1	This article was published in Water Research 62, 63-87, 2014
2	http://dx.doi.org/10.1016/j.watres.2014.05.039
3	An Overview on the Reactors to Study Drinking Water Biofilms
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6	Gomes, I. B. <sup>a</sup> , Simões, M. <sup>a</sup> and Simões, L. C. <sup>a,b*</sup>
7	
8	<sup>a</sup> LEPABE, Department of Chemical Engineering, Faculty of Engineering, University of
9	Porto, Rua Dr. Roberto Frias, s/n, 4200-465 Porto, Portugal
10	<sup>b</sup> CEB- Centre of Biological Engineering, University of Minho, Campus de Gualtar 4710-
11	057 Braga, Portugal.
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20	*Corresponding author: Lúcia C. Simões, Centro de Engenharia Biológica, Universidade do
21	Minho, Tel: 00351 253604404. Fax: 00351 253678986. E-mail: luciachaves@deb.uminho.pt
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23	ABSTRACT

The development of biofilms in drinking water distribution systems (DWDS) can cause pipe 24 degradation, changes in the water organoleptic properties but the main problem is related to 25 the public health. Biofilms are the main responsible for the microbial presence in drinking 26 water (DW) and can be reservoirs for pathogens. Therefore, the understanding of the 27 28 mechanisms underlying biofilm formation and behavior is of utmost importance in order to create effective control strategies. As the study of biofilms in real DWDS is difficult, several 29 devices have been developed. These devices allow biofilm formation under controlled 30 conditions of physical (flow velocity, shear stress, temperature, type of pipe material, etc), 31 chemical (type and amount of nutrients, type of disinfectant and residuals, organic and 32 33 inorganic particles, ions, etc) and biological (composition of microbial community – type of microorganism and characteristics) parameters, ensuring that the operational conditions are 34 similar as possible to the DWDS conditions in order to achieve results that can be applied to 35 36 the real scenarios. The devices used in DW biofilm studies can be divided essentially in two groups, those usually applied in situ and the bench top laboratorial reactors. The selection of 37 a device should be obviously in accordance with the aim of the study and its advantages and 38 limitations should be evaluated to obtain reproducible results that can be transposed into the 39 reality of the DWDS. The aim of this review is to provide an overview on the main reactors 40 41 used in DW biofilm studies, describing their characteristics and applications, taking into 42 account their main advantages and limitations.

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44 Keywords: Biofilm control; Biofilm monitoring; Drinking water; Reactor

45 **1. Introduction** 

46 There is a global concern that all the world population should have access to safe drinking
47 water (DW). Even in the 21<sup>st</sup> century, there are many people without access to appropriate

water, in quantity and/or quality, for the basic needs (WHO, 2011). The existence of DW 48 49 distribution systems (DWDS) allows the management and supply of water for more people. However, there are several problems that can occur in a DWDS. From a microbiological 50 perspective, the main problems reported in DWDS are the biocorrosion, biofilm formation, 51 52 nitrification and also the occurrence and persistence of pathogenic organisms (Beech and Sunner, 2004; Camper, 2004; Emtiazi et al., 2004; Simões and Simões, 2013; Teng et al., 53 2008). Biofilms are considered to be the main source of microorganisms in DWDS that are 54 fed with treated water (Berry et al., 2006; Yu et al., 2010). Biofilms are a set of 55 microorganisms attached to a surface through exopolymers they produce, also known as 56 57 extracellular polymeric substances (EPS). These are mainly proteins and polysaccharides that are involved in microbial protection from stress conditions (Fang et al., 2010). The main 58 microorganisms that are commonly detected in water are heterotrophic bacteria, particularly 59 60  $\alpha$ -, $\beta$ - and  $\gamma$ -proteobacteria (Berry et al., 2006), mycobacteria, some filamentous fungi, virus and helminths (Abe et al., 2011). The existence of inorganic matter, like corrosion products, 61 clays and sand, can be responsible for changes in biofilm structure, increasing its mechanical 62 cohesion (Melo and Bott, 1997). Biofilms occur usually on surfaces which are in contact with 63 water. So, biofilm formation is common in DWDS. Wingender and Flemming (2004) stated 64 65 that 95% of water microorganisms are present in DWDS inside biofilms while only 5% are 66 floating in the bulk phase.

Although biofilms are the main form of microbial organization in nature, the formation of these structures in DWDS depends of several biotic and abiotic factors, namely environmental factors (temperature and pH), concentration of residual disinfectants, nature and concentration of nutrients, hydrodynamic conditions (flow rate, design of network and presence of dead ends), type of pipe materials and their conservation state, type and diversity

of microorganisms present and sediment accumulation (Deines et al., 2010; Jang et al., 2011; 72 73 Simões and Simões, 2013; Yu et al., 2010). The biofilm formation process occurs in several steps (Fig. 1) (O'Toole et al., 2000). The preconditioning of the pipe surface by organic and 74 inorganic macromolecules facilitates the bacterial adhesion process. Thereafter, cells can 75 76 adsorb to the surface reversibly or irreversibly (a). After adhesion, a stage of active biofilm growth occurs by cell replication, EPS production, release of quorum-sensing (QS) 77 molecules and exchange of substances between the biofilm and the bulk (b and c). The 78 79 biofilm dispersion and formation/colonization in other clean areas can take place after biofilm detachment from pipes walls, as depicted in Fig. 1 (d, e and f) (Codony et al., 2005). 80 The amount of a biofilm in a given system, after a certain period of time, depends on a 81 82 dynamic biofilm formation process, which has been defined as the balance between bacterial attachment from the planktonic phase, bacterial growth within the biofilm and dynamic 83 detachment from the surface (Stoodley et al., 1999). When the balance is null, the biofilm is 84 said to have reached a steady-state. The final amount of biofilm in that state, which can be 85 assessed by cell counts or biomass determination, is directly related to its formation potential 86 in the system (Van der Kooij, 1999). Hydrodynamics have an utmost role in biofilm 87 development and in determining its stability (Bott, 1993). The flow rate affects biofilm 88 89 development by interfering with several phenomena, namely: nutrients transport, bacterial adhesion, biofilm growth and detachment (Characklis and Marshall, 1990). When the flow 90 velocity is low there is a high resistance to mass transfer (nutrients, oxygen, etc.) from the 91 92 bulk fluid to the microorganisms embedded in biofilms, impairing sessile cell growth. On the 93 other hand, high flow velocity causes high turbulence of the fluid bulk. It means that the mass transfer phenomena are enhanced, improving also the biofilm growth. However, high 94 95 velocity also causes high shear forces that can be responsible for higher biofilm erosion and

detachment; accordingly it may cause a decrease of biofilm mass on surfaces. Therefore, 96 97 apart from others factors, studies on the effects of hydrodynamic conditions are also very important to understand biofilm formation in DWDS. However, the hydrodynamic 98 99 conditions (flow rate, velocity, residence time, shear stress) are dependent of the geometry 100 of each biofilm reactor. The dimensionless Reynolds number (Re), in fluid mechanics, is defined as the ratio of inertial forces to viscous forces and is used to describe the flow 101 102 conditions of a fluid (laminar, transition and turbulent flow). Its calculation is dependent of 103 the reactor flow geometry. Also, the definition of laminar and turbulent flow regimes varies according to the system used. The Re number for the flow in a pipe or tube can be defined 104 by Eq. (1) and (2) where  $D_H$  is the hydraulic diameter of the pipe (m),  $\rho$  is the fluid density 105 (kg.m<sup>-3</sup>),  $\nu$  is the flow velocity (m.s<sup>-1</sup>),  $\mu$  is the dynamic viscosity of fluid (N.s.m<sup>-2</sup>), A is the 106 pipe cross sectional area  $(m^2)$  and P is the wetted perimeter (m). The wetted perimeter for a 107 pipe is the perimeter of the pipe wall that is in contact with the water flow. 108

$$109 \quad Re_{pipe} = \frac{\rho v D_H}{\mu} \tag{1}$$

$$110 \quad D_H = \frac{4A}{P} \tag{2}$$

In cylindrical pipes, Re < 2300, 2300 <Re < 4000, Re > 4000, correspond to laminar, transition and turbulent flow conditions, respectively. The Re number for a stirred tank is defined by the Eq. (3) where *N* is the rotational velocity and *D* is the diameter of agitator. For an agitation situation the laminar flow is considered when Re < 10 and turbulent flow for Re >  $10^4$  (Pérez et al., 2006).

116 
$$Re_{stirred\ tank} = \frac{ND^2\rho}{\mu}$$
 (3)

117 One of the major obstacles to study biofilms within DWDS is how to choose a suitable 118 experimental system that mimics the conditions found in real pipe networks. A number of

devices have been described in literature for studying biofilms in DWDS. Therefore, the aim 119 120 of this review is to provide an overview on old and well described and reviewed biofilm reactors as well as on new or more recently developed reactors that not have been reviewed 121 together yet. The diverse devices are described as well as aspects on their limitations and 122 123 advantages. Also, a brief description on the main applications of reactors in DW biofilm studies and the quantification methods used for DW biofilm characterization is provided. 124 Nevertheless, the complexity of the DWDS microenvironment and even the use of different 125 126 methodologies and biofilm reactors have led in some cases to ambiguous or not easily comparable results. Most studies assessed only one variable at a time, and apart from notable 127 128 exceptions, few attempts have been made so far to study their inter-relationships and compare the relative importance of these different factors. 129

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131 **2. Bench top laboratorial devices** 

Several devices were developed to study biofilms autonomously from DWDS. These devices try to mimic the DWDS behavior, allowing testing different conditions and can be fed with tap water or with appropriate medium or enriched water. In fact, these devices are DWDS models used to achieve a diversity of goals. However, they were used mostly in laboratorial experiments.

# 137 2.1. Annular Reactor

The annular reactor can operate as an open/continuous system and has been used for several decades for the development of biofilms under turbulent flowing environments (Morin and Camper, 1997; Volk and LeChevallier, 1999). It is a simple reactor that mimics the hydrodynamic behavior that biofilms are subjected in real DWDS (Batté et al., 2003a; Keinänen-Toivola et al., 2006). This reactor, also known as Rototorque<sup>®</sup>, is constituted by

two cylinders, one static external cylinder and other rotating internal cylinder whose speed 143 144 is controlled by a motor (Chandy and Angles, 2001; Fang et al., 2010; Hosni et al., 2011; 145 Morin and Camper, 1997; Zhou et al., 2009). Usually, the inner cylinder supports some coupons used to sample the biofilm (Fig. 2). The rotation of the inner cylinder is controlled 146 147 in order to define the desired shear stress. The relationship between shear forces, the cylinder diameter and the rotational speed is provided in Table 1. However, the equations are a gross 148 simplification of the annular reactor shear stress determination, since its calculation for this 149 particular system is quite complex. 150

The shear stress usually described as characteristic of DWDS pipes is 0.25 N.m<sup>-2</sup> that is 151 equivalent to 0.3 m.s<sup>-1</sup> in a 100 mm diameter pipe; these conditions are often reproduced in 152 the annular reactor (Butterfield et al., 2002; Fang et al., 2010; Gagnon et al., 2004, 2005; 153 Jang et al., 2011, 2012, Morin and Camper, 1997; Murphy et al., 2008; Pintar and Slawson, 154 2003; Szabo et al., 2007). One value of flow velocity that is also often used is 0.6 m.s<sup>-1</sup> (Batté 155 et al., 2003a, 2003b; Sharp et al., 2001). Rand et al. (2007) tested a shear force of 0.68 N.m<sup>-</sup> 156 <sup>2</sup> to assess the efficiency of chlorine dioxide or chlorine coupled with UV treatment on DW 157 158 biofilm control. The data shown that, the combination of chlorine dioxide/UV was the most effective strategy against both suspended and attached bacteria. Altman et al. (2009) studied 159 the integration and retention of planktonic pathogen Bacillus cereus in a Pseudomonas 160 fluorescens biofilm under a range of different hydraulic conditions (from 0.15 to 1.5 N.m<sup>-2</sup> 161 162 or from 50 to 300 rpm). The authors found that the amount of pathogens detected in the 163 biofilms was higher in the mid-shear range.

