rasmussen *et al.*, 2013; Rutala and Weber, 1997).  
331 Differences between the values obtained from the previous studies and the present can be explained by  
332 the different methods and bacteria used to determine these parameters. Moreover, it is known that no  
333 strain can mimic the behavior of a species (Fux *et al.*, 2005).

334 To our knowledge, this is the first study reporting the antimicrobial properties of BPA and BPC. It was  
335 found that both chemicals possess antimicrobial activity, with a MIC and MBC against *P. fluorescens* of  
336 650 and 700  $\mu\text{g ml}^{-1}$  for BPC and 850 and 900  $\mu\text{g ml}^{-1}$  for BPA. The lower MIC and MBC of BPC can be  
337 possibly due to the presence of chlorine, known for its antimicrobial properties (Gottardi and Nagl, 2005;  
338 Winter *et al.*, 2008).

339 In order to understand the action of the selected chemicals on *P. fluorescens*, diverse aspects of the  
340 interaction between the chemicals and the bacterial cells were assessed particularly the surface  
341 physicochemical properties, charge (Table 3) and  $K^+$  leakage.

342 CTAB is a compound that binds to the negative cell surface of bacteria due to electrostatic attraction by  
343 chemisorption (Azeredo *et al.*, 2003; Neu, 1996; Rodrigues *et al.*, 2013). Azeredo *et al.* (2003) proposed  
344 that when a concentration of CTAB higher than the MBC is used, hydrophobicity and surface charge  
345 properties can be enhanced and bacteria become hydrophilic and positively charged (Azeredo *et al.*,  
346 2003). Upon interaction with the surface, CTAB promotes cell membrane disorganization (McDonnell  
347 and Russell, 1999) or even disruption (Simões *et al.*, 2005a). In this work, this effect of cell disruption  
348 was verified by the amount of  $K^+$  leakage as this assay is usually the primary indicator of gross and  
349 irreversible cytoplasmic membrane damage (Borges *et al.*, 2013; Carson *et al.*, 2002).

350 Conversely to QACs, *P. fluorescens* exposure to SH decreased the bacterial polar ( $\gamma_s^{AB}$ ) character and,  
351 consequently the capacity to accept ( $\gamma_s^+$ ) or donate ( $\gamma_s^-$ ) electrons. According to Gottardi and Nagl (2005),  
352 the action of active chlorine (hypochlorous acid - HOCl) in bacteria can be divided in two effects, non-  
353 lethal and lethal (Gottardi and Nagl, 2005). The first implies reversible chlorination of the bacterial  
354 surface and the second is based on penetration into the bacteria combined with irreversible alterations.  
355 HOCl can also promote aggregation of essential proteins (Winter *et al.*, 2008). The present study  
356 corroborates the findings of Winter *et al.* (2008) on the membrane destabilization effects (Winter *et al.*,  
357 2008). In fact,  $K^+$  leakage is a good indicator of cytoplasmic membrane damage (Codling *et al.*, 2003;  
358 Lambert and Hammond, 1973). The interaction of active chlorine did not interfere with the cell surface

359 charge possibly suggesting covalent links between the biocide and the bacterial membrane (Gottardi and  
360 Nagl, 2005). Moreover, SH dissociation in ions can originate salt formation that can help to explain the  
361 observed increase in the cell surface conductivity.

362 BPA decreased bacterial hydrophilic characteristics and improved electron acceptance ( $\gamma_s^+$ ). The  
363 membrane interaction with the chemical may promote a destabilization and consequently  $K^+$  leakage into  
364 solution. In addition, previous reports suggest the reaction between BPA and sulfhydryl groups of  
365 proteins (Bradbury and Smyth, 1973; Chadha and Plapp, 1984). The effects of BPC were less noticeable  
366 on the hydrophobicity values, but this chemical was the one that most affected the charge of the bacterial  
367 cells. This difference in the antimicrobial activity and mode of action of BPA and BPC can be due to the  
368 presence/absence of  $\text{OH}^-$  or  $\text{Cl}^-$ . It seems that the presence of  $\text{Cl}^-$  may improve the antimicrobial activity  
369 of the molecules, causing a significant decrease in the cell surface charge and the leakage of intracellular  
370  $K^+$ . These antimicrobial effects were lower when BPA was applied (higher MIC and MBC values). Even  
371 if significant effects on the cell surface properties and charge were promoted by the biocides, no changes  
372 were induced on OMP expression (online resource 1). This may indicate that these compounds may not  
373 potentiate antimicrobial resistance, regarding OMP expression. This hypothesis is based on the  
374 knowledge of OMP importance in bacterial resistance to biocides and antibiotics (Cha and Cooksey,  
375 1991; Delcour, 2009; Masuda *et al.*, 1995; Walsh *et al.*, 2003; Winder *et al.*, 2000).