This reactor also has been used to study the influence of temperature on biofilm development.
Some annular reactors have a jacket allowing working at the desired temperature. Pintar and
Slawson (2003) tested different temperatures (6, 12 and 22 °C) and different concentrations

of disinfectant residual (chloramination) being the reactor fed with tap water and working at 167 constant rotation speed (50 rpm) providing a shear stress of 0.25 N.m<sup>-2</sup>. The results clearly 168 indicate that biofilm development occurs at all examined temperatures, as well as at the 169 170 selected monochloramine residuals. However, the maintenance of a disinfectant residual had 171 more biofilm inhibitory effects than that of the low temperature. Ndiongue et al. (2005) also studied the effect of temperature (6, 12 and 18 °C) and biodegradable organic matter on 172 biofilm control by chlorine at 92 rpm. Overall, the results shown that both temperature and 173 174 nutrients levels are important factors that must be considered when using free chlorine residual to control DW biofilms. 175

176 With the aim to perform different studies and save resources, variations of the conventional 177 annular reactor were developed. An example is the conical annular reactor. A standard annular reactors provides a constant wall shear stress distribution on surfaces, while a conical 178 179 annular reactor generates a non-uniform distribution of this hydrodynamic strength. Rochex et al. (2008) used a conical annular reactor (CCTR - Conical Couette-Taylor reactor) to 180 181 develop biofilms at varying shear stresses (0.055 to 0.27 Pa from bottom to top of the reactor) 182 with only one device and provided a useful model for studying the effect of hydrodynamics on biofilms. These authors also evaluated the effects of shear stress on the bacterial biofilm 183 184 community composition. The results shown that, high shear stresses decreased biofilm diversity and slowed down its maturation, maintaining the characteristics of young biofilms. 185 The use of annular reactors to study DW biofilm development and control can be 186 187 advantageous, mainly if the objective of the work is to study the material influence (allows 188 the study of different materials at the same time) or the effect of hydrodynamics. This reactor also allows to take a considerable number of samples for each assay and has an easy sampling 189 process. The control of shear stress and linear velocity is also simple since it is determined 190

by the rotational velocity of the internal cylinder and thereafter it is independent from the water flow rate fed to the reactor. So, the residence time and loading rate can be controlled independently. Nevertheless, as referred above, the description of hydrodynamic equations in annular reactors is complex once the flow on cylindrical surface is not well defined due to the presence of Taylor vortices (Childs, 2011). Therefore, the shear stress is not uniform in all surfaces available for biofilm formation.

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198 2.2. Concentric cylinder reactor

The concentric cylinder reactor (CCR) was firstly described and used to study biofilm 199 formation in the dairy industry (Willcock et al., 2000). This reactor allows the simultaneous 200 201 generation of different shear rates on the same inoculating population (Willcock et al., 2000), 202 but not with the same water phase since the four chambers are fed independently (Fig. 3). 203 Latter, this reactor was used to study DW biofilms by Rickard et al. (2004), who described the effects of different shear forces on DW biofilms formation and its impacts on the 204 205 microbial community diversity. This reactor is composed by four rotating cylinder pipes and 206 four stationary cylinder chambers (Fig. 3). The chambers can be feed with tap water and the 207 volume inside the chambers is constant and controlled with the help of external pumps, being 208 the feeding ports different from the outlet and sampling ports. The shear stress is controlled with the rotational velocity and radius of the cylinders. Rickard et al. (2004) used this reactor 209 with cylinders whose diameter was 101, 77, 50 and 26 mm that corresponds to fluid velocity 210 of 0.26, 0.19, 0.16 and 0.12 m/s and shear rates of 305, 198, 122 and 65 s<sup>-1</sup>, respectively. The 211 212 rotational speed of cylinders was kept constant during all the work (43 rpm), while the shear force varied with the radius of the rotating surface. The fluid velocity profiles were 213 214 determined on the basis of computational fluid dynamics and from each fluid velocity profile,

shear rates were calculated. The results demonstrated that shear rates affect biofilm diversity as well as the relative proportions of aggregating bacteria. An inverse relationship between shear rate and biofilm diversity was found and the proportions of aggregating bacteria in biofilms also change in relation to shear rates. The authors suggested that it is likely that such cell-cell interactions aid in the integration of bacteria in flowing environments.

This reactor is interesting to study simultaneously the effects of different shear stresses on DW biofilm, allowing to mimic what happens with DWDS since along the distribution system there are variations on water flow velocity. However, it only allows studying one material for each assay and the sampling process is not very easy, since it is necessary the harvesting of biofilm samples from the cylinder surface.

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#### 226 **2.3.** Flow cell system

227 The flow cell system consists in a duct segment where removable coupons are inserted in the inner wall, whose allows the biofilm sampling over time. But, this system may present 228 229 different configurations. The flow cell reactor can be a semicircular duct with some coupons 230 (only the upper face contacts with water) located on the flat wall and the flow pass-through the duct from the bottom to top (Fig. 4). Another flow cell configuration can be a parallel 231 232 plate flow cell reactor, which consists in a rectangular flow channel with small removable 233 coupons inside, to monitor biofilm formation (Huang et al. 1992). Usually, the flow cell reactor is provided by a feed/fresh water reservoir and the temperature can be controlled 234 235 externally. The flow is recirculated and the sampling process do not stop the flow because 236 outlet ports are located in the curved wall between two removal coupons, allowing the deviation of flow (Manuel et al., 2007; Simões et al., 2006, 2012). Therefore, this system 237 238 also allows mimicking the DWDS conditions, since it is a versatile system that allows

periodical sampling, without stopping the flow, and the flow velocity can be controlled by 239 240 an external pump. However, the boundary of sampling coupons can change the water flow, 241 which can affect biofilm development. Flow cell reactors can be used to monitor biofilm 242 development and behavior face to different control treatments and also to test the influence 243 of different materials and hydrodynamic conditions on biofilm formation. This reactor also can be used as an *in situ* device, acting as a by-pass in DWDS. As example, Simões et al. 244 (2006) used the flow cell reactor to monitor biofilms exposed to different operational 245 246 conditions. The flow cell reactor was fed with tap water without chlorine, previously removed 247 with activated carbon filters. The influence of diverse conditions on biofilm formation were studied, namely the turbulent (4000 L.h<sup>-1</sup>, Re = 11000) and laminar (73 L.h<sup>-1</sup>, Re = 2000) 248 flow, the presence and absence of nutrients (C, P and N) and the type of surface materials, 249 stainless steel (SS) and polyvinyl chloride (PVC). This study allowed to conclude that from 250 251 the most relevant to the least relevant factor, the biofilms increased due to the addition of nutrients to water; the use of turbulent instead of laminar hydrodynamic flow; and the use of 252 253 PVC instead of SS as the support material.

254 Manuel et al. (2007) studied the influence of different materials on biofilm development and the effects of the flow and non-flow regimes on the growth of both attached and suspended 255 bacteria using a flow cell reactor. The reactor was fed with tap water at 15.1 mL.d<sup>-1</sup> with 256 257 different Re numbers (5000 and 8293). Microbiological analysis showed that the support material did not affect significantly biofilm growth. However, operating under continuous 258 259 flow (0.8-1.9 Pa) or stagnant water had a significant effect on biofilm formation: in stagnant 260 water the biofilm grew to a less extent. The same authors assessed how hydraulic conditions (stagnation or flushing) can affect the biological stability of biofilms and evaluated the 261 262 relationship between the stability and the microbial composition of biofilms using a flow cell

reactor. Continuous turbulent (Re = 4900, 6 L.min-1) and laminar (Re = 810, 1 L.min-1) flow 263 264 regimes were used and biofilm formation was monitored for 20 days. Afterwards, the system 265 was subjected to unsteady hydraulic conditions (Manuel et al., 2010). Independently of the flow regime under which the biofilm was formed, stagnation promoted bacterial 266 267 accumulation, either as attached or suspended forms, which were carried away in higher 268 numbers when flow was re-started, thereby compromising the biological quality of the water. In all cases, Betaproteobacteria was the dominant phylogenetic group, although Gamma and 269 270 Alpha subclasses were also present. These results suggest that special attention should be given to the biological quality of DW when consumption is subjected to strong variable 271 272 demands (Manuel et al., 2010).

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## 274 **2.4. Propella<sup>®</sup> reactor**

The Propella<sup>®</sup> reactor was already used by several authors for DW biofilms studies (Dailloux 275 276 et al., 2003; Gosselin et al., 2013; Lehtola et al., 2006, 2007; Rubulis and Juhna, 2007; 277 Simões et al., 2012; Torvinen et al., 2007). It consists of two concentric cylinders in which 278 the propeller pushes the liquid down through the inner tube and then up through the annular section between both cylinders (Fig. 5). It is a perfectly mixed reactor and the fluid velocity, 279 280 hydraulic residence time and the flow rate are controlled by the rotation speed of the propeller 281 (Table 1). Coupons are usually located in the outer tube facilitating the sampling process and in some cases the removal of coupons does not change the flow conditions. 282

The ability of this reactor to simulate the process conditions commonly found in real DWDS makes it attractive for diverse studies. Dailloux et al. (2003) used a Propella<sup>®</sup> reactor with 2.08 L of volume (with high-density polyethylene (HDPE) coupons), water velocity of 0.2 m.s<sup>-1</sup>, fed continuously with tap water (83.5 mL.h<sup>-1</sup>) and inoculated with *Mycobacteria* 

xenopi in order to evaluate the ability of this bacterium to colonize the experimental DW 287 288 biofilms. The authors verified that biofilms may be reservoirs for the survival of *M. xenopi* and contributors to the continuous contamination of DW by erosion processes. Lehtola et al. 289 (2006) used Mycobacterium avium and a 2.3 L Propella® reactor with PVC coupons, working 290 at a flow rate of 183 mL.min<sup>-1</sup> (Re = 15000, retention time = 12.6 h). And they concluded 291 that this bacterium is able to survive and grow in DW biofilms and possibly transmitted via 292 DW. The same reactor and the same conditions were used in other study to assess the survival 293 294 of M. avium, Legionella pneumophila and Escherichia coli in DW biofilms under high-shear 295 turbulent flow conditions (Lehtola et al., 2007). This study clearly proved that pathogenic bacteria entering DWDS can survive in biofilms for at least several weeks, even under 296 297 conditions of high-shear turbulent flow, and may be a risk to water consumers. This reactor also was used to study the influence of phosphorus concentration on biofilm development 298 299 (Rubulis and Juhna, 2007; Torvinen et al., 2007). Rubulis and Juhna (2007) used the Propella<sup>®</sup> reactor with PVC coupons fed with DW, at 0.25 m.s<sup>-1</sup> and retention time of 24 h, 300 aiming to assess the possibility to prevent biofilm formation by the removal of phosphorus. 301 Those experiments showed that removal of phosphorus to very low levels (<  $1 \mu g L^{-1}$ ) was 302 not an efficient strategy to eliminate bacterial regrowth and biofilm formation in DWDS. 303 Torvinen et al. (2007) studied the influence of low phosphorus concentration, flow rate and 304 temperature on the survival of *M. avium* in DW biofilms using a Propella<sup>®</sup> reactor with PVC 305 coupons (185 mL.h<sup>-1</sup>; 0.24 m.s<sup>-1</sup>; Re = 15000; 12.4 h of retention time). The authors 306 concluded that temperature is a more important factor than the availability of nutrients, 307 308 particularly phosphorus, on the survival of slow growing *M. avium* in DW biofilms. On the other hand, an increase in water flow velocity had no effects on the survival of *M. avium*, 309 although it increased biofilm productivity. 310

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#### 312 2.5. Rotating Disc Reactor

water (Fig. 6). The disc holds several coupons disposed concentrically and, as happens with 314 315 the CCR, the shear forces depend on the rotational speed and on the diameter where coupons 316 are allocated (Abe et al., 2011, 2012; Pelleïeux et al., 2012). Abe et al. (2011) used this type of reactor to assess the elasticity and physico-chemical 317 properties of DW biofilms in different stages of growth at constant hydrodynamic conditions 318 (hydraulic shear stress of 0.12 Pa and shear rate of 120 s<sup>-1</sup>). DW biofilms showed a spatially 319 discontinuous and heterogeneous distribution comprising an extensive network of 320 321 filamentous fungi in which biofilm aggregates were embedded. These results suggest that the DW biofilms were composed of a soft top layer and a basal layer with significant high elastic 322 modulus values, falling in the range of fungal elasticity. The same authors used the RDR to 323 study the cohesiveness and hydrodynamic properties of young DW biofilms (Abe et al., 324 325 2012). In this study the reactor was operated over three months at shear rates of 120, 175 and 326 230 s<sup>-1</sup> (hydraulic shear stress of 0.120, 0.175 and 0.230 Pa, respectively), according to the location radius of each coupon. The results highlighted DW biofilm mechanical behavior 327 depending on cohesiveness strength profile; the increasing of shear stress promoted a layer 328 by layer (stratified structure) biofilm removal; and the detachment shear stress was weakly 329 impacted by the biofilm age (from 4 to 12 weeks) and the hydrodynamic formation conditions 330 (from 0.120 to 0.230 Pa). Pelleïeux et al. (2012) studied the accumulation of phages on DW 331 biofilms at different shear rates (from 450 to 1640 s<sup>-1</sup>) and under flow/non-flow conditions. 332 All shear rates studied did not cause differences in the levels of virus and bacteria. However, 333

The rotating disc reactor (RDR) consists in a tank with a rotating disc that is submerged in

convective diffusion (flow conditions) led to an increase of about 1 log in virus concentration

diffusion (non-flow conditions). The presence and behavior (survival) of some pathogens (*L. pneumophila, P. aeruginosa, Klebsiella pneumoniae* and *Flavobacterium sp.*) in DW
biofilms also was studied by Murga et al. (2001) using the RDR with a flow rate at 1 mL.min<sup>-1</sup> (residence time 6.7 h). It was found that, although unable to replicate in the absence of
protozoa, *L. pneumophila* was able to persist in DW biofilms.

on surfaces compared to the levels of the pseudo-steady-state reached during the Brownian

In RDR, as the entire disc rotates in the water, each radial position experiences a varying
hydraulic shear stress, which enables the simultaneous formation of biofilms under different
hydrodynamic conditions while keeping all the other conditions constant.

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#### 345 2.6. CDC biofilm reactor

346 The Centers for Disease Control (CDC) biofilm reactor, also known as CBR, was already 347 used as a DWDS model. In this reactor the coupon holders are supported by a ported lid with each holder containing usually 3 coupons (Fig. 7). The lid with the holders is mounted in a 348 349 vessel and the agitation is ensured by placing the reactor on a controlled stirrer plate, 350 providing a constant rotation of the baffle (Armbruster et al., 2012; Goeres et al., 2005; Morrow et al., 2008; Park et al., 2012; Park and Hu, 2010). This reactor was used for different 351 applications. Park and Hu (2010) used it to assess the effects of a reverse osmosis water pre-352 353 treatment on biofilm development in DWDS. However, this pre-treatment was unable to produce biologically stable water, although it had lower growth potential than the tap water 354 355 produced from conventional water treatment. Armbruster et al. (2012) used a CBR to develop a stable, repeatable, DW multispecies biofilm model (Sphingomonas paucimobilis, 356 Methylobacterium sp., Delftia acidovorans, and Mycobacterium mucogenicum) to 357 358 investigate the interaction of the opportunistic pathogen *M. mucogenicum* with other DW

species, and determined the efficacy of monochloramine as a disinfectant (batch and 359 360 continuous flow disinfection) against two weeks old biofilms. The reactor operated under batch mode (24 h, 100 rpm) followed by continuous flow conditions (2.5 mL.min<sup>-1</sup>, 100 rpm, 361 140 min residence time, 13 d). Biofilms persisted in 1 mg.L<sup>-1</sup> monochloramine over 24 h but 362 363 detached bacteria suspended in DW were reduced. Although *M. mucogenicum* preferentially 364 resided in the biofilm, disinfectant exposure caused release of viable *M. mucogenicum* from the biofilm into the water. DW biofilms were more tolerant to continuous flow disinfection, 365 366 which mimicked conditions found in distribution systems more closely than batch 367 disinfection. Morrow et al. (2008) used this device to investigate the impact of fluid shear on 368 Bacillus spores association with biofilm conditioned surfaces in DWDS and the subsequent 369 decontamination with chlorine and monochloramine. Biofilm associated spores required 5to 10-fold higher disinfectant concentrations to observe the same reduction of viable spores 370 371 as in suspension. Traditional chemical disinfection with monochloramine and chlorine was an inappropriate strategy for decontamination of *Bacillus* spores from treated water systems. 372 These authors rationalized the selection of the CDC as DWDS model attending to the 373 374 possibility to control fluid shear on coupons surface (Morrow et al., 2008; Park and Hu, 2010). 375

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# 377 2.7. Microtiter plates

The microtiter plates are nowadays the most frequently used reactor system for studying biofilm formation. These can be used as a rapid and simple method to screen simultaneously the effect of high numbers of different parameters on biofilm formation (Simões et al., 2007, 2010a, 2011). Simões et al. (2010a) used this device to study the adhesion and biofilm formation on polystyrene by DW isolated bacteria (*Acinetobacter calcoaceticus*,

Burkholderia cepacia, Methylobacterium sp., M. mucogenicum, Sphingomonas capsulata 383 384 and *Staphylococcus sp.*). The overall results indicate that initial adhesion did not predict the ability of the tested bacteria to form a mature biofilm, suggesting that other events (e.g. 385 386 phenotypic and genetic switching and the production of EPS) may play a significant role in 387 biofilm formation and differentiation. In other studies, Simões et al. (2007, 2010b) used microtiter plates to assess biofilm interactions between DW isolated bacteria and the 388 influence of bacterial diversity on biofilm resistance to disinfection. In the first study, the 389 390 results shown that the parameters assessed by planktonic studies (growth rates, motility, production of quorum-sensing inhibitors) did not allow prediction and generalization of the 391 392 exact mechanism regulating dual-species biofilm formation. Other cell-cell events, such as intergeneric coaggregation, may play a significant role in the formation and interspecies 393 interactions in DW biofilms. Moreover, it was possible to identify synergistic, antagonistic, 394 395 and neutral interactions between DW bacterial biofilms. The other study allowed to conclude that the bacterial diversity and their interactions may enhance biofilm resistance to 396 397 disinfection. The same device was also used by Simões et al. (2011) to investigate the effects 398 of metabolite molecules produced by these bacteria on their single and multispecies biofilms. This study allowed the identification of bacterial species which have biocontrol potential (M. 399 mucogenicum) or have a significant role in development and maintenance of the DW 400 consortium (A. calcoaceticus and B. cepacia). These studies proposed that the elucidation of 401 the mechanisms by which diverse species survive and interact in DW biofilm communities 402 403 may allow the identification of new biofilm control strategies.

Gião et al. (2011) used this device to evaluate the interaction of *L. pneumophila* and *Helicobacter pylori* with bacterial species isolated from DW biofilms and to study the influence of different autochthonous microorganisms on the incorporation and survival of 407 these two pathogens in biofilms. *Mycobacterium chelonae* (pathogen commonly found in 408 DWDS) seems to have a positive effect on the cultivability of both pathogens and seems to 409 play an important role in the survival and control of these two pathogens in DW biofilms. 410 This work also suggests that the presence of some microorganisms can decrease the 411 cultivability of *L. pneumophila* but not the viability, which indicates that the presence of 412 autochthonous microorganisms can lead to misleading results when the safety of water is 413 assessed by cultivability-based methods alone.

This reactor has the obvious advantage of allowing high-throughput analysis, some of those can be non-invasive using microscopy (Bridier et al., 2013). However, the limitations to reproduce the environmental conditions found in a DWDS are significant.

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### 418 **2.8.** Other bench top devices

Other laboratorial devices were developed to allow a better study of DW biofilm formation
and control under specific conditions, in order to fill the gap on the limitations of existent
reactors.

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## 423 *2.8.1. Flow chamber*

The flow chamber is a simple device already used in DW biofilm studies. This allows a direct non-invasive observation of biofilm formation using microscopy. Paris et al. (2007) used four flow chambers fed in parallel with tap water and coupled to an inverted microscope to study the effects of different shear rates (34.9, 74.8, 142.5 and 194.5 s<sup>-1</sup>) on biofilm development and structure. During the first stage of biofilm formation, bacterial accumulation was a function of the wall shear rate: the higher the wall shear rate, the faster the bacterial deposition. After 50 days, surface coverage was more or less identical for all wall shear rates,

suggesting that biofilm bacterial density cannot be controlled using hydrodynamics. 431 432 However, the spatial distribution of the biofilm was clearly different. Under low wall shear rate, aggregates were composed of bacterial cells able to "vibrate" independently on the 433 434 surface, whereas, under a high wall shear rate, aggregates were more cohesive. The same 435 authors (Paris et al., 2009) used the same experimental setup described in the previous study with DW biofilms. The authors examined biofilms with two model particles recognized as 436 hard (polystyrene) and soft particles (E. coli) in order to investigate the distribution and 437 persistence of these allochthonous particles inoculated in DW flow chambers at various wall 438 shear rates (70 to 460 s<sup>-1</sup>) in biofilms with different ages (from 6 to 10 months old). The study 439 showed that biofilm age (e.g. bacterial biofilm density and properties) and convective-440 441 diffusion governed the particle accumulation: older biofilms and higher wall shear rates both increased the velocity and the amount of particle deposition on the DW biofilm. 442

443

# 444 2.8.2. *Reactors with glass beads*

Bauman et al. (2009) described another device, a reactor containing glass beads, where the 445 446 DW biofilms were developed and their ability to retain E. coli was analyzed. The study concluded that this engineered biofilm systems may be considered as a relevant device to 447 capture pathogens from the bulk flow for monitoring purposes. So, it can contribute to 448 improve the general insights into interactions between pathogens and DW biofilms. Codony 449 et al. (2005) and Morato et al. (2005) used a packed-bed biofilm reactor filled with glass 450 451 beads to monitor DW biofilm development by removing the biofilm attached to these beads 452 for off-line analysis. Lehtola et al. (2002) used a PVC chamber covered with aluminum foil containing PVC slides to study the effects of low concentration of phosphorus in biofilm 453

development. The results showed that the availability of phosphorus regulated not only thedevelopment rate of biofilms but also microbial numbers during steady-state.

456

457 *2.8.3. Chemostat* 

458 A two-stage chemostat model system was used to evaluate the persistence of *H. pylori* in DW biofilms (Gião et al., 2008). For biofilm formation, the chemostats were fed with filter-459 sterilized tap water at 50 mL.h<sup>-1</sup>. These vessels contained PVC coupons used to sample the 460 461 biofilm overtime. The influence of three parameters (low carbon concentrations, shear stress 462 and temperature) on the persistence and cultivability of *H. pylori* in DW biofilms was studied. The results shown that shear stress did not influence negatively the numbers of *H. pylori* cells 463 464 attached, suggesting that the autochthonous DW bacteria have an important role in retaining this pathogen in the sessile community. 465

466 Teng et al. (2008) used a simple system where coupons were submerged in a glass bottle fed with tap water. The cast iron coupons were removed at different times and after each sample 467 468 the water was displaced with new tap water or sterile tap water to simulate the intermittent 469 water flow environment in pipes. The aim of the study was to assess the effects of biofilms on cast iron pipe corrosion over time in DWDS, namely the characterization of corrosion 470 471 scales and DW biofilm community structure. The authors demonstrated that the biofilm can greatly affect element composition and crystalline phase of corrosion scales. Also, biofilms 472 accelerated corrosion in the first 7 d, but inhibited corrosion thereafter, which was due to the 473 474 changes in the biofilm microbial diversity (presence of iron bacteria and iron reducing 475 bacteria).