376 The penetration of CTAB through the *P. fluorescens* biofilms was totally retarded. In fact, bacteria grown  
377 as a biofilm exhibit less susceptibility to antimicrobials due to spatial heterogeneity in its structure which  
378 consequently originates nutrient depletion within the biofilm, reduced access of the chemicals to the  
379 bacteria inside the biofilm, biocide interaction with extracellular polymeric substances (EPS) and the  
380 existence of degradative enzymes and neutralizing chemicals (Brown and Gilbert, 1993; Fazlara and  
381 Ekhtelat, 2012; Simões *et al.*, 2010b). A previous study supports the accessibility hypothesis as it was  
382 verified that low mass biofilms were more susceptible to biocides than high mass biofilms (Simões *et al.*,  
383 2006).

384 Biofilms are organized cell aggregates in a self-produced extracellular matrix and can be formed on living  
385 or inert surfaces, which can create serious problems in several fields if the disinfection protocols fail  
386 (Simões *et al.*, 2010b). As biofilms are a major problem in industry, it is important to understand the  
387 effects of biocides in their control. In this study, BPC was selected over BPA to control the flow-  
388 generated biofilms. This selection was based on the similar chemical structures and the most promising  
389 antimicrobial effects of BPC.

390 *P. fluorescens* biofilms were exposed to the chemicals at their MBC values, for one hour. Only modest  
391 reductions in the log CFU  $\text{cm}^{-2}$  were obtained. This fact reinforces the higher resistance of biofilm cells  
392 compared to their planktonic counterparts (Davies, 2003; Simões *et al.*, 2010a). In terms of biofilm mass  
393 removal the use of BPC, CTAB or SH promoted low removal of the total biofilm mass ( $\leq 16\%$ ). It can be  
394 hypothesized that CTAB acts by eroding the biofilm, which may consist on eradication of the superficial  
395 bacteria and disruption of the EPS matrix, in which they are embedded. This assumption is based on the  
396 fact that CTAB is the most efficient of the chemicals tested despite lacking the ability to cross the biofilm  
397 layers. BPC and SH pass through the biofilm but are not effective. This may be the consequence of an  
398 intrinsic or acquired resistance of biofilms (Gibson *et al.*, 1999; Winter *et al.*, 2008). Furthermore, the  
399 mechanism of action of these chemicals did not interfere with quorum sensing (online resource 1). A  
400 screening protocol developed by McLean *et al.* (2004) was used for the detection of quorum signal  
401 inhibition (targeting acylated homoserine lactones dependent signaling) (McLean *et al.*, 2004). No effects  
402 were found other than antimicrobial action (online resource 1).

403 In order to ascertain the ability of the biofilms to regrow after the chemical treatment the conditions  
404 existing prior to exposure were reestablished, therefore mimicking a disinfection practice in industry.  
405 Biomass regrowth was observed mostly for SH treated biofilms (12 hours) and BPC treated biofilms  
406 (24 hours). In terms of CFU, regrowth was verified for all biofilms upon 2 hours after treatment, being the  
407 CFU counts for the other sampling periods within the values of untreated biofilms (control). This result  
408 can be explained by the possible presence of starved or injured cells or potentially viable but not  
409 culturable cells (Banning *et al.*, 2002; Simões *et al.*, 2011). Also, Pereira *et al.* (2002) found that 7-days  
410 old *P. fluorescens* biofilms formed in a flow cell system are in the stationary or stabilization phase  
411 (Pereira *et al.*, 2002). This means that the loss of biomass due to physical stresses is balanced by the

412 growth of new cells at the edge of the biofilm (Capdeville *et al.*, 1992). The results obtained show  
413 low/modest effects of the selected biocides on biofilm removal and killing and the rapid regrowth to the  
414 stabilization phase. These results may indicate that the selected biocides, at the concentrations tested, had  
415 no significant effects on the dynamic behavior of the biofilms. Moreover, this preservative recovery  
416 showed by the post-antimicrobial effect evaluation could lead to populations of resistant bacteria, which  
417 may be recalcitrant to disinfection (Stewart, 2003).

418 It is apparently clear that the promising results obtained with the tests on planktonic cells did not provide  
419 relevant insights on their application in biofilm control, even if the same strain and antimicrobial  
420 concentrations were used in both tests. Moreover, in this study, it seems that the chemical nature of the  
421 biocide was not relevant on biofilm control and the brominated and chlorinated products had no clear  
422 advantage on biofilm control over SH. In fact, the results obtained with SH and the brominated-products  
423 are comparable. At their MBC, the selected chemicals promoted similar effects on the biofilms. This  
424 proposes that the CTAB and BPC can be alternatives to SH for biofilm control. Further studies will be  
425 performed on the effects of the combination between CTAB and BPC with SH. In fact, the combination  
426 of SH with biocides already proved to potentiate their effects as antimicrobials and biofilm control agents  
427 (DeQueiroz and Day, 2007; Dutta and Kundabala, 2014; Luddin and Ahmed, 2013). Pioneer studies  
428 (Kristoffersen, 1958; Shere *et al.*, 1962), demonstrated synergistic antimicrobial relationship when  
429 bromine was added to chlorine solutions. However, as with free chlorine, there are safety concerns about  
430 the production of brominated organic compounds and their impact on human and environmental safety,  
431 even if bromide ion has a low degree of toxicity (WHO, 2009).