476 van der Kooij et al. (1995) designed a device based on two principles: the hydraulic477 conditions should resemble those in pipes of real systems, and should have a simple

construction and use. The device consisted in a vertically placed glass column, containing 40 478 479 glass or Teflon cylinders for cell adhesion. The water flowed downward through the column (4.6 L.min<sup>-1</sup>, 0.2 m.s<sup>-1</sup>) coming in contact with the inner and the outer surface of the cylinders. 480 With this system, the authors assessed the effects of support material, water type and nutrients 481 482 on the rate and extent of biomass accumulation. The results showed that the material type (glass and Teflon) and the cylinder position had minor or insignificant effects on biomass 483 accumulation. On the other hand, biofilm formation was strongly enhanced by low 484 concentrations of easily available substrates, such as acetate. 485

486

487 2.8.4. Glass ring column

A glass ring column device, similar to the flow cell system, was used to assess the influence 488 of biofilms on Fe and Mn deposition in DWDS (Ginige et al., 2011). The column was feed 489 with tap water inoculated with DW microorganisms, namely Pseudomonas fluorescens and 490 Spirillum spp. The reactor was allowed to operate continuously for 4.5 months at a flow rate 491 of 0.42 mL.min<sup>-1</sup> and a recirculation rate of 667, the reactor resembled a completely mixed 492 flow-through configuration. This study addressed the contribution of biofilms to discoloured 493 water incidents. Biofilms facilitated the deposition of Fe and Mn on pipe walls, an increase 494 495 in biofilm activity was associated with an increase in Fe and Mn accumulation. So, reducing biofilm accumulation should be considered along with other strategies, such as removal of 496 Fe and Mn via water treatment to better manage discoloured water events. 497

498

499 2.8.5. Pedersen device

500 The Pedersen device is used coupled to other bench top devices, as flow cells, and it was 501 used to study biofilms in flowing-water systems (Pedersen, 1982). To build this device,

microscope cover slips were fitted into acrylic plastic holders forming two parallel test piles, 502 503 each with room for 19 slips. The test piles were placed in flow cells, and in order to separate 504 the flow at the inlet of the reactor three diffusers with different hole patterns were used. These 505 diffusers were located in both sides of the reactor, being possible to change the flow direction. 506 Flow stabilizers, which were identical to test piles, were used to establish a laminar flow 507 between the slips. The sampling process in this device was done at fixed times taking out a desired number of slips for off-line analysis. Normally, one sample consisted of two slips, 508 509 one from each of the two parallel piles. The sampled slips were replaced with new ones in order to maintain the flow conditions (Pedersen, 1982). 510

511

#### 512 2.8.6. Loop with biofilm test-plug module

Boe-Hansen et al. (2003) developed a loop with biofilm test-plug module in order to simulate 513 514 DWDS conditions and to produce a large number of biofilm samples grown under 515 comparable conditions. This device was constituted by two identical loops connected in 516 series and in each loop there was an adjustable centrifugal pump to recycle the water. The 517 recycle-flow rate was controlled by a needle valve installed immediately downstream the pump. Both loops contained two strings of biofilm test-plug modules made from square 518 pipes, each string consisted of a row of 5 biofilm test-plug modules, each with 7 test-plugs. 519 520 To prevent turbulence induced by pumps, valves and bends and to stabilize the flow inside the modules, a 2 m square pipe was inserted just upstream of the test plug modules. This 521 522 construction should guarantee that the velocity distribution was identical from cross-section 523 to cross-section, and that all test plugs within a loop were exposed to identical hydraulic conditions. Using this device, Boe-Hansen et al. (2003) monitored biofilm formation and 524 525 activity in DWDS under oligotrophic conditions. The purpose of this study was to test 11

different microbial methods for monitoring biofilm in DW, at low nutrient conditions. The 526 527 methods used allowed biofilm characterization in terms of biomass quantification, metabolic activity measurement, structure visualization and microbial diversity profiling. The model 528 distribution system was continuously fed with DW from a municipal distribution network 529 530 (retention time 2 h, flow velocity  $0.07 \text{ m.s}^{-1}$ ). The model distribution system and the biofilm sampling modules used in this study provided an easy access to a large number of biofilm 531 samples. The system allowed biofilms to be grown under controlled conditions comparable 532 to those prevalent in the DWDS. The retention time, the flow rate and temperature were 533 independently controlled in the system, and furthermore it allowed chemicals or specific 534 535 microorganisms to be added.

536

### 537 **3.** *In situ* application devices

The *in situ* devices were developed to study and monitor DW biofilms in pilot and realDWDS. These devices are usually placed as a by-pass or directly connected to a DWDS.

540

# 541 **3.1. Robbins device**

The Robbins device is one of the mostly used to study biofilm behavior *in situ* in real and pilot scale DWDS. The Robbins device is a pipe with several threaded holes (Fig. 8). Some screws with coupons mounted on the front side are placed in these holes (Manz et al., 1993; Sly et al., 1990). The coupons are aligned parallel to the water flow and can be removed independently (Manz et al., 1993).

547 As referred previously, the Robbins device can be applied directly to real DWDS. Sly et al.

548 (1990) studied the manganese deposition in a DWDS in the Gold Coast (Australia). The

results showed that manganese (bulk concentration of  $0.05 \text{ mg.L}^{-1}$ ) deposition occurred by

chemical and microbial action, although the chemical deposition rate was much higher than microbial deposition. Manz et al. (1993) also used the Robbins device to test biofilm formation on glass slides in the Norrvatten (Sweden) DWDS at a distance of 30 km from the waterworks. These authors found that surface-attached cells are more active than free-living equivalents. Also, the authors found that microcolonies in very early stages of development consisted of mixed populations.

As the Robbins device is responsible for significant changes of the water flow on the slides, 556 557 several authors developed a modified Robbins device (MRD). Nickel et al. (1985) developed 558 a MRD to assess the degree of resistance of biofilm bacteria to antibiotics in catheter material. This new device consisted in a pipe with 25 spaced sampling ports attached to sampling plugs 559 560 flushed with the inner surface, without disturbing the flow characteristics. Kalmbach et al. (1997) used the MDR in a DWDS of Berlin (Germany) with a flow rate near of 6 L.h<sup>-1</sup> to 561 562 investigate the metabolic activity and the phylogenetic affiliation of single adherent bacteria 563 during colonization and biofilm formation in DW. The authors found that respiratory activity 564 of adherent bacteria decreased continuously during the early stages of biofilm formation. 565 Carter et al. (2000) used this device in the Milford (USA) DWDS using a flow rate near of 0.4 L.min<sup>-1</sup>. The main goal of this study was to identify relationships among heterotrophic 566 bacteria and standard physical and chemical water quality parameters. A relationship was 567 568 found particularly to cultivability counts on R2A medium. Silvestry-Rodriguez et al. (2008) also used this device to study biofilm control in an experimental plant using water from 569 Tucson (USA) DWDS, operating at 0.4 L.h<sup>-1</sup>. PVC and stainless steel were used as biofilm 570 formation substrate, however, no significant inactivation was observed on both surfaces 571 when treated with silver at 100  $\mu$ g.L<sup>-1</sup>. 572

Latter, Kerr et al. (2000) developed the newly modified Robbins device (nMRD) that 573 574 consisted in a MRD adapted to form two separate halves, being possible to take it apart and 575 to clean it. This new device was constructed from Perspex and the two separate halves were 576 held together by thirty screws, and the whole device had Perspex connectors at both ends to 577 which the tubes were attached. This study was performed in order to investigate the reproducibility of attachment and whether there was a statistical significant gradient of 578 adhesion along the 25 sampling ports of the nMRD. No significant difference occurred 579 580 between pairs of nMRDs that were run in parallel, however, there was a significant difference between different batches of bacteria. It also was observed that the position of the sample 581 582 disc influenced bacterial adhesion. Other variation of the Robbins device was presented by 583 Jass et al. (1995) that used a chemostat-coupled MRD. The association of a chemostat and a MRD provides a large number of sample surfaces for monitoring biofilm formation and 584 585 control over extended periods of time. These authors proposed that this device can be successfully used for studying bacterial adhesion and biofilm formation in tubular devices. 586

587

588 **3.2.** Pennine Water Group coupon

Recently, it was developed a new coupon sampling device for in situ studies, the Pennine 589 Water Group (PWG). This coupon can be inserted directly into the pipes of DWDS, 590 591 maintaining flow conditions representative these near wall pipe and enabling simultaneous quantitative and qualitative compositional characterization of *in situ* biofilms (Deines et al., 592 593 2010). This offers improvements over alternative sampling devices and the coupons are comprised of two parts, an "outer coupon" and an "insert" (Fig. 9). The outer coupon retains 594 the curvature of the pipe and fits precisely into a hole made in a removable and flanged 595 596 identical pipe section. The coupon is fixed with a gasket to a section pipe. The insert is

engineered flat to allow microscopic analysis and it fits inside of the outer coupon in a way 597 598 to allow the outer surface to be in direct contact with the water. This design has a maximum 599 deviation from curvature of 0.064 mm, in the order of magnitude of the surface roughness coefficient used in hydraulic models (Deines et al., 2010). It is an accurate device and allows 600 601 direct insertion and close alignment with the internal pipe surface, minimizing the distortion of boundary layer conditions that influence biofilm formation, such as boundary shear stress 602 and turbulent driven exchange with the bulk water body (Douterelo et al., 2013). This coupon 603 was used in a full-scale laboratory pipe loop. Deines et al. (2010) used a constant flow rate 604 of 0.4 L.s<sup>-1</sup> (boundary shear stress of 0.03 N.m<sup>-2</sup>) and it was observed an increase in bacterial 605 biofilm coverage of the coupon surface over time, as well as, the development of increasingly 606 complex biofilm communities. Douterelo et al. (2013) used PWG coupons to evaluate the 607 effect of different and variable flow rates (0.2 to 0.5 L.s<sup>-1</sup>; 0.2 to 0.8 L.s<sup>-1</sup> and 0.4 L.s<sup>-1</sup>) on 608 609 biofilm development and detachment from pipe walls. They concluded that different hydraulic regimes affect the composition and diversity of bacterial communities in biofilms. 610 611 However, the use of increasing flow rates did not completely remove bacteria from pipe 612 walls.

613

#### 614 **3.3. Bioprobe monitor**

The bioprobe monitor was specifically designed to study biofilm growth within a pipe system. LeChevallier et al. (1998) described a pilot-scale DWDS (1.3 km) that had an experimental test station with 24 m and contained three test sections. A bioprobe monitor was located at the beginning of each experimental section to monitor the environmental conditions and biofilm development. The bioprobe monitor consists of a pipe where it is inserted a coupon holder (denominated acetal) being the coupon surface flushed with the pipe wall (Fig. 10). LeChevallier et al. (1998) also used this device to study the effects of chlorine
and monochlorine on biofilm development at a water flow rate of 0.07 L.s<sup>-1</sup>. These authors
observed that the density of bacteria on the iron surfaces reached a maximum when the
temperatures were higher and when there was a total declination of chlorine residuals. Also,
they observed lower cell densities in the first section of the pilot-scale DWDS and this was
due to the fact that more chlorine reached this part of the system.

627

#### 628 **3.4.** Other *in situ* devices

Other devices were used for in situ DW biofilm studies. Juhna et al. (2007) used a biofilm 629 630 sampler that consists in a coupon holder inside of a pipe section. The authors used a total of 631 22 holders exposed to DW in a DWDS from Latvia and France to detect E. coli. This bacterium was found in 56% of the coupons using peptide nucleic acid fluorescent in situ 632 633 hybridization (PNA-FISH), however, it was not detected using culture-based or enzymatic methods. The presence and amount of E. coli detected was not correlated with any physical 634 and/or chemical characteristics of DW such as the temperature, chlorine or biodegradable 635 636 organic matter (BOM) concentration. Helmi et al. (2010) used a pilot device constituted by 5 PVC compartments comprising a holder with six removable discs allowing the study of the 637 effects of different surface materials on biofilm development. The device was connected to 638 the tap of a DWDS operating at a flow rate of 2 L.min<sup>-1</sup> in order to study the interaction 639 between virus and DW biofilms and to develop a method to detect viral particles in these 640 biofilms. Five protocols were used for viral recovery, testing different sonication intensities 641 642 (20% and 40% power intensity) and its combination with centrifugation (1500 g for 10 min) and with pH neutralization. The most efficient protocol, that combined all the steps, allowed 643 644 a recovery rate from 29.3% to 74.6% depending on the virus and on the material. The study

of viral interactions with DW biofilms allowed to conclude that viral adsorption to biofilms 645 646 depends on their isoelectric point, the disc material and the hydrodynamic conditions. For example, the viral adsorption to biofilms is less than 1% of the initial viral load when 647 hydrodynamic conditions similar to those existing in DWDS were applied. Prévost et al. 648 649 (1998) developed a study using a biofilm coupon device, known as the Prévost device. This device was installed on two DWDS of the city of Laval (Canada) and was used to remove 650 the biofilm samples from the DWDS. The authors installed diverse devices in valve chambers 651 and investigated the impact of nutrients levels and oxidant residual maintenance in the 652 biofilms formed in the DWDS. They found that a low nutrient concentration reduced 653 654 bacterial biomass. Nevertheless, the most significant differences were only observed in warm 655 water and not in cold water.

Another device is the sliding coupon holder, a pilot-scale device (Chang et al., 2003). This 656 657 device is a half PVC pipe where coupons are located, being easily removed and replaced after each experimental phase. Chang et al. (2003) used this device to determine the effects of 658 blending different water qualities on the final quality of the water in the distribution system. 659 660 The biofilm density was estimated on different pipe materials using a specific DNA-probe (BO-PRO<sup>TM</sup> 3). They concluded that this technique provided results that were correlated to 661 662 these obtained from heterotrophic plate counts on R2A medium, after biofilm scrapping. Therefore, the technique used allowed to quantify fixed biomass without disrupting the 663 biofilm. 664

Långmark et al. (2005) investigated the accumulation and fate of a model microbial pathogen in natural grown biofilms formed in a pilot-scale DWDS provided with chlorinated and UVtreated water. Two pilot-scale DWDS were used, comprising 1 km of polyethylene tubing that was connected directly to the finished water. The biofilm sample devices were chambers equipped with 20 exchangeable glass slides and were located at various distances along each
DWDS pilot scale, corresponding to different residence times (0.1, 15, 40 and 110 h) within
the main Stockholm DWDS. It was not found a significant impact of primary disinfection
processes on the accumulation and fate of pathogen models (*L. pneumophila* and
bacteriophages) within the DWDS.

674 Other devices were constructed to study microfungal behavior in DWDS. Sammon et al. (2011) investigated the microfungi colonization of hard surfaces within the storage and 675 distribution system by suspending artificial coupons within the water body of reservoirs. 676 Sammon et al. (2011) used glass, PVC and concrete coupons held in sets of custom-made 677 678 racks. These racks were designed to held one coupon vertical and apart from the other coupons, to ensure a free flow of water across both surfaces of all coupons. The racks were 679 placed in lidded plastic basket which was perforated on all sides, bottom and top. The basket 680 681 was attached to nylon ropes and a clay house brick was used to held the basket at 1.5 m from the bottom. This work allowed to conclude that airborne spores introduced into reservoirs 682 can be an important external source of microfungal propagules, however, it was also observed 683 684 that the microfungi were not involved in the primary colonization of surfaces. The results also suggested that any aggregation of soft sediment in the DWDS was a potential site for 685 the proliferation of the microfungal population. Siqueira et al. (2011, 2013) proposed the use 686 of a distinct device to investigate natural filamentous fungi biofilms in DWDS, the sampler 687 device. The core of the sampler device consists of hollow PVC pipes with polyethylene or 688 689 acetate coupons held in place to allow biofilm growth. The end of each sampler forms a screw 690 to connect multiple samplers or to close the device with a cap after coupon removal from the water network. These features facilitated insertion, handling and removal of each sampler 691 692 device after collection and preventing contact with external environment during the transport 693 process. Finally, the pipes could be filled with water in order to maintain moisture and 694 preserve the integrity of the biofilms formed on the coupons. Siqueira et al. (2013) used this 695 device in a DWDS at Recife (Brazil), concluding that this device is useful to study DW 696 biofilms and that Calcofluor White (CW) staining is a rapid and efficient method to detect 697 filamentous fungi, allowing its differentiation by morphology. This study also demonstrated 698 that fungi are likely to play an important role in DWDS biofilms and final water quality.

699

The main advantages and limitations of the main devices described previously are synthesized in Table 2. These are mostly related with the ability to study and control the hydrodynamic conditions, with the sampling process, the temperature control, the possibility to use different surface material, and the possibility to operate under conditions similar to the real systems.

705

# **4. Main applications of reactors in DW biofilms studies**

In general, the main applications of several described reactors in studies of DW biofilms are monitoring the biofilm formation with different operational conditions (support material, hydrodynamics, temperature, nutrients, type of microorganisms, disinfectants) and biofilm control by different strategies (process conditions and disinfection). Table 3 synthesizes some of the studies on DW biofilms using reactors, making reference to the main process conditions and microorganisms used.

713

#### 714 **4.1. Biofilm control**

Several strategies can be used to attempt biofilm prevention and control in DWDS. The pretreatment of water, before being released into the DWDS is an important preventive measure

717 and usually consists in the minimization of the organic matter and nutrients concentration 718 entering the distribution system. The material selection to apply in the DWDS pipes and 719 fittings is also important to control biofilm development. The use of antimicrobial 720 compounds is common, being important to maintain a residual concentration of disinfectant 721 inside the DWDS. Simões and Simões (2013) described usual and new techniques used to prevent and control biofilm formation in DW. Nonetheless, biofilm control by manipulating 722 the operation conditions (temperature, flow rate and shear stress, presence of nutrients, 723 724 material selection) is also a matter of study (Ndiongue et al., 2005; Rickard et al., 2004; Simões et al., 2006; Torvinen et al., 2007). 725

726

#### 727 4.1.1. Management of operational conditions

To control biofilm development it is important to understand how its development happens 728 729 and the role played by the operational conditions (Douterelo et al., 2013; Lehtola et al., 2007; Pintar and Slawson, 2003; Simões et al., 2006; Torvinen et al., 2007; Volk and LeChevallier, 730 731 1999). Ollos et al. (2003) evaluated the influence of several factors (BOM concentration, 732 monochloramine and chlorine disinfection, flow velocity, pipe material and temperature) on biofilm development using as DWDS model an annular reactor. Under the conditions studied, 733 734 the disinfectant residual was the most important factor for biofilm accumulation. In the 735 absence of BOM, temperature seemed to have no effect, whereas shear stress seemed to be important. In the presence of BOM, temperature was important at low shear stress, although 736 737 shear stress conditions themselves had little effect. The condition leading to the strongest 738 biofilm accumulation was a high level of BOM combined with the absence of a disinfectant. The temperature effect was studied by Ndiongue et al. (2005) and Pintar and Slawson (2003) 739 740 using an annular reactor, as previously referred. Torvinen et al. (2007), as already said, used a Propella<sup>®</sup> reactor to assess the effects of different temperatures on biofilm growth, but also
studied the influence of flow velocity and phosphorous concentration.

The effect of hydrodynamic conditions was investigated in biofilm growth using diverse reactors. The flow cell system is one of the systems used to achieve this goal (Manuel et al., 2010; Simões et al., 2006), as well as the Propella<sup>®</sup> reactor (Lehtola et al., 2007). CCR and RDR allowed the evaluation of the effect of different shear stresses on biofilm development (Abe et al., 2012; Rickard et al., 2004). The *in situ* devices also can be used to study the hydrodynamic effects on biofilm development, simulating a flushing situation, as did by Douterelo et al. (2013) using the PWG coupon, as previously referred.

Another important aspect that can help to control biofilm development is the type of surface 750 751 material. The annular reactor was expressively used with this aim. Zhou et al. (2009) used this device to study the effects of surface material (SS and copper - Cu) on disinfection by 752 753 chlorine and chloramines. The results showed that biofilm formation was affected either by 754 the type of disinfectant as well as by the type of pipe material. Chloramines were more effective than chlorine in controlling biofilms formed on both SS and Cu surfaces. The tested 755 756 pipe materials did affect bacterial accumulation when chlorine and chloramines were present. There were fewer bacteria attached to Cu slides with chloramines or chlorine disinfection 757 when compared with SS. The combination of Cu pipes and chloramines as the disinfectant 758 759 was the most efficient combination to get low biofilm accumulation. Jang et al. (2011) did a 760 similar study comparing the influence of steel, SS, Cu and PVC on biofilm formation and water quality. An annular reactor with coupons of these materials was operated under 761 hydraulic conditions similar to a real plumbing system (50 rpm, 0.25 N.m<sup>-2</sup>, approx. 0.3 ms<sup>-</sup> 762 <sup>1</sup>), at a flow rate of 170 mL.min<sup>-1</sup> for 15 months. The results showed that biofilm formation 763 764 and water quality were substantially affected by the pipe materials. The bacterial

concentration and species diversity in the biofilms increased with the corrosion of the pipe.
The bacterial accumulation was 100 times higher on steel pipe than on the other pipe
materials. SS demonstrated to be the best material among those tested, with the lower levels
of attached cells.

769 The control of nutrients in water can be used to mitigate biofilm formation. In order to 770 ascertain the influence of this parameter in biofilm formation, some authors used pretreatment strategies to remove the nutrients of real tap water, while others used synthetic 771 772 water with different nutrient concentrations. Reverse osmoses (RO) is one of the methods used and can improve the water quality by reducing organic, inorganic and bacterial contents 773 774 (Simões and Simões, 2013). Park and Hu (2010) compared biofilm growth in a CDC reactor 775 fed with real tap water and fed with tap water previously treated through RO. The Propella® reactor was used to prevent biofilm formation by controlling phosphorus concentration 776 (Rubulis and Juhna, 2007). The annular reactor was also used to study the influence of 777 778 nutrients in biofilm growth. Chandy and Angles (2001) and Fang et al. (2010) used this 779 device to determine the impact of nutrient limitation on biofilm growth and disinfectant 780 decay. The first study found that biofilm development was limited by organic carbon and that biofilm development promoted chloramine decay. The removal of nutrients resulted in stable 781 782 chlorine persistence, which led to higher biofilm control. The authors proposed that the 783 treatment and operational management strategies should incorporate organic carbon removal to limit biofilm development through a combination of retarding bacterial growth and 784 785 enhancing disinfectant persistence. Fang et al. (2010) developed DW biofilms in this device 786 to examine the effects of phosphorus on disinfection with free chlorine and monochloramine. The disinfection efficacy was increased by phosphorus addition. The presence of phosphorus 787 788 was found to increase the biofilm cell numbers but decreased EPS production. At the same disinfection dosages, monochloramine showed greater biofilm removal efficiency than free
chlorine. These authors proposed that monochloramine could be a better choice than free
chlorine in DW biofilm disinfection, when phosphorus is added as the corrosion inhibitor.

792

#### 793 *4.1.2. Disinfection strategies*

Even if chlorine is the chemical agent most widely used for DW disinfection, studies are still 794 795 performed to optimize disinfection strategies and to find alternative solutions. The annular 796 reactor was used in several studies to evaluate different control strategies and the frequently 797 tested disinfectants were those chlorine-based, as free chlorine, monochloramine and 798 chlorine dioxide (Batté et al., 2003b; Chang and Craik, 2012; Dykstra et al., 2007; Gagnon 799 et al., 2004; Murphy et al., 2008; Pintar and Slawson, 2003; Rand et al., 2007). However, 800 other strategies were tested, including ozone (Chang and Craik, 2012), the combination of 801 UV treatment with free chlorine, monochloramine and chlorine dioxide (Dykstra et al., 2007; 802 Murphy et al., 2008; Rand et al., 2007). Fenton reaction was tested in a Propella<sup>®</sup> reactor by 803 Gosselin et al. (2013). Morrow et al. (2008) and Hosni et al. (2011) used a CDC reactor to developed disinfection strategies also based in chlorine derivative disinfectants and UV 804 805 treatment. Armbruster et al. (2012) considered that the comprehension of the extent of 806 interaction between opportunistic pathogens with biofilms is needed to understand their role in DWDS. These authors used a CDC reactor to develop a multispecies biofilm and tested 807 the disinfection efficiency of monochloramine. Silvestry-Rodriguez et al. (2008) studied the 808 effect of silver on biofilm disinfection using a MRD, as previously referred. 809

## 811 **4.2. Biofilm monitoring**

Another strategy to understand biofilm formation and behavior is by their monitoring. The best devices for biofilm monitoring are those that have removable coupons, allowing the assessment of the gradual biofilm development and the changes during all formation stages. The use of appropriate coupons is also an important issue because the monitoring of the heterogeneous distribution of the biofilm over the surface area of the reactors is difficult due to the size and the shape of the surface (Okabe et al., 1995).