432

433

### Conclusions

434 SH, BPA, BPC and CTAB promoted cellular disruption and leakage of essential intracellular constituents  
435 of planktonic *P. fluorescens*. CTAB had the lowest MIC and MBC values. All the chemicals promoted  
436 cellular disruption and leakage of essential intracellular constituents. CTAB, BPC and BPA irreversibly  
437 changed membrane properties through hydrophobicity modifications and decrease of negative surface  
438 charge. *P. fluorescens* biofilms formed in a flow cell system under turbulent flowing conditions were  
439 highly resistant to control by the selected chemicals. CTAB, BPC and SH at their MBC promoted similar  
440 biofilm removal and killing. However, total biofilm control was not achieved and the biofilms were able  
441 to recover from the antimicrobial exposure. The overall results also propose that CTAB, BPA and BPC  
442 had antimicrobial effects comparable to SH and therefore they can be used as alternative biocides.

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444

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### Competing interests

455 The authors declare that they have no competing interests.

456

457

### Authors' contributions

458 JM and PA performed the experiments for this study, participated in acquisition, analysis and  
459 interpretation of data, drafting and revising critically the manuscript. IM and ML participated in the  
460 analysis and interpretation of data, and contributed in the writing and editing of the manuscript. FM and  
461 LM participated in drafting and revising critically the manuscript. MS did the experimental design of the  
462 study and participated in drafting the manuscript. All authors read and approved the final manuscript.

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**Tables**

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**Table 1** – Minimum inhibitory concentration (MIC) and minimum bactericidal concentration (MBC) values of the selected biocides against *P. fluorescens*

	MIC ( $\mu\text{g mL}^{-1}$ )	MBC ( $\mu\text{g mL}^{-1}$ )
BPC	650	700
BPA	850	900
CTAB	20	50
SH	500	500

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**Table 2** – Surface tension parameters, hydrophobicity ( $\Delta G_{\text{sws}}$ ), apolar ( $\gamma_s^{\text{LW}}$ ) and polar ( $\gamma_s^{\text{AB}}$ ), of untreated *P. fluorescens* (control) and of cells exposed to the selected biocides. The mean  $\pm$  SD is presented

	Surface tension parameters ( $\text{mJ m}^{-2}$ )				$\Delta G_{\text{sws}}$ ( $\text{mJ m}^{-2}$ )
	$\gamma_s^{\text{LW}}$	$\gamma_s^{\text{AB}}$	$\gamma_s^+$	$\gamma_s^-$	
Control	22.77 $\pm$ 4.43	30.27 $\pm$ 4.79	4.14 $\pm$ 1.25	56.96 $\pm$ 4.71	30.71 $\pm$ 6.34
BPC	19.36 $\pm$ 0.43	31.45 $\pm$ 2.92	4.40 $\pm$ 1.07	57.20 $\pm$ 3.96	29.79 $\pm$ 5.54
BPA	20.32 $\pm$ 0.80	34.13 $\pm$ 3.61	6.04 $\pm$ 1.25	53.09 $\pm$ 3.51	23.39 $\pm$ 4.90
CTAB	11.97 $\pm$ 1.35	46.99 $\pm$ 7.06	10.36 $\pm$ 2.98	54.00 $\pm$ 0.81	14.02 $\pm$ 5.04
SH	29.49 $\pm$ 4.68	13.27 $\pm$ 1.83	0.89 $\pm$ 0.33	51.36 $\pm$ 5.93	33.53 $\pm$ 8.73

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**Table 3** – Zeta potential and conductivity of *P. fluorescens* before (control) and after 1 hour exposure to the selected biocides. The mean  $\pm$  SD is presented

	Zeta Potential (mV)	Conductivity ( $\text{mS cm}^{-1}$ )
Control	-13.53 $\pm$ 2.32	0.05 $\pm$ 0.02
BPC	-2.88 $\pm$ 0.66	2.25 $\pm$ 0.06
BPA	-4.96 $\pm$ 0.95	0.46 $\pm$ 0.09
CTAB	-8.14 $\pm$ 0.42	0.05 $\pm$ 0.01
SH	-13.00 $\pm$ 1.41	31.10 $\pm$ 0.14