Simões et al. (2006) used a flow cell system to monitor biofilm development under different operational conditions (shear stress, support material and nutrients). Torvinen et al. (2007) used the Propella<sup>®</sup> reactor to follow the influence of flow velocity, phosphorus concentration and temperature on the survival of *M. avium* in biofilms. A similar application of Propella<sup>®</sup> reactor was done by Lehtola et al. (2006). Manuel et al. (2007) used both previously described reactors to monitor and evaluate how the dynamic conditions affected the stability of biofilms.

The RDR was used to monitor *L. pneumophila* survival on biofilms during 15 days (Murga et al., 2001). Pelleïeux et al. (2012) used a similar device to monitor the accumulation of enteric viruses on surfaces within a DWDS.

The annular reactor is often used to monitor DW biofilm development (Bachmann and Edyvean, 2006; Schaule et al., 2007; Zhang et al., 2010). Zhang et al. (2010) monitored the presence of heterotrophic and ammonia oxidizing bacteria (AOB) in biofilms to determine the potential relationship between the abundance of heterotrophic bacteria and of AOB, using an annular reactor. Bachmann and Edyvean (2006) used this device to study biofilm development of *Aquabacterium commune* on SS. Schaule et al. (2007) used an annular reactor linked to three sensors to gather information on the biofilm cell density. Even if this device allows online monitoring, the existence of coupons is essential for microbiologicalcharacterization.

The use of biofilm-forming devices as a by-pass or directly connected to a DWDS has been a commonly used strategy to allow a more efficient monitoring of biofilm formation in pilot and real systems (Hallam et al., 2001). Sly et al. (1990) used the Robbins device to monitor the deposition of manganese in the presence of biofilms. Silvestry-Rodriguez et al. (2008) used the same device to monitor the effects of silver in biofilm control using tap water in an experimental plant.

B43 Deines et al. (2010) and Douterelo et al. (2013) used the PWG device to study the diversity
of biofilm communities within DWDS, as previously referred.

845

#### 846 **5.** Quantification of biofilms in DWDS models

847 All the biofilm studies require the definition of an appropriate method to quantify biofilm formation and to provide information on its characteristics, particularly for the resident 848 849 population. Biofilms can be quantified through the increase of biological activity or by the 850 number of cells (Liu et al., 2013). Apart from the quantification of cell numbers, it is also 851 important to obtain information on other biofilm constituents, particularly the EPS. Most of 852 these methods require the biofilm scraping from the substratum and its dispersion in an adequate solution, generally saline water (Fang et al., 2009; 2010; Manuel et al., 2007; Park 853 et al., 2012; Silvestry-Rodriguez et al., 2008; Zhou et al., 2009) or an appropriate buffer 854 855 (Chang and Craik, 2012; Jang et al., 2012). Moreover, to achieve an efficient biofilm 856 dispersion in the selected solution it is necessary to use some physical treatment as vortex and/or ultrasonication (Chang and Craik, 2012; Fang et al., 2009; 2010; Jang et al., 2012; 857 Manuel et al., 2007; Park et al., 2012; Silvestry-Rodriguez et al., 2008; Zhou et al., 2009). 858

The exceptions to the scraping requirement are some microscopic methods, as atomic force 859 860 microscopy (AFM), scanning electron microscopy and confocal scanning laser microscopy 861 (CSLM), which can allow a direct analysis of biofilm adhered to a surface, if the sampling 862 coupons are flat (Abe et al., 2012; Fang et al., 2010; Jungfer et al., 2013; Ling and Liu, 2013; 863 Mathieu et al., 2014). However, even if the direct microscopic analysis of coupon surfaces is important to provide information on the biofilm structure, these methods cannot determine 864 all relevant aspects involving the biofilm formation process. Therefore, the combination of 865 866 information from different methods will provide a more detailed picture on DW biofilm 867 formation and composition.

868

#### 869 **5.1.** Cell enumeration

The biofilm quantification through cell enumeration is the mostly used method. The biofilm development and dynamics is commonly monitored through the enumeration of cultivable, metabolic active, viable and/or total cells (Chang and Craik, 2012; Fang et al., 2009; 2010; Gagnon et al., 2005; Jang et al., 2012; Jungfer et al., 2013; Manuel et al., 2007; Park et al., 2012; Silvestry-Rodriguez et al., 2008).

Heterotrophic plate count (HPC) methods are often used to assed the numbers of cultivable
bacteria, usually described in terms of colony forming units (CFU) per unit of surface area.
These methods only enumerate a fraction of heterotrophic bacteria on an agar-based medium
under defined incubation temperature and time. To quantify the HPC, it is necessary to scrap
the biofilm from the reactor/coupon surface and dilute it to an adequate concentration, before
plating. This is a method often used to evaluate biofilm cell numbers in several DWDS
models, as the annular reactor (Batté et al., 2003a; Gagnon et al., 2005; Zhou et al., 2009),

CDC reactor (Park et al., 2012), flow cell, Propella® reactor (Manuel et al., 2007) and the
MRD (Silvestry-Rodriguez et al., 2008).

The microbial metabolic active and total cell numbers are usually assessed through 884 885 microscopic analysis after a staining process and the results are usually represented in terms 886 of numbers of cells per unit of surface area. 4', 6 - diamidino - 2 - phenylindole (DAPI) or acridine orange are common dyes used for total cell counts (Percival et al., 1998; 1999; Boe-887 Hansen et al., 2002; Batté et al., 2003a; Gagnon et al., 2005; Juhna et al., 2007; Manuel et 888 al., 2007; Park et al., 2012). DAPI is a fluorescent stain that binds to A-T rich regions in 889 DNA fluorescing blue, and since it is able to pass through the cell membrane it stains both 890 891 live and dead cells. Acridine orange is a cell-permeable fluorescent stain that interacts with 892 RNA and DNA fluorescing green to red, providing information on the numbers of total and viable cells (Yu et al., 1995). The BacLight Live/Dead (L/D) stains provide a bacterial 893 viability kit that allows the assessment of both viable and total bacterial cell counts. This kit 894 is composed of two nucleic acid binding stains: SYTO 9 and propidium iodide (PI). SYTO 895 9 penetrates all bacterial membranes and stains the cells green, while PI only penetrates cells 896 897 with damaged membranes, and the combination of the two stains produces red fluorescing cells (Simões and Simões, 2013). These stains interact with all the existing biofilm bacteria 898 and their quantification is processed by epiflourescence microscopy. Metabolic active 899 bacteria are usually assessed after being stained by the redox dye 5 - cyano-2, 3-ditolyl 900 tetrazolium chloride (CTC) which produces a fluorescent precipitate when it is intracellularly 901 reduced by respiring bacteria (Jungfer et al., 2013; Sierack et al., 1999). This method was 902 used by Gagnon et al. (2005) in an annular reactor, by Manuel et al. (2007) in the Propella® 903 reactor and in the flow cell system, and by Boe-Hansen et al. (2002) in its loop with biofilm 904 905 test-plug module.

FISH is a procedure used to identify and quantify certain bacteria species within the biofilm
community. It consists in the use of fluorescent probes that bind specifically to a nucleic acid
sequence. It was used by Park et al. (2012) to investigate the presence of a bacterial species
within a biofilm formed in a CDC reactor.

910

# 911 **5.2. EPS quantification**

EPS have a determinant role in biofilm formation and physical stability. They are composed 912 913 of a variety of organic substances and carbohydrates are its predominant constituents, whereas proteins also exist in substantial quantities. Therefore, the EPS quantification 914 915 methods are usually based on the analysis of carbohydrates and proteins. However, the 916 reliability of the analysis is strongly dependent on the extraction methods used to separate 917 the EPS from the biofilm cells (Wingender et al., 1999). To quantify the carbohydrates it is 918 often used the modified phenol-sulfuric acid method (Chandy and Angles, 2001; Fang et al., 919 2010; Percival et al., 1998; 1999). The carbohydrates are broken down by the concentrated 920 sulfuric acid to monosaccharides. Pentoses are then hydrated to furfural and hexoses to 921 hydroxymethyl furfural. These compounds react with phenol and produce a yellow-gold 922 color with a maximum absorption at 490 nm (Dubois et al., 1956).

For proteins quantification Chandy and Angels (2001) quantified EPS proteins with a protein
dye (Comassie Brilliant Blue). This dye is able to combine with proteins and their amount
can be determined spectrophometrically at 595 nm.

926

#### 927 **5.3.** Microscopic analysis

928 Some microscopic analyses are non-destructive, which means that it allows the direct929 observation of biofilms without a scraping step. These methods can be advantageous since

the possibility of biofilm loss in the scraping process does not exist, but also it allows thestudy of the entire biofilm structure.

932 AFM is one of these methods and it provides topographic images from the micro- to the 933 nano-scale, providing qualitative and quantitative information on the physico-chemical 934 properties of biofilm-substratum interactions (Beech et al., 2002). Abe et al. (2012) and 935 Mathieu et al. (2014) used this method to study the biofilm behavior in a RDR. Abe et al. (2012) applied AFM techniques, as nano-indentation and chemical force spectrometry, in 936 937 order to investigate the physico-chemical properties at different formation steps and ages of DW biofilms. The nano-indentation experiments were used in order to investigate the 938 939 possible presence of macromolecules within a conditioning layer and its contour lengths 940 (maximal extension length of a polymer chain). Chemical force spectrometry was used to assess the substratum and biofilm hydrophobicity. Mathieu et al. (2014) also used AFM to 941 942 study biofilm cohesiveness through the evaluation of the volume of clusters. To achieve this goal, the surface area of each biofilm aggregate found on the scanned region was analyzed 943 through the corresponding AFM height image. These images were adjusted and treated with 944 945 a procedure scripted in MATLAB. The program returns the number of biofilm aggregates present in the scanned region, and the surface area and volume of each aggregate. 946

Another non-destructive microscopic technique is the CSLM. This is a high-technology epifluorescence microscope that creates a thin plane-of-focus, in which out-of-focus light is eliminated (Palmer and Sternberg, 1999). It was used in several works to study DWDS biofilm formation (Fang et al., 2009) and its behavior to disinfectant action (Fang et al., 2010; Ling and Liu, 2013), where annular reactors and CDC reactors were used as DWDS models. The CSLM allows analyzing the biovolume (spatial size) and the average thickness of biofilms. These both parameters indicate the biofilm amount (Fang et al., 2010; Ling and Liu, 2013). However, to assess these values, the use of fluorescence dyes is essential as the combination of SYTO 9 and propidium iodine to stain cells (The *Bac*Light viability kit) and lectin probes to visualize the biofilm EPS (Fang et al., 2009, 2010; Ling and Liu, 2013).

957

# 958 **5.4. Other quantification methods**

959 The adenosine triphosphate (ATP) assay is a rapid approach with low detection limits (as low 960 as 0.0001 nM, < 5% deviation) for the indirect assessment of the number of viable cells (Liu 961 et al., 2013). ATP is converted to a luminescent signal (light) in the presence of a combination 962 of a substrate and an enzyme, luciferin and luciferase, respectively. This reaction is called 963 the luciferase reaction in which the mono-oxygenation of luciferin is catalyzed by luciferase in the presence of  $Mg^{2+}$ , ATP, and molecular oxygen. The amount of luminescent signal 964 produced is proportional to the amount of ATP present which corresponds to the number of 965 966 viable cells (Wadhawan et al., 2010). Boe-Hansen et al. (2002) used this technique in the 967 developed loop with biofilm test-plug. This technique was used in DW biofilms to estimate 968 the size and activity of the microbial community. These authors used another method to 969 assess the biofilm formation. It consists in the incorporation of leucine to estimate the protein synthesis rate as a measure of the bacterial growth, after a biofilm dispersion step. Leucine 970 was radioactively labeled and its incorporation was measured by scintillation. 971

Batté et al. (2003a) used an annular reactor to formed DWDS biofilms and estimated the impact of phosphate-based corrosion inhibitors and the age of biofilm on bacterial cell density using a potential exoproteolytic activity (PEPA) method. This method is used to assess the potential of bacterial cells to hydrolyze proteinic organic matter. It consists in the addition of L-Leucine- $\beta$ -Naphthylamide (LL $\beta$ N) to the biofilm suspension. LL $\beta$ N is then hydrolyzed by bacteria and produces  $\beta$ -Naphthylamide ( $\beta$ N) whose fluorescence is measured

978 at 410 nm excitation and 340 nm emission wavelengths. The production rate of  $\beta$ N allows 979 the estimation of bacterial biomass, since there is a linear relationship between both aspects 980 (Batté et al. 2003a).

981

## 982 **6.** Conclusions

The development of devices to study DW biofilms aims to mimic real DWDS in order to 983 gather results that can be transposed to reality. The use of an appropriate device is an 984 985 important factor to obtain reproducible and reliable results and should be selected taking into account the goals of the study. While some of the reactors described in this study are mostly 986 987 used for lab-scale experiments, other reactors are used in real DWDS or under process conditions similar to those found in DWDS. The application of these devices is diverse, going 988 from studies on biofilm formation, monitoring and behavior to studies on biofilm population 989 dynamics and their control from the DWDS. Even if the amount of information on DW 990 991 biofilms is significant, the dispersal on the experimental process (hydrodynamics, 992 presence/absence of nutrients, presence/absence of disinfectants, type of disinfectants; type 993 of surface material), environmental (temperature, water characteristics) and biological (type of microorganism, single species or mixed species) conditions used do not allow the selection 994 of a best reactor to study DW biofilms. The advantages and limitations should be evaluated 995 996 a priori in order to choose an adequate device to obtain reproducible results that can be transposed to the reality of the DWDS. 997

998

#### 999 Acknowledgements

1000 This work was supported by the Operational Programme for Competitiveness Factors-1001 COMPETE and by FCT-the Portuguese Foundation for Science and Technology through

1002 Project Bioresist-PTDC/EBB-EBI/105085/2008 and the post-doctoral grant

1003 SFRH/BPD/81982/2011 (Lúcia C. Simões).

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**Figures and Tables** 



Fig. 1 - Biofilm formation, detachment and recolonization in DWDS. (a) Attachment,
(b) initiation, formation of colonies, starting of EPS production, (c) biofilm maturation,

1338 (d) biofilm dissolution, (e) biofilm recolonization of DWDS pipes influenced by the



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Fig. 2 - Annular reactor, cross-sectional view. The operational mode (batch or continuous) can be controlled by the pumps; the shear stress is controlled by the rotation of the inner cylinder.



Fig. 3 - Cross- sectional view of the concentric cylinder reactor (CCR), four rotating cylinders interlocked within the four collecting stationary cylinder chambers. a,b,c,d are the inlet ports to fed the medium or water corresponding to the same chambers; a',b',c',d' are the sampling ports in each chamber.



Fig. 4 - Scheme of the flow cell system. The fed can be provided from tap or from reservoirs, the biofilm is formed on the removable coupons and the flow is controlled

1357 by external pumps.



Fig. 5 - Propella<sup>®</sup> reactor, cross-sectional view. Flow direction is represented by the
arrows.



1366 Fig. 6 – (A) Rotating disc reactor (RDR), the inlet and outlet of fluid can be controlled





- 1371 Fig. 7 Center for disease control (CDC) biofilm reactor, cross-sectional view. The
- 1372 flow is controlled by external pumps.



1376 Fig. 8 – Robbins device. (A) Longitudinal section; (B) Cross-sectional view.



1380 Fig. 9 – Pennine water group (PWG) coupon. (A) The outer coupon, where is located

1381 the insert; (B) Insert; (C) Pipe with the appropriate hole to locate the outer coupon with

1382 the insert fixed with a gasket.



1385 Fig. 10 - Scheme of the bioprobe monitor with a coupon insertion in the pipe.

Reactor	Equations	Assumptions/observations	References
Annular reactor	$Re = \frac{N.D_h^2.\rho}{\mu}; D_h = D_o - D_i$ $f = \frac{0.0791}{Re^{0.25}}$ $\gamma = \frac{f\rho v^2}{2}$	<ul> <li>Gross simplification</li> <li>The expressions used are common to closed pipe flow</li> </ul>	Altman et al. (2009)
CCR	$Re = \frac{N.D_h^2.\rho}{\mu}; D_h = D_o - D_i$ $f = 0.158Re_A^{-0.3}$ $\gamma = \frac{f\rho v^2}{2}$	- Fanning factor is adjusted to rotating cylinders (Nesic et al., 1997) but it still is a gross approach	-
Flow cell reactor; <i>In situ</i> devices	$Re = \frac{\rho.\overline{v.D_h}}{\mu};$ $D_h = \frac{\pi.D}{2+\pi} \text{ to a semicircular duct}$ $D_h = \frac{2ab}{a+b} \text{ to a rectangular duct}$ $f = \frac{0.0791}{Re^{0.25}}$ $\gamma = \frac{f\rho v^2}{2}$	-Flow cell: It is used the expression of Fanning factor from circular pipes; - The flow is not changed by the coupons	Teodósio et al. (2012)
Propella <sup>®</sup> reactor	$Re = \frac{\rho.v.D_h}{\mu}; D_h = D_o - D_i$ $f = \frac{0.0791}{Re^{0.25}}$ $\gamma = \frac{f\rho v^2}{2}$	- The flow was not changed by the coupons	
RDR	$\gamma = 0.729 r \sqrt{\frac{N^3}{\delta}}$	- From Navier–Stokes equations as described Schlichting (1955)	<u>Pelleïeux</u> et al. (2012)
CDC reactor	$Re = \frac{N.\alpha.R_o^2.\rho}{\mu}$ $Re_{trans.} = \frac{41.3}{(1-\alpha)^{1.5}}$ $f_{turb.} = \frac{0.0791}{Re^{0.25}}$ $f_{lam.} = \frac{16}{Re}$ $\gamma = \frac{f.\rho.N^2.R_i.R_o}{2}$	<ul> <li>Reactor is modeled by two concentric cylinders</li> <li>Reynolds equation described by Characklis and Marshall (1990) to concentric cylinders.</li> </ul>	Goeres (2006)

Table 1- Fluid dynamic equations for DWDS model reactors.

a and b - dimensions of the rectangular flow section; D - diameter of the semicircular flow section; D<sub>h</sub>- hydraulic diameter; D<sub>o</sub> - outer diameter; D<sub>i</sub> - inner diameter; f - Fanning friction factor; N - rotating speed; R<sub>o</sub> - outer radius; R<sub>i</sub> - inner radius;  $\nu$  - fluid velocity;  $\alpha$  - ratio of inner to outer cylinder;  $\rho$  - fluid density;  $\mu$  - dynamic viscosity;  $\delta$  – kinematic viscosity;  $\gamma$  - shear stress.

# Table 2 - Main advantages and limitations of some of the presented devices.

Reactors	Advantages	Limitations
Annular reactor	Allows the study of different materials at the same time; interesting to assess the role of hydrodynamic conditions on biofilms; high surface area; easy sampling process; shear stress control independent from the fluid flow	The coupons can change the flow patterns; non-ideal mixing; non-uniform biofilm formation
CCR	Interesting to assess the role of hydrodynamic conditions on biofilms; allows testing different shear stress conditions at the same time; allows periodical sampling	Only one surface material can be tested <i>per</i> experiment; lack of sufficient sampling surface area; difficult sampling process
Flow cell reactor	Flow conditions similar to DWDS; independent sampling at the desired time without changing or stopping the flow; allows the study of different materials at the same time; easy to control environmental conditions	Flow changed by the coupons; biofilms are formed on a flat surface; lack of sufficient sampling surface area
Propella®	Easy control of the flow conditions; residence time controlled independently from the flowing process; flow conditions very similar to DWDS; allows the simultaneous study of different materials; allows periodical sampling	Changes in the flow caused by coupons; lack of sufficient sampling surface area
RDR	Possibility to study different materials; easy to control of operational conditions; allows testing different shear stresses simultaneously	The flow changes in the boundaries of the coupons; the biofilm is formed on a flat surface; lack of sufficient sampling surface area
CDC biofilm reactor	Allows the study of different materials simultaneously; easy control of hydrodynamic conditions	The surface where biofilms are formed is flat; difficult control of the shear stress; changes of the flow pattern in the boundaries of the coupons; lack of sufficient sampling surface area
Microtiter plates	Needs small space; high-throughput analysis, easy to control environmental conditions; non-invasive analysis of cell adhesion and biofilm formation	Low similarity to DWDS; batch system; unable to study high shear stress conditions; volume limitations
Robbins device	Can be applied to real DWDS with operational conditions very similar to reality; allows the study of different materials simultaneously	The flow characteristics are changed with the presence of the coupons; the operational conditions cannot be effectively controlled when used in real DWDS; lack of sufficient sampling surface area
MRD	Can be applied to real DWDS with operational conditions very similar to the reality; minimizes the changes in flow in the boundaries of coupons; allows the study of different materials simultaneously	Limitations in the control of operational conditions; lack of sufficient sampling surface area
PWG coupon	Useful to be used at pilot-scale DWDS; do not change the flow conditions, curved structure as the DWDS pipes; lack of sufficient sampling surface area; allows the study of different materials	Limitations in the control of operational conditions; lack of sufficient sampling surface area
Bioprobe monitor	Allows to assess biofilm development <i>in situ</i> ; changes in water flow are minimized; allows the study of different materials	Limitation in the control of operational conditions; limited available information; lack of sufficient sampling surface area

Deceter		Facto	rs			Deferment	
Keactor	Material	Hydrodynamics	Temperature	Nutrients	Disinfectants	Microorganisms	Kelerences
Annular reactor	Mild steel	Rotation speed: 60 rpm	10 °C	-	Chlorine (1.3 mg.L <sup>-1</sup> )	Tap water microorganisms	Volk and Le Chevallier (1999)
Annular reactor	Polycarbonate	Residence time: 4.3 h; Flow rate: 3 mL.min <sup>-1</sup>	25 °C	Potassium, phosphate and sodium acetate	Chloramine (0.70 to $1.4 \text{ mg.L}^{-1}$ )	Tap water microorganisms	Chandy and Angles (2001)
Annular reactor	Polycarbonate	Rotation speed: 40 rpm	-	Carbon stock solution (0.235 mg.L <sup>-1</sup> ); phosphate addition (0.5 mg P. L <sup>-1</sup> )	Chlorine and monochlorine (0.6 to 0.9 mg. L <sup>-1</sup> )	Tap water microorganisms	Batté et al. (2003b)
Annular reactor	PVC	Shear stress: 0.25 N/m <sup>2</sup>	6, 12 and 22 °C	Sodium acetate, sodium nitrate and potassium di- hydrogen phosphate	Chloramine $(0.2-0.6 \text{ mg.L}^{-1} \text{ and } 0.05-0.1 \text{ mg.L}^{-1})$ , chlorine (residual concentration)	Ammonia-oxidizing bacteria	Pintar and Slawson (2003)
Annular reactor	Cast iron and polycarbonate	Shear stress: 0.25 N.m <sup>-2</sup>	20 °C	-	Chlorite (0.1 and 0.25 mg.L <sup>-1</sup> ) and chlorine dioxide (0.25 and 0.5 mg. L <sup>-1</sup> )	Tap water microorganisms	Gagnon et al. (2004)
Annular reactor	Cast iron and polycarbonate	Shear stress: 0.25 N.m <sup>-2</sup>	20 °C	Nitrate, phosphate and biodegradable organic carbon	Free chlorine (0.5 to 1.0 mg. $L^{-1}$ ), chlorine dioxide (0.25-0.5 mg. $L^{-1}$ ) and chloramines (1 to 2 mg. $L^{-1}$ )	Tap water microorganisms	Gagnon et al. (2005)
Annular reactor	PVC	Rotation speed: 92 rpm	6, 12 and 18 °C	Carbon (0 and 250 µg C/L)	Chlorine (0.05 to 0.23 mg. L <sup>-1</sup> )	Tap water microorganisms	Ndiongue et al. (2005)