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**Table 4** – Concentration of  $K^+$  in solution before and after 1 hour exposure to the selected biocides. The mean  $\pm$  SD is presented

	Concentration of $K^+$ in solution ( $\mu\text{g ml}^{-1}$ )
Control	1.21 $\pm$ 0.08
BPC	1.99 $\pm$ 0.21
BPA	1.96 $\pm$ 0.25
CTAB	2.09 $\pm$ 0.28
SH	2.07 $\pm$ 0.26

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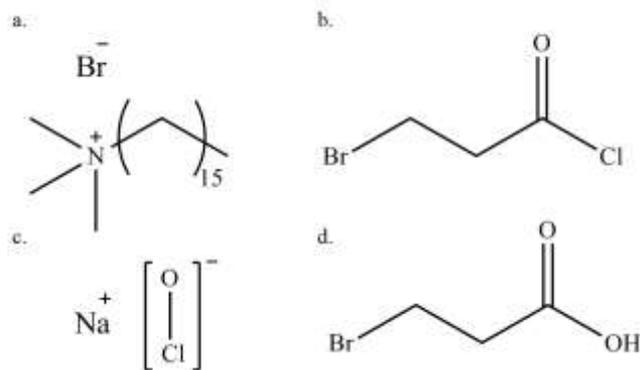
757 **Table 5** –Retardation caused by *P. fluorescens* biofilms, for the selected biocides. Data are presented as  
758 mean  $\pm$  SD of the percentage of diameter measurements for halo readings as compared with controls  
759 (without chemical exposure)

	Retardation (%)
BPC	0.0 $\pm$ 0.0
BPA	15.7 $\pm$ 4.4
CTAB	100 $\pm$ 0.0
SH	1.90 $\pm$ 3.2

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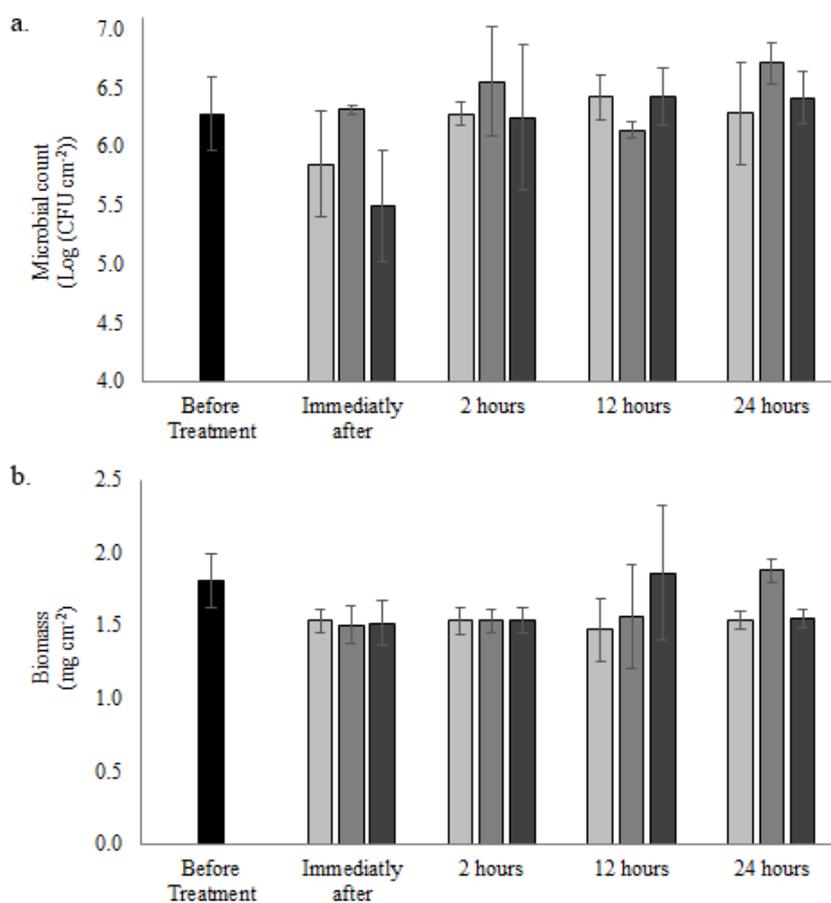
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### Figures



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**Fig. 1** – Chemical structures of the chemicals used: a. cetyltrimethylammonium bromide (CTAB); b. 3-bromopropionyl chloride (BPC); c. sodium hypochlorite (SH) and d. 3-bromopropionic acid (BPA).



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**Fig. 2** – *P. fluorescens* biofilm cell density (log CFU cm<sup>-2</sup>) (a) and mass (b) before and after treatment with CTAB (□), BPC (▣) and SH (■). Samples were collected before treatment (■), immediately after treatment and 2, 12 and 24 hours following the biocide treatment. Values are mean ± SD.