# Table 3 - Overview of DW biofilm studies the main operational conditions and microorganisms used.

Depator	Factors				Disinfostonts	Mionoongonigma	Deferences
Reactor	Material	Hydrodynamics	Temperature	Nutrients	- Disinfectants	Microorganisins	Kelerences
Annular reactor	SS and medium- density polyethylene (MDPE)	Rotation speed: 150 rpm	15 °C	-	-	Aquabacterium commune	Bachmann and Edyvean (2006)
Annular reactor	Polycarbonate	Shear stress: 0.25 N.m <sup>-2</sup>	20 °C	Ethyl alcohol, propionaldehyde, oxalate, pyruvate, and acetate	UV radiation (45 mJ.cm <sup>-2</sup> ), free chlorine (0.5 and 1.0 mg.L <sup>-1</sup> ), chlorine dioxide (0.25 and 0.5 mg.L <sup>-1</sup> ) and monochloramine (1.0 and 2.0 mg.L <sup>-1</sup> )	Tap water microorganisms	Dykstra et al. (2007)
Annular reactor	Polycarbonate	Shear stress: 0.68 N.m <sup>-2</sup>	24 °C	-	UV radiation, chlorine (0.20 mg.L <sup>-</sup> <sup>1</sup> ) and chlorine dioxide	Tap water microorganisms	Rand et al. (2007)
Annular reactor	Polycarbonate	Rotation speed: 100 rpm	21-23 °C	-	Chlorine (0.6 to 1.0 mg. $L^{-1}$ )	Klebsiella pneumoniae	Szabo et al. (2007)
Annular reactor	Teflon	-	-	1-3 mg.L <sup>-1</sup> organic carbon	-	Tap water microorganisms	Schaule et al. (2007)
Annular reactor	Polycarbonate	Re number: 217	10 °C	-	-	Cryptosporidium parvum, Giardia lamblia, Vaccinal Poliovirus Type 1, and Bacteriophages $\varphi X174$ and MS2	Helmi et al. (2010)
Annular reactor	Polycarbonate and cast iron	Shear stress: 0.25 N.m <sup>-2</sup>	20 °C	-	UV radiation (16 mJ.cm <sup>-2</sup> ), chlorine (0.2 and 1.0 mg.L <sup>-1</sup> ), chlorine dioxide (0.2 and 1.0 mg.L <sup>-1</sup> ) and monochloramine (1.0 and 2.0 mg.L <sup>-1</sup> )	Escherichia coli	Murphy et al. (2008)

Depator	Factors				Disinfoctants	Mionoongonigma	Defenences
Keactor	Material	Hydrodynamics	Temperature	Nutrients	Distillectants	whereorganisms	Kelefences
Annular reactor	SS and Cu	Residence time: 53 min	Room temperature	-	Chlorine $(0.6 \text{ mg.L}^{-1})$ and chloramines $(0.60-0.75 \text{ mg.L}^{-1})$	Tap water microorganisms	Zhou et al. (2009)
Annular reactor	Polycarbonate	Rotation speed: 133 rpm; Retention time: 3 h; velocity: 0.3 m.s <sup>-1</sup>	-	Sodium acetate (200µg C.mL <sup>-1</sup> ) and di-hydrogen phosphate (300µg P.mL <sup>-1</sup> )	Free chlorine (0.2-2 mg.L <sup>-1</sup> ) and monochloramine (1-4 mg.L <sup>-1</sup> )	Tap water microorganisms	Fang et al. (2010)
Annular reactor	SS	-	20 °C	-	Chloramine (0.09- 0.16 and 0.01-0.06 mg.L <sup>-1</sup> )	Heterotrophic bacteria and ammonia oxidizing bacteria from tap water	Zhang et al. (2010)
Annular reactor	Polycarbonate	-	-	-	Chlorine dioxide (5, 10, 15 and 25 mg. $L^{-1}$ )	Bacillus globigii	Hosni et al. (2011)
Annular reactor	Steel, SS, Cu and PVC	Shear stress: 0.24 N/m <sup>2</sup>	-	-	-	Tap water microorganisms	Jang et al. (2011)
Annular reactor	Cement	-	20 °C	-	Ozone, monochloramine (2 to 2.5 mg.L <sup>-1</sup> )	Tap water microorganisms	Chang and Craik (2012)
CCR	SS	Fluid velocity: 0.26, 0.19, 0.16 and 0.12 m.s <sup>-1</sup> .	-	-	-	Microorganisms from untreated potable water	Rickard et al. (2004)
Flow cell system	PVC and SS	Re number: 2000 and 11000	20 °C	Carbon (0.5 mg.L <sup>-1</sup> ), nitrogen (0.1 mg.L <sup>-1</sup> ) and phosphorus (0.01 mg.L <sup>-1</sup> )	-	Tap water microorganisms	Simões et al. (2006)
Flow cell system	PVC	Re number: 4900 and 810	20 °C	-	-	Tap water microorganisms	Manuel et al. (2010)
Flow cell reactor and Propella <sup>®</sup> reactor	PVC, cross linked polyethylene (PEX), HDPE and PP (Polypropylene)	Shear stress: 0.80 and 1.91 Pa	15.9 °C	-	-	Tap water microorganisms	Manuel et al. (2007)

Desetor	Factors				- Dicinfoctonto	Microorgonisms	Defenences
Reactor	Material	Hydrodynamics	Temperature	Nutrients	Distinectants	whereorganisms	Kelerences
Flow cell system and Propella <sup>®</sup> reactor	PVC and SS 316	Re number: 2000 and 11 000	20 °C	-	-	Tap water microorganisms	Simões et al. (2012)
Propella <sup>®</sup> reactor	PVC	-	-	-	-	Mycobacterium avium subsp. avium and Mycobacterium avium subsp. paratuberculosis	Lehtola et al. (2006)
Propella <sup>®</sup> reactor	PVC	Re number: 15000	15 °C	-	-	Mycobacterium avium	Lehtola et al. (2007)
Propella <sup>®</sup> reactor	PVC	Flow rate: 0.25 m.s <sup><math>-1</math></sup>	-	-	-	Tap water microorganisms	Rubulis and Juhna (2007)
Propella <sup>®</sup> reactor	PVC	Flow velocity: 0.10; $0.24 \text{ m.s}^{-1}$	7 and 20 °C	Phosphorus (4.2, 13.8 µg/L)	Chlorine $(0.17 \text{ mg.L}^{-1})$	Mycobacterium avium	Torvinen et al. (2007)
Propella® Reactor	PVC and SS 316	Water velocity: 0.13 m.s <sup>-1</sup> ; retention time: 12 h	20 °C	-	Fenton reaction (iron particles at $10^{-1}$ ; $10^{-2}$ ; 5 x $10^{-2}$ and $10^{-3}$ M Fe, hydrogen peroxide at $1.5 \times 10^{-2}$ M)	Tap water microorganisms	Gosselin et al. (2013)
RDR	SS	-	30 °C	Yeast extract, proteose peptone, casamino acids, dextrose (0.5 g. L <sup>-1</sup> ) sodium pyruvate and dibasic potassium phosphate (0.03 g. L <sup>-1</sup> ), magnesium phosphate (0.005 g.L <sup>-1</sup> )	-	Legionella pneumophila and Hartmannella vermiformis	Murga et al. (2001)
RDR	Glass	Shear stress: 0.12 Pa	21 °C	-	-	Tap water microorganisms	Abe et al. (2011)
RDR	HDPE	Shear rate:450- 1640 s <sup>-1</sup>	20 °C	-	-	MS2, GA and Qβ phages replicated using <i>E. coli</i>	Pelleieux et al. (2012)

Depator	Factors				- Disinfactants	Microorgonisms	Deferences	
Reactor	Material	Hydrodynamics	Temperature	Nutrients	Disinfectants	whereorganisms	Kelerences	
CDC reactor and Pipe loop reactor	PVC and Cu	Flow rate: 1 mL. min <sup>-1</sup> (pipe loop reactor); 0.3 mL. min <sup>-1</sup>	-	Humic acids	Free chlorine (10 and 103 mg.L <sup>-1</sup> ), monochloramine (13, 49 and 99 mg.L <sup>-1</sup> )	Bacillus spores	Morrow et al. (2008)	
CDC reactor	PVC	Rotation speed: 50 rpm	25-29 °C	-	-	Tap water microorganisms	Park and Hu (2010)	
CDC reactor	PVC and SS	-	-	-	Monochloramine (1 or 2 mg. L <sup>-1</sup> )	Sphingomonas paucimobilis, Methylobacterium sp., Delftia acidovorans, and Mycobacterium mucogenicum	Armbruster et al. (2012)	
CDC reactor	PVC	Shear stress: 0.01 N/m <sup>2</sup>	25-29 °C	-	-	Tap water microorganisms	Park et al. (2012)	
Microtiter plates	Polystyrene	-	23 °C	-	-	Acinetobacter calcoaceticus, Burkholderia cepacia, Methylobacterium sp., Mycobacterium mucogenicum, Sphingomonas capsulata and Staphylococcus sp. (DW isolated-bacteria)	Simões et al. (2010a)	
Microtiter plates	Polystyrene	-	23 °C	-	Sodium hypochlorite (0.1, 0.5; 1 and 10 mg.L <sup>-1</sup> )	Acinetobacter calcoaceticus, Burkholderia cepacia, Methylobacteriumsp., Mycobacterium mucogenicum, Sphingomonas capsulata and Staphylococcus sp. (DW isolated-bacteria)	Simões et al. (2010b)	
Glass ring column	Glass	Hydraulic retention time: 0.5 d	25 °C	Acetate (0.5 mg.L <sup>-1</sup> )	Chlorine (3.0 mg.L <sup>-1</sup> )	Tap water microorganisms, namely <i>Pseudomonas</i> <i>fluorescens</i> and <i>Spirillum</i> <i>species</i>	Ginige et al. (2011)	

Decetor	Factors				- Disinfactants	Mionoonaniana	Defenences
Keactor	Material	Hydrodynamics	Temperature	Nutrients	- Disinfectants	Withoutgainsins	Kelefences
Robbins device	Polyethylene	Water velocity: 0.5 m.s <sup>-1</sup>	-	-	Chlorine (0.08 to 0.73 mg.L <sup>-1</sup> ), chlorine dioxide ( $<$ 0.01 to 0.27 mg.L <sup>-1</sup> )	Tap water microorganisms	Sly et al. (1990)
MRD	Glass and Polyethylene	Flow rate: 0.6 L.h <sup>-1</sup>	12.2 °C	-	-	Tap water microorganisms	Kalmbach et al. (1997)
MRD	PVC and SS	-	24 °C	Humic acid (0.5 mg.L <sup>-1)</sup>	Silver nitrate (0.1 mg.L <sup>-1</sup> )	Tap water microorganisms	Silvestry-Rodriguez et al. (2008)
PWG coupon	High-performance polyethylene (HPPE)	Shear stress: 0.03 N.m <sup>-2</sup>	25 °C	-	-	Tap water microorganisms	Deines et al. (2010)
PWG coupon	HDPE	Growth conditions (0.2 to 0.5 L.s <sup>-1</sup> , 0.4 L.s <sup>-1</sup> and 0.2 to 0.8 L.s <sup>-1</sup> ); flushing conditions (0.2 to 3 N.m <sup>2</sup> )	16 °C	-	Chlorine (0.8 mg.L <sup>-1</sup> )	Tap water microorganisms	Doutorelo et al. (2013)
Packed beads column	Glass, SS and Teflon	Water flow: 1 L.min <sup>-1</sup>	16.0, 19.4 and 20.6 °C		Chlorine (0.5 mg.L <sup>-1</sup> )	Tap water microorganisms	Delahaye et al. (2006)